

**CITIZENS UNION OF THE CITY OF NEW YORK**

**SPENDING IN THE SHADOWS:  
DISCRETIONARY FUNDING IN THE NYS BUDGET  
*FY 2017 EXECUTIVE BUDGET UPDATE***

**FEBRUARY 2016**

Research and Policy Analysis by Citizens Union Foundation

Written and Published by Citizens Union



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### I. Executive Summary

The New York State budget is the definitive statement of the state’s fiscal priorities. Unfortunately, there are elements of the budget that are inscrutable, decided behind closed doors by a handful of elected officials, and beyond the reach of the public. In particular, large pots of state funds are distributed via budget legislation year after year – without upfront specific information about how these monies will be spent. Decisions about spending purposes and recipients are deferred and go undisclosed. These ‘lump sum funds’ have grave consequences for transparency and present a risk for corruption, as was most recently revealed during the corruption trial of former Assembly Speaker Sheldon Silver.

For the purposes of this report, lump sums funds are defined as pots of funding that are authorized to be spent through the Capital Budget and Aid to Localities Budget which are not sufficiently itemized. They lack a detailed break-down of where the funds will be spent. Then they are spent at the discretion of one or more of the following state elected officials: the governor, any or all senators (typically the temporary president or majority leader), any or all assembly members (typically the speaker), and the attorney general, with this discretion explicitly provided for in the budget legislation. For more information about the narrow identification of these lump sums, see the Methodology described in [Section IV](#). Our research and advocacy in this policy arena however has produced knowledge about other pots of possible discretionary state funds similar to these lump sums. They appear to operate similarly to the ones we have identified, but lack any known association to a specific elected official in the budget legislation itself.

Citizens Union has long highlighted the shortcomings of the state’s budget process, and their implications for accountability to the public. We published the first edition of *Spending in the Shadows*:

*Discretionary Funding in the NYS Budget* in September 2013. The second and third editions were issued last year in March and April 2015. These were updates to the original *Spending in the Shadows: Discretionary Funding in the NYS Budget*, and provided analysis of lump sum funds for fiscal years (FY) 2014 – 2016, including a look at the executive and final budgets for FY 2016. This report – the fourth of its kind - examines the FY 2017 Executive Budget proposal introduced by Governor Andrew Cuomo in January 2016.

**A. Major Findings – Analysis of FY 2017 Executive Budget**

1. The proposed FY 2017 Executive Budget contains \$2.4 billion in lump sum funds, through 78 separate pots, up 12 pots from his last proposed executive budget for FY 2016.
  - a. There were no *new* pots proposed by the governor this year that fall under Citizens Union’s definition of lump sums.
  - b. There were 66 pots proposed in the Executive Budget in 2015, representing \$2.6 billion, so while the total amount proposed has dropped, there are more pots included this year, some carried over from the enacted budget which included legislatively added lump sums.
  - c. The governor did not include 24 pots that were included in previous budgets from FY 2014-2016, mostly from the Aid to Localities budget; some may have been spent down, though many are likely to be proposed to be included again by the legislature in its forthcoming budget proposals as part of the negotiation process.
2. The governor, Assembly and Senate each have considerable amounts of lump sum funds proposed for their use in the FY 2017 Executive Budget: as much as \$2.2 billion is proposed to be influenced by the governor; \$815 million by the Senate; and \$686 million by the Assembly.
  - a. This is slightly less than last year’s Executive Budget, which proposed \$2.4 billion for the governor; \$902 million for the Senate; and \$765 million for the Assembly.
3. Unlike last year’s Executive Budget, there are no proposals for greater accountability or transparency of these funds as had been included in the governor’s budget legislation, either tied directly to appropriations or in the separate Article VII reform legislation. The governor included reform language in his 30-day amendments to last year’s FY 2016 Capital Budget, but that language did not end up in the final bills, and has not been included this year.
4. Citizens Union’s analysis is based on the conservative measure of only tracking items that *explicitly* authorize elected officials to distribute funds. It is clear, however, that there is a larger universe of funds to which elected officials have access which present an equally problematic risk of corruption, including two newly identified funds - the Transformative Investment Fund (\$400 million) and the State and Municipal Facilities Program (\$1.1 billion). These funds do not identify a role for elected officials in the budget language that creates them – the press and watchdogs discovered this role.

## **B. Risk of Corruption**

While the state budget provides important funding to ensure that New York continues to provide needed services to the public, it has also provided an unfortunate opportunity for corruption. Since 2000, 33 New York State legislators have left office due to ethical or criminal issues, and 10 of these individuals were embroiled in scandals involving state funding (see Appendix A for these individuals as recorded in Citizens Union's corruption tracker). Legislative leaders have also not been immune to corruption scandals, as demonstrated by two high-profile cases involving lump sum appropriations:

- Former Speaker Sheldon Silver was convicted of personally directing \$500,000 in grants from a lump sum fund to a prominent doctor, who began referring his patients to a law firm that, in turn, paid referral fees back to Silver.
- Former Senate Majority Leader Malcolm Smith was convicted in February 2015 of conspiracy in a scheme to funnel \$500,000 from lump sum funds known as "multi-modal" transportation funds to a developer who, in turn, would provide funds to bribe Republican officials.

These incidents show that the risk of corruption endures as long as decision-making on lump sums and other vague pots of funding continues to take place in the shadows.

## **C. Tip of the Iceberg: The Full Universe of Elected Officials' Influence on State Funding**

Beyond Citizens Union's analysis of lump sum funds that *explicitly* grant specific elected officials the authority to distribute funds in a veiled manner, elected officials have wielded considerable influence over other pots of funding that do not specifically identify their role in distribution. The presence of these pots also raises substantial concerns regarding the risk of corruption.

The press, budget watchdogs, and good government groups that monitor state spending have uncovered some of these other problematic pots of funding, particularly in the area of economic development – but this work is only hitting at the tip of the iceberg, given the vast scope of the state's \$142 billion budget. Some of these problematic funds are described in greater detail later in this report, including the Transformative Investment Fund (\$400 million) and the State and Municipal Facilities Program (\$1.1 billion), which in their authorizing language do not include a role for elected officials, but their role has since been found by the press and watchdog groups.

The awarding of state funds, whether part of a lump sum appropriation or not, even when done in a competitive manner, may still not be immune from political interference. News coverage of a pending federal investigation of the 2012 "Buffalo Billion" economic development project has indicated that a supposedly competitive Request for Proposals (RFP) may have been tailored to a specific bidder, namely those with political ties to the administration. A major contributor to Governor Cuomo's political campaigns, Louis Ciminelli is the general contractor of SolarCity, a \$750 million solar panel factory being built at South Buffalo's RiverBend complex, which is a centerpiece of the Buffalo Billion project.

Ciminelli contributed \$96,500 to Cuomo's two campaigns for governor, and it is reported that at one point, the request for proposals to build SolarCity required applicants to have "over 50 years of proven experience" in construction in or around Buffalo, which would have excluded any company except Ciminelli's. The requirement was changed to 15 years, but the company nonetheless received the contract. The nature of the bidding process and alleged possible tailoring to fit a specific contractor is reportedly now being investigated by the U.S. Attorney Preet Bharara's office.<sup>1</sup>

#### **D. The Path Forward: Citizens Union Reform Recommendations**

Citizens Union and our colleagues have urged the governor and legislators to enact legislation to reform discretionary funding, including lump sum appropriations. With the governor having proposed 78 pots of lump sums in the budget without any reform measures – all the more concerning given that Silver's corruption conviction was tied to his access and use of funds from a lump sum pot – it is the legislature's turn to act, and ultimately the governor and the legislature to agree to in the final budget. In the past the legislature has negotiated for control of more discretionary funds, but given the crime wave of corruption directly related to these pots of funds, it is incumbent upon the legislature, working with the governor, to address this issue in the final budget.

Citizens Union recommends that lawmakers ensure full disclosure and accountability of all lump sum funds in the state budget this year through the following measures:

1. **The state budget should identify upfront all lump sum appropriations, both those identified with an elected official and those that are not.**
2. **The state budget should then disclose the detailed purposes and criteria for the distribution of any lump sum appropriations and reappropriations.**
3. Elected officials who seek to make awards from lump sum appropriations or reappropriations should **affirm that the contract or grant is for a lawful, public purpose, that the lawmaker has not and will not receive any financial benefit, that there are no conflicts of interest, and that the elected official is in compliance with all financial disclosure requirements** in the public officers law.

Language requiring this of legislators was included in Governor Cuomo's 2015 Capital Budget 30-day amendments, but was not included in the final budget. This affirmation should be required of elected officials for each individual pot which they influence, and should be codified for all discretionary lump sums via state finance law. That no such similar reforms were proposed by

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<sup>1</sup> For more information see: Campanille, Carl. "The Cuomo administration has a big headache right now." September 18, 2015. New York Post. Available at: <http://nypost.com/2015/09/18/bharara-investigating-cuomos-pricey-buffalo-billion-project/> and Klepper, David. "NY corruption probe widens; Buffalo Billion draws scrutiny." Associated Press. Oct. 8, 2015. Available at: <http://www.the-leader.com/article/20151008/NEWS/151009770>

the governor is a strange omission given that Silver was convicted for his misuse of a lump sum pot.

4. **All grants and contracts expended under lump sum funds should receive comprehensive, online disclosure.** The disclosure should be in a user-friendly, machine-readable format permitting independent analysis and should include all MOUs, plans, resolutions and other agreements, funds distributed, and the identity of recipients, elected sponsors, and the amount of funds that remain.
5. For lump sum funds distributed via assembly or senate resolution, **resolutions should age for three days and identify the legislative sponsor for individual items.**
  - a. Similarly, for **any member items included as line items in the state budget, legislative sponsors should be identified in the budget bills** next to their sponsored items.
6. **There should be a time limit for the reappropriation of lump-sums** to decrease slush funds and the use of such funds as “one-shot” budget gap fillers.

## II. Acknowledgements

This report was written by Rachael Fauss, Director of Public Policy, building off of CU’s 2013 and 2015 reports, co-written by Rachael Fauss and Policy Intern Ren Yan Yoong. Editing by Dick Dadey, Executive Director, and Talia Werber, Policy and Research Manager.

### III. Introduction

The New York State budget is the definitive statement of the state's fiscal priorities. Through a set of budget bills that are passed prior to the end of the fiscal year on March 31<sup>st</sup>,<sup>2</sup> the Governor proposes and the legislature authorizes the revenue-generating and spending programs of the state. It is one of the most important functions of the state legislature, which devotes a significant portion of its session to budget deliberations. Given the budget's political importance to communities across the state, it is subject to much scrutiny and criticism. The executive and legislative branches continuously vie for influence on the budget and budget process. This is to be expected and welcomed in a democratic society.

Unfortunately there are elements of the budget that are inscrutable, decided behind closed doors by a handful of political leaders, and beyond the reach of the public. In particular, large pots of state funds are distributed via budget legislation year after year – without upfront specific information about how these moneys will be spent, decisions about spending purposes and recipients are deferred and go undisclosed. These 'lump sum funds' have grave consequences for transparency and present a risk for corruption, as will be described in greater detail later in this report.

Citizens Union has long highlighted the shortcomings of the state's budget process, and their implications on accountability of the process to the public. We responded to reforms enacted in 2007 in a comprehensive *Issue Brief and Position Statement on Budget Reform* in 2008, and followed up with report cards in 2009 and 2012.<sup>3</sup> In these reports, we noted that despite reforms, 'lump sum funds' continued to exist in the Capital and Aid to Localities Budgets. In September 2013, we published a report, *Spending in the Shadows: Discretionary Funding in the NYS Budget*,<sup>4</sup> which analyzed lump sum funds and member items in the Enacted Budget for fiscal year (FY) 2014,<sup>5</sup> and was submitted to the Moreland Commission on Public Corruption. *Spending in the Shadows* was updated in 2015 to examine both the proposed and final budgets for FY 2016, and examine trends from FY 2014-2016.<sup>6</sup>

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2 While the end of the fiscal year on March 31<sup>st</sup> creates a natural deadline, given that funding is no longer available beyond that date, the governor and legislature have not always agreed on a final budget by that date.

3 The Issue Brief and Position Statement (2008) is available at:

[http://www.citizensunion.org/www/cu/site/hosting/issuebriefs/2008ib\\_statebudgetreform.pdf](http://www.citizensunion.org/www/cu/site/hosting/issuebriefs/2008ib_statebudgetreform.pdf)

The 2009 report card is available at:

<http://www.citizensunion.org/www/cu/site/hosting/IssueBriefs/CU%20Budget%20Reform%20Report%20Card%20November%202009.pdf>

The 2012 report card is available at:

[http://www.citizensunion.org/www/cu/site/hosting/Reports/CU\\_BudgetReformReportCard\\_April2012.pdf](http://www.citizensunion.org/www/cu/site/hosting/Reports/CU_BudgetReformReportCard_April2012.pdf)

4 "Spending in the Shadows" (2013) is available at:

[http://www.citizensunion.org/www/cu/site/hosting/Reports/CU\\_SpendingintheShadows\\_DiscretionaryFundsInNY\\_S\\_September\\_2013.pdf](http://www.citizensunion.org/www/cu/site/hosting/Reports/CU_SpendingintheShadows_DiscretionaryFundsInNY_S_September_2013.pdf)

5 FY 2014 ran from April 1<sup>st</sup>, 2013 through March 31<sup>st</sup>, 2014. The FY 2014 budget was enacted in late March 2013.

6 "Spending in the Shadows" (2015) versions are available at:

[http://www.citizensunion.org/www/cu/site/hosting/Reports/CU\\_SpendingInTheShadows\\_FY2014-16\\_Update\\_3-19-15.pdf](http://www.citizensunion.org/www/cu/site/hosting/Reports/CU_SpendingInTheShadows_FY2014-16_Update_3-19-15.pdf) and

In this most recent report, Citizens Union analyzes the FY 2017 Executive Budget proposal introduced by Governor Andrew Cuomo in January, and looks at further work to be done in the area of discretionary funding.

## IV. Methodology

In this report, ‘lump sum funds’ are defined as follows:

- Pots of funding that are authorized (made available to be spent) in the New York State budget, specifically in the legislation enacted for the Capital Budget and Aid to Localities Budget, which are:
  - Appropriations, which are new pots of funds introduced for the first time in a given year; or
  - Reappropriations, which are carry-over funds from past years that are being authorized to be spent again. These pots generally decrease slowly over time, as reappropriations can only total as much funding as is left in the pot, unless lawmakers amend the amounts to increase or decrease them.
- Pots that are insufficiently itemized: they lack a detailed break-down of where the funds will be spent such as by non-profit, locality, agency or specific project, often lacking a detailed explanation for the purpose of the funds; and
- The discretion of distributing the funds is *explicitly* subject, at least in part, to the following state elected officials: the governor, any or all senators (typically the temporary president or majority leader), any or all assembly members (typically the speaker), and the attorney general. The state comptroller has not historically distributed such funds.

A full list of the lump sum funds identified by Citizens Union for this report is available in [Appendix B](#).

By leaving out critical spending details from the state budget legislation, decisions are deferred until after the state budget is passed and largely absent from public disclosure. This is problematic because there is considerably more scrutiny from the press, advocacy organizations, and other stakeholders as the budget is being debated.

This definition of lump sum funds captures budget pots that are insufficiently transparent and pose a corruption risk. However, as will be discussed below, spending procedures for some lump sums are more transparent than others. We also recognize that in some cases deferring a spending decision



provides flexibility, often to respond to unexpected circumstances, which can give rise to good policy if coupled with better transparency.

To be clear, lump sums as defined in this report may not comprehensively capture all funds that are potentially problematic and could be considered discretionary lump sums. It does not include unitemized funds that neither specify how spending decisions are to be made, nor explicitly cite the role elected officials may have in their distribution. These funds are arguably even less transparent than those included in the inventory; however, Citizens Union lacked the information to attribute discretion to a particular elected official so did not include them in this analysis, though [Section VII](#) contains a discussion of these problematic funds. Second, this report's definition of lump sums does not include funds at the discretion of *appointees* of elected officials, e.g. the director of the Division of the Budget.

In some cases, a particular *sub*-listing qualifies as a lump sum fund, while other parts of that pot provide more detailed itemization and are not included. The initial appropriation for each sub-listing is reported, but subsequent reappropriations are only listed in aggregate. Therefore, in this report, authorized lump sums for a given fiscal year exclude sub-listings as we do not know the percentage of funds that are still able to be spent. Again, this means that Citizens Union's analysis is conservative rather than expansive.

After reporting the aggregate amounts, Citizens Union breaks down lump sum funds by:

1. The state agencies for which funds are spent through (note that funds appear under individual agencies in the state budget's appropriations bills);
2. The elected officials who have approval authority over spending (governor, senators, assembly members, and attorney general); and
3. Spending procedure:
  - a. Competitive: some funds are allocated via a competitive process, with elected officials choosing between spending proposals based on stated criteria;
  - b. Resolution: the list of recipients must be passed by Senate or Assembly resolution, which triggers a vote of all members, though there is not the regular 3-day aging process required for other legislative action;
  - c. Memorandum of understanding (MOU): spending details are in a legal agreement typically involving one or more of the following parties: the governor, the temporary president or majority leader of the Senate, and the speaker of the Assembly;
  - d. Consultation: a state agency or official develops a spending plan "in consultation" with an elected official;
  - e. Unilateral authority: a single elected official has discretion; and
  - f. Unclear: budget language does not clearly specify how funds are to be spent, though mentions a role for an elected official.

## V. Lump Sum Funds in the FY 2017 Executive Budget

Citizens Union examined lump sum funds in the FY 2017 Exec Budget,<sup>7</sup> finding over \$2.4 billion in proposed authorizations for lump sums in 78 separate reappropriated pots: 28 Aid to Localities pots, and 50 Capital pots. There were 66 pots proposed in the Executive Budget in 2015, representing \$2.6 billion, so while the total amount proposed has dropped, there are more pots included this year. While not all these funds will actually be spent down in FY 2017, for scale, the Division of the Budget projects that total capital spending in FY 2017 will be \$11.9 billion.<sup>8</sup>

Table 1 below shows initial appropriations and proposed authorizations for FY 2016, in the Aid to Localities and Capital Budgets. The initial appropriation is the amount in the fund when first created, which can then be reappropriated over successive budgets. The oldest lump sum proposed to be reappropriated for FY 2017 was initially appropriated in 1997, when \$423.5 million was first set aside for the community enhancement facilities assistance program. The FY 2017 Executive Capital Budget proposes reappropriating about \$46 million for this item down from \$51 million in FY 2016, meaning that about \$5 million was likely spent in the last year. While the initial appropriations for all pots totalled \$9.6 billion (the lifetime amount), the Governor proposes that up to \$2.4 billion of this be authorized for FY 2017.

Table 1: Lump sum funds in the FY 2017 Executive Budget			
	<b>Initial Appropriation</b> (carried over multiple budgets)	<b>FY 2017 Exec Proposed Authorization</b> (fiscal year beginning April 1, 2016)	<b>Number of Pots</b>
<b>Aid to Localities Budget</b>	\$130,906,234	\$105,668,134	28
<b>Capital Budget</b>	\$9,476,136,000	\$2,330,165,000	50
<b>TOTAL</b>	\$9,607,042,234	\$2,435,833,134	78

Table 2 on the following page compares the Executive and Final Budget from FY 2016, for the Aid to Localities and Capital bills, with the proposed FY 2017 Executive Budget.

<sup>7</sup> The FY 2017 Exec Aid to Localities Budget is available at:

[https://www.budget.ny.gov/pubs/executive/eBudget1617/1617\\_budgetLegislation.html](https://www.budget.ny.gov/pubs/executive/eBudget1617/1617_budgetLegislation.html)

The FY 2017 Exec Capital Budget is available at:

<https://www.budget.ny.gov/pubs/executive/eBudget1617/fy1617appropbills/CapitalProjectsBudget.pdf>

<sup>8</sup> The FY 2016 Exec Budget Capital Program and Financing Plan is available at:

<https://www.budget.ny.gov/pubs/executive/eBudget1617/capitalPlan/CapPlan.pdf>

Table 2: Lump sum funds in the FY 2017 Executive Budget  
 Compared to FY 2016 Executive and Final Budgets

	<b>FY 2017 Exec Proposed Authorization</b> (fiscal year beginning April 1, 2016)	<b>Number of Pots</b>	<b>FY 2016 Exec Proposed Authorization</b> (fiscal year beginning April 1, 2015)	<b>Number of Pots</b>	<b>FY 2016 Enacted Budget</b> (fiscal year beginning April 1, 2015)	<b>Number of Pots</b>
<b>Aid to Localities Budget</b>	\$105,668,134	28	\$96,338,134	19	\$465,164,134	50
<b>Capital Budget</b>	\$2,330,165,000	50	\$2,540,816	47	\$2,474,898,000	45
<b>TOTAL</b>	\$2,435,833,134	78	\$2,637,154,134	66	\$2,940,062,134	95

Below are break downs of the total sum: by the state agencies for which funds were proposed to be authorized; by the elected officials who had approval authority over spending; and finally by spending procedure.

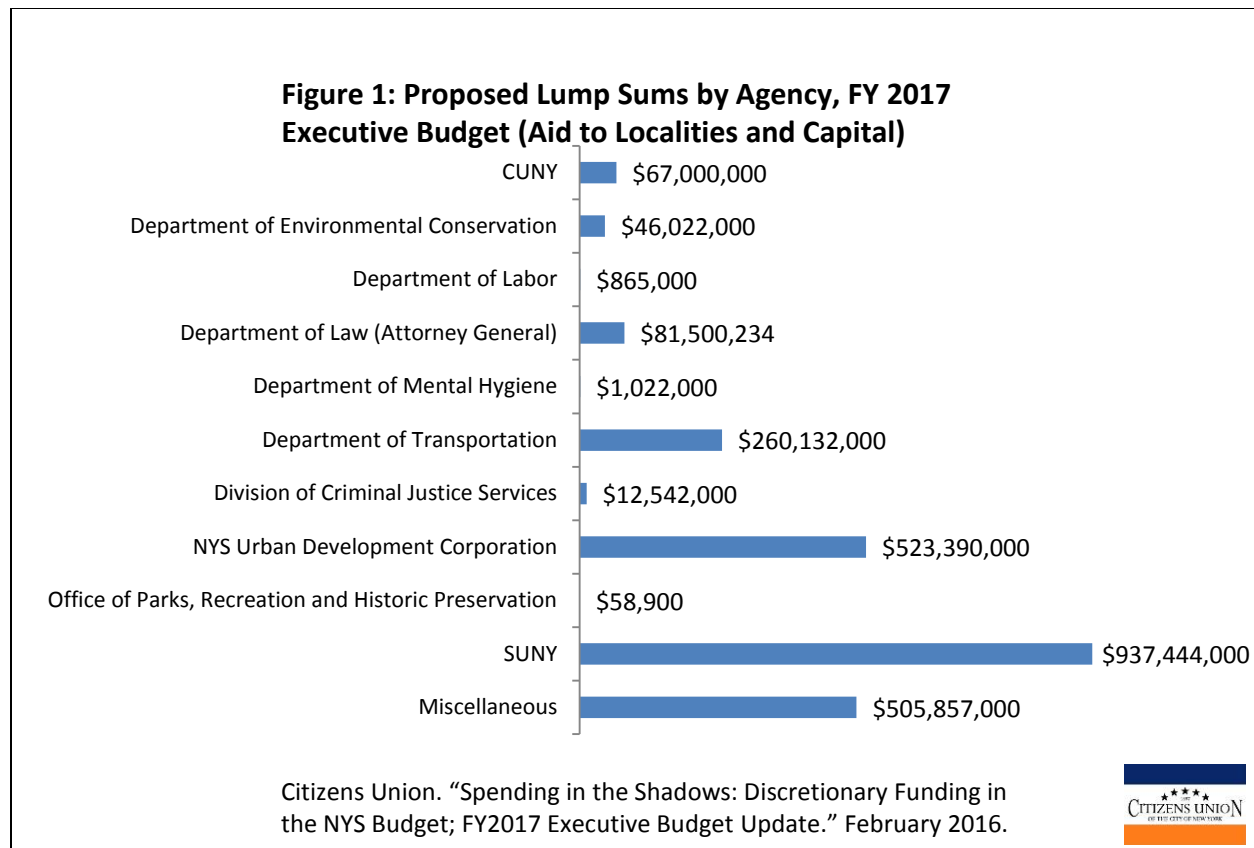
*By Agency*

As noted previously, the state budget appropriations bills detail spending by agency, and lump sums generally appear as a part of a particular agency’s budget – though not for all items.

As Figure 1 below shows, the bulk of lump sum funds proposed to be authorized are in the Capital Budget, and most of this goes to the State University of New York (SUNY): nearly \$1 billion in proposed spending is part of the NY-SUNY 2020 challenge grant program. The 2020 challenge grant program involves different campuses making detailed proposals competitively to the Governor and Chancellor of SUNY. They then decide which proposals gain funding based on fulfilment of criteria such as partnerships with local governments and businesses, funding mechanisms, and details for expansion.<sup>9</sup> It should be noted, however, that the construction work is done through private contractors, potentially presenting a potential corruption risk.

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<sup>9</sup> For more information about the NY-SUNY 2020 challenge grant program, see:  
<http://www.suny.edu/impact/business/nysuny-2020/> and <http://www.suny.edu/impact/business/nysuny-2020/ny-suny2020-unveiling/>



For the second biggest category, \$500 million of all proposed authorized lump sums are ‘Miscellaneous’, and not listed as being administered by a specific state agency or public authority. Without an administering agency listed, funds are even more difficult to track.

*By Approving Authority*

As [Figure 2](#) below shows, the governor has at least partial authority over 89.9% of the lump sum funds proposed to be authorized, followed by 33.5% for senators, 28.2% for assembly members, and 3.3% for the attorney general, representing as much as \$2.2 billion to be influenced by the governor; \$815 million by the Senate; and \$686 million by the Assembly. This is slightly less than last year’s Executive Budget, which proposed \$2.4 billion for the governor; \$902 million for the Senate; and \$765 million for the Assembly.

Even excluding the nearly \$1 billion SUNY grant program this year, the governor would still have overwhelming authority over lump sum funds.

The attorney general’s \$81.5 million reflects a single item resulting from a settlement with J.P. Morgan over mortgage securities, and is to be spent on alleviating the impacts of foreclosures, pursuant to a plan developed by the attorney general. Although none of this fund has appeared to have been spent since created in FY15, the attorney general is not legally obligated to disclose spending details under the

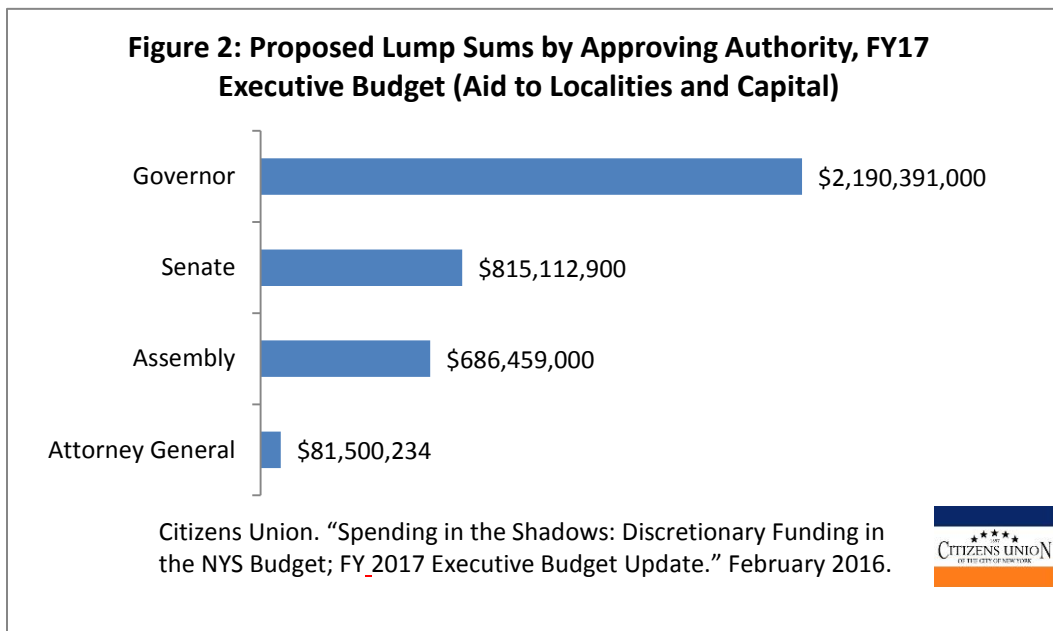
budget authorization language. However the Attorney General’s office did voluntarily provide information for its plans.

Of the \$81.5 million, \$40 million will fund two additional years of the Homeowner Protection Program of housing counselling and legal services, the first years of which were funded from money from earlier settlements. These funds were supposed to start flowing in October 2015, but there have been some delays due to procurement requirements.

In partnership with the City and the Robin Hood Foundation, \$1.2 million will be used for *Come Home NYC*, a program that moves working families out of homeless shelters and in to high quality rental housing. This money, too, is awaiting disbursement pending completion of procurement processes.

Roughly \$15 million will be provided to 60-80 units of local government to upgrade technology systems and improve their housing management programs as it relates to distress and blight. (This is pending a feasibility study, which is happening in March 2016. If deemed feasible, a Request for Applications will be issued sometime in June).

The remaining \$24 million may go to fund new initiatives being explored that include deed theft prevention program, an expansion of Attorney General’s work with land banks, and others. Depending upon need, the Homeowner Protection Program may continue until funds are spent.



### *By Spending Procedure*

While lump sum funds in general raise issues of transparency and present a corruption risk, there are different procedures for distribution that provide various levels of transparency and accountability. For instance, the previously mentioned NY-SUNY 2020 challenge grant program involves different campuses making detailed proposals competitively to the governor and chancellor of SUNY, as described earlier. In other cases, such as past funding to the Division of Criminal Justice Services and the Education Department for “Bullet Aid”, itemization is done by Senate or Assembly resolution after the budget cycle, typically prior to the end of the session in late June.<sup>10</sup>

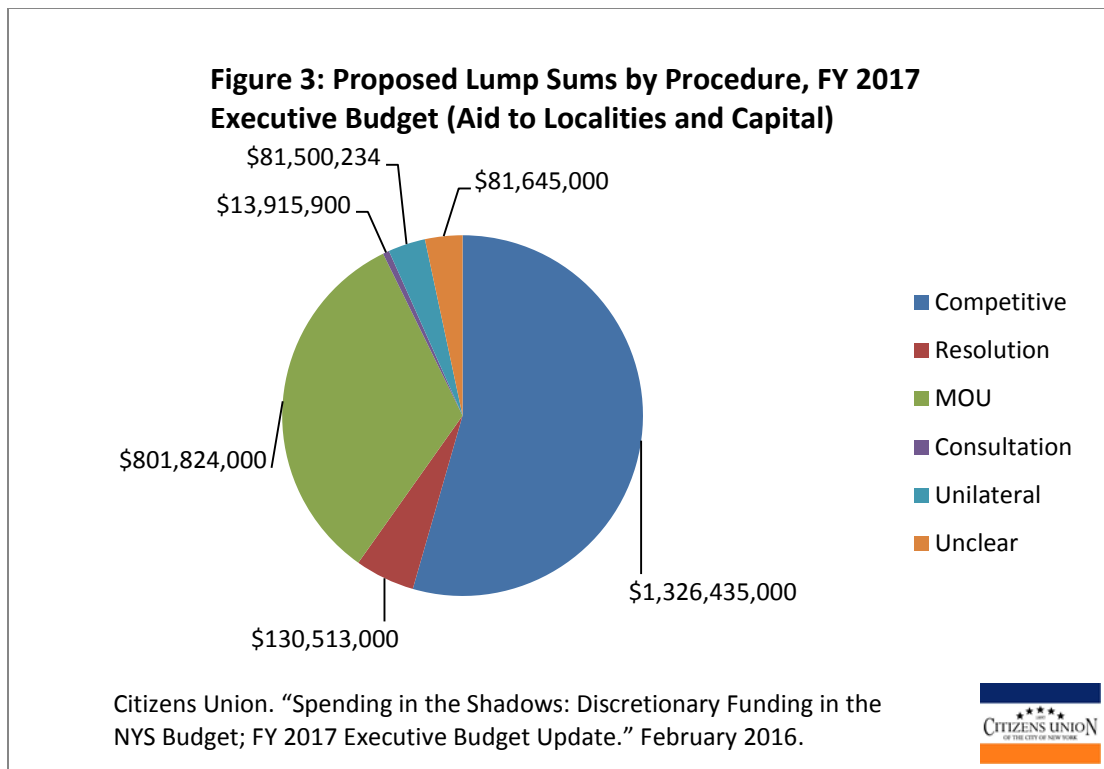
These resolutions are not required to age for three days, as is required for legislation. In these cases itemization is still decided outside the adoption of budget legislation by elected officials, reducing the scrutiny these expenditures might otherwise face. Recipients must be publicly disclosed, though the sponsoring legislator is unknown. At worst, MOUs and other “agreements” or “plans” need not be publicly accessible.

Accordingly, [Figure 3](#) on the page 13 classifies reappropriated lump sum funds by different spending procedures (for more information, see the Methodology in Section IV):

- a. Competitive: proposed Capital lump sums, consisting of the 2020 challenge grants mentioned earlier, for SUNY and CUNY, roughly 54.5% of all lump sum funds;
- b. Resolution: historically prevalent in the Aid to Localities Budget for education and criminal justice funding, such as the “Bullet Aid” for schools. Though not many were included in the Governor’s Executive Budget (5.4% of all lump sum funds), these can be expected to be added back in by the Legislature;
- c. MOU: a large portion of proposed Capital lump sums and 32.9% of all lump sum funds, for projects ranging from economic development to transportation;
- d. Consultation: a small portion of all lump sums (.6%), typically agency heads consulting with the legislative leaders – note however, that there are more pots in this category that are not included as the reappropriated amount is unclear from the budget legislation (for example, the sub-listing does not include this information);
- e. Unilateral authority: the only item is the Attorney General’s \$81.5 million settlement discussed earlier, which represents 3.3% of all lump sum funds; and
- f. Unclear: a small percentage of lump sum funds (3.4%).

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10 For an example of a Senate resolution disbursing funds, see: <http://open.nysenate.gov/legislation/bill/R6135-2013>



## VI. Lump Sum Funds and the Risk of Corruption

Public corruption continues to plague Albany, and the budget process is, unfortunately but not unsurprisingly, especially susceptible to corruption. Indeed, New York received a failing grade of "F" from the State Integrity Index<sup>11</sup> – a national ranking of state's risk for corruption – for its budget process, ranking dead last at 50<sup>th</sup>.

As Citizens Union's Corruption Tracker<sup>12</sup> shows, since 2000, 33 New York state legislators have left office due to ethical or criminal issues; 10 of those officials were involved in schemes involving the use of state funds. See Appendix A for the full listing of the 10 officials involved with improperly steering state funds. Statewide officials are also not immune to public corruption, as was seen in the pay-to-play scandal with former State Comptroller Alan Hevesi.

11 For more information about the State Integrity Index and the "F" given to New York on state budget processes, see Krupnick, Matt. "New York gets D- grade in 2015 State Integrity Investigation: Unending string of scandals fails to spur meaningful reform." The Center for Public Integrity. November 9, 2015.

<http://www.publicintegrity.org/2015/11/09/18477/new-york-gets-d-grade-2015-state-integrity-investigation>

12 Citizens Union's Corruption Tracker is available at:

[http://www.citizensunion.org/site\\_res\\_view\\_template.aspx?id=942b7779-7bb0-44f6-ab79-facb68f7b749](http://www.citizensunion.org/site_res_view_template.aspx?id=942b7779-7bb0-44f6-ab79-facb68f7b749)

The conviction of Sheldon Silver in November 2016 is only the most recent demonstration of the corruption risk posed by lump sum funding.<sup>13</sup> Silver was found to have personally directed \$500,000 in grants from a lump sum fund to a prominent doctor, who began referring his patients to a law firm that, in turn, paid referral fees to Silver. The fund involved was established under the Health Care Reform Act, and until 2007 when it was removed from the budget, held millions of dollars be disbursed at the discretion of the speaker of the Assembly, as well as the temporary president of the Senate.<sup>14</sup> This pot is not unlike many of those again included in the FY17 Budget, which allow for legislative leaders to have discretion over their spending.

The conviction of former Senate Majority Leader Malcolm Smith in February 2015 provides another example. Smith was convicted of conspiracy to bribe party officials to gain access to the Republican ballot line for mayor of New York City. Among other things, Smith agreed to funnel \$500,000 from lump sum funds known as “multi-modal” transportation funds to a developer who, in turn, would provide funds to bribe Republican officials. As captured on tape during the federal investigation, Smith explained to federal undercover operatives, “Multi-modal money is outside the budget and it’s always around.”<sup>15</sup>

Multi-modal transportation funds are classic examples of lump sum funding, and they are still part of the New York State budget. Of the \$500 million total in multi-modal funds appropriated in 2000, 2005, and 2006, the FY17 Executive Budget reappropriates<sup>16</sup> over \$223 million from three separate pots, one of which has been slowly spent down since 2000. Reappropriations can only total as much funding as is left in the pot, unless lawmakers amend the amounts, so therefore these amounts generally reflect the total amount of funding that is left. Decisions about which projects will be funded, who will be recipients of grant or contract revenues, the timing of pay-outs, and other terms, are governed by memoranda of understanding (MOUs) or other types of agreements between elected officials which typically involve the governor, the temporary president of the Senate, and the speaker of the Assembly. The public has no access to these documents, which are used for several other pots of funds in the budget, including but not restricted to multi-modal transportation funds. Because the documents delineating how these funds are to be spent are hidden from public view, there is almost no way to hold elected officials accountable, increasing both the risks of corruption and of waste.

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13 Rashbaum, William K. & Kaplan, Thomas. “Sheldon Silver, Assembly Speaker, Took Millions in Payoffs, U.S. Says.” *New York Times*. January 22, 2015. Available at: <http://www.nytimes.com/2015/01/23/nyregion/speaker-of-new-york-assembly-sheldon-silver-is-arrested-in-corruption-case.html>

14 Criminal complaint available at:

[http://graphics8.nytimes.com/packages/pdf/nyregion/2015/20150123\\_silvercomplaint.pdf](http://graphics8.nytimes.com/packages/pdf/nyregion/2015/20150123_silvercomplaint.pdf)

15 Dwyer, Jim. “Jumping from Party to Party to Bribery Charge.” *New York Times*. April 2, 2013. Available at: <http://www.nytimes.com/2013/04/03/nyregion/malcolm-smith-accused-of-bribery-for-spot-on-mayoral-ballot.html>

16 If funds appropriated in previous years are not fully spent, the remaining amount may (but need not) be reappropriated, i.e. reauthorized for this FY.



## VII. Tip of the Iceberg: The Full Universe of Elected Officials' Influence on State Funding

Beyond the lump sum funds identified in this report, there are other pots of funding that pose a similar, if not greater, risk for corruption, given that they are even more difficult to track down and identify as being influenced by elected officials. Citizens Union's analysis for this report has focused on funds that *explicitly* identify a role for elected officials – but work by journalists, budget watchdogs, and good government groups since our 2013 report on this subject has uncovered more pots of funding that appear to be spent at the discretion of elected leaders, meaning that CU's research is just the top of the iceberg. Here are two that have come to be known publicly since our 2013 report prompted others to go looking.

- **Transformative Investment Fund** – The press has tracked this controversial \$400 million pot that was created in last year's budget by former Senate Leader Dean Skelos to fund capital projects in Long Island to "balance out" the regional economic funding programs of Governor Cuomo for Upstate New York. All \$400 million has been proposed to be reappropriated in the FY 2017 Executive budget. The budget legislation did not identify a role for the legislature;<sup>17</sup> rather it designates the Empire State Development Corporation with the responsibility to dole out the funds. A legislative role has been confirmed, however, by the head of the Empire State Development Corporation, stating of legislators, "We are working with them all the time. We're not trying to bypass legislators in this process — they obviously have an active, participatory role."

It has also been reported that the Governor has attempted to steer funding from this pot of funding to projects in New York City to the Staten Island Lighthouse Point Project, though this has raised opposition from the Senate Republican Conference, given that it was created by their former leader. Instead, the funding was directed to a Staten Island outlet mall project. Though the funding for these projects was disclosed via meetings of the Public Authorities Control Board (PACB), it is only through increased scrutiny of these types of lump sum funds that more details were divulged through press reporting. Further, pending PACB resolutions are not available to the public, and are posted online only after their approval.<sup>18</sup>

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17 The bill authorizing the program is S.2004C of 2015, and contains the following broad language which does not mention a legislative role: "...Funds appropriated herein shall be available for project costs, services, expenses, loans and grants that catalyze private investment, grow commercial and residential tax bases and enhance the environment and quality of life for New York State residents. Investment purposes may include, but shall not be limited to, support of manufacturing, agriculture, business parks, community anchor facilities, county and local fairgrounds, advanced technology, biotechnology and biomedical facilities, and main street revitalization..."

18 See the following coverage by Politico report Jimmy Vielkind for more information:

- "Latest Budget Shows No Shortage of Pork." April 8, 2015. Available at: <http://www.capitalnewyork.com/article/albany/2015/04/8565644/latest-state-budget-no-shortage-pork>

- **State and Municipal Facilities Program** – This controversial pot, started in 2013 and totalling over \$1 billion, has been tracked by the Empire Center, a budget watchdog group. The FY17 Executive budget proposes reappropriating \$1.1 billion through this fund. Again, the budget language does not explicitly mention a role for the governor and legislature, though it is clear that they have discretion of its distribution. This pot has been treated much like the member items of the past, with lawmakers in the majority party having the greatest influence. It was reported in 2015 that of the Assembly's \$36 million of State and Municipal Project Fund grants, about \$34 million went to Democratic districts. Regarding the Senate, all of the chamber's \$74.7 million went to lawmakers who conference with the Senate Republicans or the five-member Independent Democratic Conference. The language of the appropriation was so broad, that even though it was intended for government properties, it has been used for private purposes as well, such as for a project of Governor Cuomo's of \$5 million to CBS to renovate the theater housing the "Late Show with Stephen Colbert."<sup>19</sup>

The state budget legislation that contains lump sums – the Aid to Localities and Capital budget bills – totals hundreds of pages: a whopping 826 for Aid to Localities and 675 for the Capital Budget. While Citizens Union's research has identified 78 pots that provide an explicit role for elected officials sprinkled among these pages, and other groups and journalists have uncovered additional problematic pots, it is very likely that there are more lump sum pots that have not yet been discovered and over which elected leaders have discretion.

The awarding of state funds, whether part of a lump sum appropriation or not, even when done in a competitive manner, may still not be immune from political interference. News coverage of a pending federal investigation of the 2012 "Buffalo Billion" economic development project has indicated that a supposedly competitive Request for Proposals (RFP) may have been tailored to a specific bidder, namely those with political ties to the administration. A major contributor to Governor Cuomo's political

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- "Cuomo backs away from plans to tap Senate GOP discretionary fund." January 29, 2016. Available at: <http://www.capitalnewyork.com/article/albany/2016/01/8589565/cuomo-backs-away-plans-tap-senate-gop-discretionary-fund?news-image>
  - "Few Details Available about 400 Million Discretionary Fund." February 8, 2016. Available at: <http://www.capitalnewyork.com/article/albany/2016/02/8590392/few-details-available-about-400-million-discretionary-fund>
  - "State pours subsidies into Staten Island outlet mall." February 15, 2016. Available at: <http://www.capitalnewyork.com/article/albany/2016/02/8591022/state-pours-subsidies-staten-island-outlet-mall>

<sup>19</sup> See coverage of the Empire Center's work at:

- Giardin, Kenneth. "Inside Albany's Secretive Slush Fund." NY Torch, the Empire Center. August 3, 2015. Available at: <http://www.empirecenter.org/publications/inside-albanys-secretive-slush-fund/>
- Campbell, Jon. "Watchdog report: Legislature does out the pork, again." Democrat and Chronicle. October 12, 2015. Available at: <http://www.democratandchronicle.com/story/news/local/2015/10/11/watchdog-report-legislature-doles-pork/73788144/>

campaigns, Louis Ciminelli is the general contractor of SolarCity, a \$750 million solar panel factory being built at South Buffalo's RiverBend complex, which is a centerpiece of the Buffalo Billion project. Ciminelli contributed \$96,500 to Cuomo's two campaigns for governor, and it is reported that at one point, the request for proposals to build SolarCity required applicants to have "over 50 years of proven experience" in construction in or around Buffalo, which would have excluded any company except Ciminelli's. The requirement was changed to 15 years, but the company nonetheless received the contract. The nature of the bidding process and alleged possible tailoring to fit a specific contractor is reportedly now being investigated by the U.S. Attorney Preet Bharara's office.

## VIII. A Path Forward: Citizens Union's Reform Recommendations

Citizens Union and our colleagues have urged the governor and legislators to enact legislation to reform discretionary funding, including lump sum appropriations. No proposals for greater accountability or transparency of these funds have been included in the governor's budget legislation, either tied directly to appropriations or in the separate Article VII reform legislation. Governor Cuomo included reform language in his 30-day amendments to last year's FY 2016 Capital Budget, but that language did not end up in the final bills, and has not yet been included this year.

With the governor having proposed reappropriating 78 pots of lump sums in the budget without any reform measures, it is the legislature's turn to act. In the past the legislature has negotiated for more control of discretionary funds, but given the crime wave of corruption directly related to these pots of funds, it is incumbent upon the legislature, working with the governor, to address this issue in the final budget.

Citizens Union recommends the following to reform lump sum and discretionary funding:

1. **The state budget should identify upfront all lump sum appropriations, both those identified with an elected official and those that are not.**
2. **The state budget should then disclose the detailed purposes and criteria for the distribution of any lump sum appropriations and reappropriations.**
3. Elected officials who seek to make awards from lump sum appropriations or reappropriations should **affirm that the contract or grant is for a lawful, public purpose, that the lawmaker has not and will not receive any financial benefit, that there are no conflicts of interest, and that the elected official is in compliance with all financial disclosure requirements** in the public officers law. Language requiring this of legislators was included in Governor Cuomo's 2015 Capital Budget 30-day amendments, but was not included in the final budget. This affirmation should be required of elected officials for each individual pot which they influence, and should be codified for all discretionary lump sums via state finance law. That no such similar reforms

were proposed by the governor is a strange omission given that Silver was convicted for his misuse of lump sum pot.

4. **All grants and contracts expended under lump sum funds should receive comprehensive, online disclosure.** The disclosure should be in a user-friendly, machine-readable format permitting independent analysis and should include all MOUs, plans, resolutions and other agreements, funds distributed, and the identity of recipients, elected sponsors, and the amount of funds that remain.
5. For lump sum funds distributed via assembly or senate resolution, **resolutions should age for three days and identify the legislative sponsor for individual items.**
  - a. Similarly, for **any member items included as line items in the state budget, legislative sponsors should be identified in the budget bills** next to their sponsored items.
6. **There should be a time limit for the reappropriation of lump-sums** to decrease slush funds and the use of such funds as “one-shot” budget gap fillers.

Appendix A

LEGISLATIVE TURNOVER DUE TO IMPROPER STEERING OF STATE FUNDS BY DISTRICT: 2008-2015					
DISTRICT	YEAR LEFT OFFICE	HOUSE	LEGISLATOR	REASON LEAVING OFFICE	SUCCEEDED BY (CURRENT INCUMBENTS IN BOLD)
9	2015	Senate	Dean Skelos	<b>Convicted of eight counts related to</b> his office to secure lucrative employment opportunities for his son. Attempted to steer state grants for his son’s employer, which was engaged hydro fracking and sewer projects in Long Island, Skelos’s power base. <sup>20</sup>	N/A
65	2015	Assembly	Sheldon Silver (D)	<b>Convicted of seven charges related to receiving \$4 million in referral fees for improper use of his public position.</b> <sup>21</sup> Steered \$500,000 in state funds from lump sum pot to medical research in return for legal referral fees. Received further referral fees from real estate firms.	N/A
14	2014	Senate	Malcolm Smith (D)	<b>Convicted of bribery charges for trying to seek ballot line for NYC Mayor</b> after losing the 2014 Primary Election due to indictment. <sup>22</sup> Tried to steer lump sum pot of multi-modal transportation funds in bribery scheme.	<b>Leroy Comrie, Jr. (D)</b>

<sup>20</sup> Velasquez, Josepha. “Skelos era ends with conviction on all counts.” Politico New York. December 11, 2015. Available at: <http://www.capitalnewyork.com/article/albany/2015/12/8584945/skelos-era-ends-conviction-all-counts>

<sup>21</sup> Vielkind, Jimmy and Hamilton, Colby. “Sheldon Silver Convicted on all counts in corruption trial.” Politico New York. November 30, 2015. Available at: <http://www.capitalnewyork.com/article/albany/2015/11/8584037/sheldon-silver-convicted-all-counts-corruption-trial>

<sup>22</sup> Calder, Rich. “Malcolm Smith guilty of trying to rig NYC mayor’s race.” New York Post. February 5, 2015. Available at: <http://nypost.com/2015/02/05/malcolm-smith-guilty-of-trying-to-rig-nyc-mayors-race/>; and

Gardnier, Seth. “New Trial Set for Malcolm Smith in Bribery Case.” Wall Street Journal. June 17, 2014. Available at: <http://online.wsj.com/articles/mistrial-in-new-york-state-senator-malcolm-smiths-federal-bribery-case-1403021831>

LEGISLATIVE TURNOVER DUE TO IMPROPER STEERING OF STATE FUNDS BY DISTRICT: 2008-2015					
DISTRICT	YEAR LEFT OFFICE	HOUSE	LEGISLATOR	REASON LEAVING OFFICE	SUCCEEDED BY (CURRENT INCUMBENTS IN BOLD)
55	2014	Assembly	William Boyland Jr. (D)	<b>Felony conviction forced exit from office:</b> twenty-one counts of bribery, mail fraud and extortion. <sup>23</sup> Offered to steer state funds to renovate a hospital and then sell it to a non-profit he controlled, demanding \$250,000 in exchange. Steered \$200,000 in member item funds to a non-profit for his personal and political benefit. Fraudulently claimed travel vouchers. Received bribes in exchange for locating carnivals in his district. <sup>24</sup>	<b>Latrice Walker (D)</b>
53	2013	Assembly	Vito Lopez (D)	<b>Resigned due to ethical misconduct and sexual harassment scandal:</b> following the release of report by the Joint Commission on Public Ethics (JCOPE) which found a substantial basis for violations of the Public Officers Law, Lopez resigned amidst public pressure. <sup>25</sup> Founded the Ridgewood Bushwick Senior Citizens Council, which has \$100 million in contracts before the city and state, and continues to be funded by member items after Lopez’s resignation (\$440,000 in FY15 alone). <sup>26</sup> Received campaign donations from parties with contracts before the RBSCC – no indictment. <sup>27</sup>	<b>Maritza Davila (D)</b> (special election)

23 Marzulli, John. “Assemblyman William Boyland Jr. tossed in jail after conviction on bribe charges.” NY Daily News. March 6, 2014. Available at: <http://www.nydailynews.com/new-york/brooklyn/brooklyn-assemblyman-william-boyland-jr-convicted-article-1.1713067#commentpostform>

24 U.S. Attorney’s Office, Eastern District of New York. “New York State Assemblyman William J. Boyland, Jr. Convicted of Bribery, Fraud, Extortion, Conspiracy and Theft.” March 6, 2014. Available at: <http://www.justice.gov/usao/nye/pr/March14/2014Mar6b.php>

25 Seiler, Casey. “Lopez Resigns, Effective 9 a.m. Monday.” Times Union. May 18, 2013. Available at: <http://blog.timesunion.com/capitol/archives/187364/lopez-resigns-effective-9-a-m-monday/>

26 Bredderman, Will. “Councilmen defend funding a Vito Lopez non-profit.” Observer. June 26, 2014. Available at: <http://observer.com/2014/06/councilmen-defend-funding-a-vito-lopez-non-profit/>

LEGISLATIVE TURNOVER DUE TO IMPROPER STEERING OF STATE FUNDS BY DISTRICT: 2008-2015					
DISTRICT	YEAR LEFT OFFICE	HOUSE	LEGISLATOR	REASON LEAVING OFFICE	SUCCEEDED BY (CURRENT INCUMBENTS IN BOLD)
10	2012	Senate	Shirley Huntley (D)	<b>Lost primary due to ethical issue:</b> Indicted over conspiracy to funnel money intended for her non-profit to aides and tampering with an open investigation. <sup>28</sup>	<b>James Sanders, Jr. (D)</b>
17*	2012	Senate	Carl Kruger (D)	<b>Felony conviction forced exit from office:</b> Charged with bribery and pleaded guilty to conspiring to take at least \$1 million in bribes in exchange for various favors to sponsor legislation and direct grants. Was Senate Finance Committee Chair for two years. <sup>29</sup>	David Storobin (R)
33	2010	Senate	Pedro Espada (D)	<b>Lost primary due to ethical issues:</b> Indicted on various corruption charges by the State Attorney General and F.B.I. <sup>30</sup> He later pleaded guilty to federal tax evasion in 2012. <sup>31</sup> Embezzled from Soundview Healthcare Center, which he founded and which received state funding. Was Senate Majority Leader for two years. <sup>32</sup>	<b>Gustavo Rivera (D)</b>

27 Gearty, Robert. "Developers, architects, accountants and security firms cash in after writing checks to Vito Lopez." NY Daily News. September 29, 2010. Available at: <http://www.nydailynews.com/new-york/developers-architects-accountants-security-firms-cash-writing-checks-vito-lopez-article-1.438153>

28 Newman, Philip. "Huntley indicted on criminal cover-up involving her charity." Times Ledger. August 27, 2012. Available at: [http://timesledger.com/stories/2012/35/huntleyindict\\_web\\_08\\_27\\_q\\_2012\\_35.html](http://timesledger.com/stories/2012/35/huntleyindict_web_08_27_q_2012_35.html)

29 New York Times. "Carl Kruger Topic Page." Available at: [http://topics.nytimes.com/topics/reference/timestopics/people/k/carl\\_kruger/index.html](http://topics.nytimes.com/topics/reference/timestopics/people/k/carl_kruger/index.html)

30 Confessore, Nicholas and Rashbaum, William K. "Pedro Espada Accused of Stealing from Healthcare Network" The New York Times, December 14, 2010. Available at: <http://www.nytimes.com/2010/12/15/nyregion/15espada.html?pagewanted=print>

31 Secret, Mosi. "Espada Speaks Softly as he Pleads Guilty to a Single Tax Charge." New York Times. October 12, 2012. Available at: <http://www.nytimes.com/2012/10/13/nyregion/pedro-espada-jr-pleads-guilty-to-tax-evasion.html>

32 U.S. Attorney's Office, Eastern District of New York. "Former State Senate Majority Leader Convicted of Stealing from Non-profit Medical Clinics." May 14, 2012. Available at: <http://www.justice.gov/usao/nye/pr/2012/2012may14b.html>

LEGISLATIVE TURNOVER DUE TO IMPROPER STEERING OF STATE FUNDS BY DISTRICT: 2008-2015					
DISTRICT	YEAR LEFT OFFICE	HOUSE	LEGISLATOR	REASON LEAVING OFFICE	SUCCEEDED BY (CURRENT INCUMBENTS IN BOLD)
40	2010	Senate	Vincent Leibell (R)	<b>Resigned due to ethical misconduct:</b> before end of Senate term pleaded guilty to felony corruption charges stemming from federal investigation. He had also won the 2010 General Election for Putnam County Executive, and was forced to resign from that post. <sup>33</sup> Controlled a non-profit group that built senior housing in his district with millions in member item grants, receiving kickbacks. <sup>34</sup>	Gregory Ball (R)
33	2008	Senate	Efrain Gonzalez (D)	<b>Lost election due to ethical issues:</b> Was indicted on federal mail fraud and lost election as result of federal investigation; he later pled guilty to two charges of mail fraud and two charges of conspiracy to commit mail fraud. <sup>35</sup> Steered \$200,000 in member items to Pathways for Youth, which in turn directed \$400,000 to West Bronx Neighborhood Association, from which Gonzales embezzled \$500,000. <sup>36</sup>	Pedro Espada, Jr. (D)

33 Matthews, Cara. "Ball: 'Deeply saddened' about Leibell" Politics on the Hudson, December 3, 2010. Available at: <http://polhudson.lohudblogs.com/2010/12/03/ball-deeply-saddened-about-leibell/>

34 Rashbaum, William K. & Schweber, Nate. "Sidewalk Meeting for State Senator and Lawyer Leads to Guilty Plea." New York Times. December 6, 2010. Available at: <http://www.nytimes.com/2010/12/07/nyregion/07leibell.html>

35 Confessore, Nicholas. "Efrain Gonzalez Jr. Pleads Guilty to Fraud Charges." New York Times. May 8, 2009. Available at: <http://www.nytimes.com/2009/05/09/nyregion/09gonzalez.html>

36 Weiser, Benjamin. "A Former Bronx Senator Gets Seven Years for Corruption." New York Times. May 25, 2010. Available at: <http://www.nytimes.com/2010/05/26/nyregion/26gonzalez.html>



Appendix B – Lump Sum Fund Listing

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6403/A9003 (FY17); S2003/A3003 (FY16)	Aid to Localities	55; 66	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget	Crime Prevention and Reduction Strategies Program; General Fund, Local Assistance Account - 10000	Resolution	For services and expenses of programs that prevent domestic violence or aid the victims of domestic violence. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	2015	1,609,000	1,605,000
S6403/A9003 (FY17); S2003/A3003 (FY16)	Aid to Localities	55; 66	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget	Crime Prevention and Reduction Strategies Program; General Fund, Local Assistance Account - 10000	Resolution	For services and expenses of law enforcement, anti-drug, anti-violence, crime control and prevention programs. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	2015	2,891,000	2,881,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6403/A9003 (FY17); S2003/A3003 (FY16)	Aid to Localities	55; 67	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget	Crime Prevention and Reduction Strategies Program; General Fund, Local Assistance Account - 10000	Resolution	For services and expenses of law enforcement and emergency services agencies for equipment and technology enhancements. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	2015	604,000	601,000
S6403/A9003 (FY17); S2003/A3003 (FY16)	Aid to Localities	56; 67	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget	Crime Prevention and Reduction Strategies Program; General Fund, Local Assistance Account - 10000	Resolution	For services and expenses of rape crisis centers for services to rape victims and programs to prevent rape, in underserved areas. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	2015	2,700,000	2,700,000
S6403/A9003 (FY17); S2003/A3003 (FY16)	Aid to Localities	63; 69	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget	Crime Prevention and Reduction Strategies Program; Special Revenue Funds, Federal Miscellaneous Operating Grants Fund, Edward Byrne Memorial Grant Account	Resolution	For services and expenses of drug, violence, and crime control and prevention programs. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	2015	300,000	300,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6403/A9003 (FY17); S2003/A3003 (FY16)	Aid to Localities	70; 72	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget	Crime Prevention and Reduction Strategies Program; Special Revenue Funds, Miscellaneous Special Revenue Fund, Legal Services Assistance Account - 22096	Resolution	For services and expenses of civil or criminal domestic violence services. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	2015	950,000	950,000
S6403/A9003 (FY17); S2003/A3003 (FY16); S6353/A8553 (FY15)	Aid to Localities	58; 78; 65	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget	Crime Prevention and Reduction Strategies Program; General Fund, Local Assistance Account - 10000	Resolution	For services and expenses of programs that prevent domestic violence or aid the victims of domestic violence. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2014	1,609,000	506,000
S6403/A9003 (FY17); S2003/A3003 (FY16); S6353/A8553 (FY15)	Aid to Localities	58; 78; 65	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget	Crime Prevention and Reduction Strategies Program; General Fund, Local Assistance Account - 10000	Resolution	For services and expenses of law enforcement, anti-drug, anti-violence, crime control and prevention programs. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2014	2,891,000	1,800,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6403/A9003 (FY17); S2003/A3003 (FY16); S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	60; 81; 77; 63	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget	Crime Prevention and Reduction Strategies Program; General Fund, Local Assistance Account - 10000	Resolution	For services and expenses of programs that prevent domestic violence or aid the victims of domestic violence. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2013	609,000	40,000
S6403/A9003 (FY17); S2003/A3003 (FY16); S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	60; 81; 77; 64	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget	Crime Prevention and Reduction Strategies Program; General Fund, Local Assistance Account - 10000	Resolution	For services and expenses of law enforcement, anti-drug, anti-violence, crime control and prevention programs. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2013	1,891,000	281,000
S6403/A9003 (FY17); S2003/A3003 (FY16); S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	61; 83; 79; 74	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget	Crime Prevention and Reduction Strategies Program; General Fund, Local Assistance Account - 10000	Resolution	For services and expenses of family court domestic violence services. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2012	600,000	78,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6403/A9003 (FY17); S2003/A3003 (FY16); S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	61; 83; 79; 74	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget	Crime Prevention and Reduction Strategies Program; General Fund, Local Assistance Account - 10000	Resolution	For services and expenses of local law enforcement and judges for domestic violence training. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2012	500,000	70,000
S6403/A9003 (FY17); S2003/A3003 (FY16); S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	61; 84; 79; 74	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget	Crime Prevention and Reduction Strategies Program; General Fund, Local Assistance Account - 10000	Resolution	For services and expenses of law enforcement, anti-drug, anti-violence, crime control and prevention programs. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2012	450,000	11,000
S6403/A9003 (FY17); S2003/A3003 (FY16); S6353/A8553 (FY15)	Aid to Localities	63; 96; 67	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget	Crime Prevention and Reduction Strategies Program; Special Revenue Funds, Federal Miscellaneous Operating Grants Fund, Edward Byrne Memorial Grant Account	Resolution	For services and expenses of drug, violence, and crime control and prevention programs. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2014	300,000	170,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6403/A9003 (FY17); S2003/A3003 (FY16); S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	65; 97; 95; 65	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget	Crime Prevention and Reduction Strategies Program; Special Revenue Funds, Federal Miscellaneous Operating Grants Fund, Edward Byrne Memorial Grant Account	Resolution	For services and expenses of drug, violence, and crime control and prevention programs. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2013	500,000	86,000
S6403/A9003 (FY17); S2003/A3003 (FY16); S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	65; 98; 95; 92	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget	Crime Prevention and Reduction Strategies Program; Special Revenue Funds, Federal Miscellaneous Operating Grants Fund, Edward Byrne Memorial Grant Account	Resolution	For services and expenses of drug, violence, and crime control and prevention programs. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2012	780,000	119,000
S6403/A9003 (FY17); S2003/A3003 (FY16); S6353/A8553 (FY15)	Aid to Localities	71; 108; 71	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget	Crime Prevention and Reduction Strategies Program; Special Revenue Funds, Miscellaneous Special Revenue Fund, Legal Services Assistance Account - 22096	Resolution	For services and expenses of civil or criminal domestic violence services. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2014	950,000	293,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6403/A9003 (FY17); S2003/A3003 (FY16); S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	72; 109; 110; 69	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget	Crime Prevention and Reduction Strategies Program; Special Revenue Funds, Miscellaneous Special Revenue Fund, Legal Services Assistance Account - 22096	Resolution	For services and expenses of civil or criminal domestic violence services. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2013	650,000	17,000
S6403/A9003 (FY17); S2003/A3003 (FY16); S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	72; 110; 111; 110	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget	Crime Prevention and Reduction Strategies Program; Special Revenue Funds, Miscellaneous Special Revenue Fund, Legal Services Assistance Account - 22096	Resolution	For services and expenses of civil or criminal domestic violence services. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2012, as amended by chapter 53, section 1, of the laws of 2014	650,000	34,000
S6403/A9003 (FY17); S2003/A3003 (FY16)	Aid to Localities	200; 157	Department of Environmental Conservation	Temporary President of the Senate; Director of the Budget	Administration Program; General Fund, Local Assistance Account - 10000	Resolution	For additional services and expenses of the invasive species and dredging projects. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	Proposed 2015	400,000	400,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6403/A9003 (FY17); S2003/A3003 (FY16); S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	603; 648; 767; 777	Department of Labor	Senate Majority	Employment and Training Program; General Fund Local Assistance Account - 10000	Unclear	For Senate Majority Labor Initiatives	By chapter 53, section 1, of the laws of 2006, as amended by chapter 53, section 1, of the laws of 2011	1,800,000	97,000
S6403/A9003 (FY17); S2003/A3003 (FY16); S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	603; 648; 768; 777	Department of Labor	Senate Majority	Employment and Training Program; General Fund Local Assistance Account - 10000	Unclear	For Senate Majority Labor Initiatives	By chapter 53, section 1, of the laws of 2005	1,750,000	768,000



Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6403/A9003 (FY17); S2003/A3003 (FY16); S6353/A8553 (FY15)	Aid to Localities	607; 654; 774	Department of Law	Attorney General; Director of the Budget	Foreclosure Avoidance and Amelioration; Fiduciary Funds Miscellaneous New York State Agency Fund Mortgage Settlement Proceeds Trust Fund Account - 60690	Unilateral	For allocation as follows: In accordance with a plan developed by the attorney general to provide compensation to the state of New York and its communities for harms purportedly caused by the allegedly unlawful conduct of J.P. Morgan Securities LLC (f/k/a "Bear, Stearns & Co. Inc."), JP Morgan Chase Bank, N.A., EMC Mortgage LLC (f/k/a "EMC Mortgage Corporation"), for purposes intended to avoid preventable foreclosures, to ameliorate the effects of the foreclosure crisis, to enhance law enforcement efforts to prevent and prosecute financial fraud or unfair or deceptive acts or practices, and to otherwise promote the interests of the investing public. Such permissible purposes for allocation of the funds include, but are not limited to, providing funding for housing counselors, state and local foreclosure assistance hotlines, state and local foreclosure mediation projects, legal assistance, housing remediation and anti-blight projects, and for the training and staffing of, and capital expenditures required by, financial fraud and consumer protection efforts, and for any other purpose consistent with the terms of the Settlement Agreement dated November 19, 2013 between J.P. Morgan Securities LLC (f/k/a "Bear, Stearns & Co. Inc."), JPMorgan Chase Bank, N.A., EMC Mortgage LLC (f/k/a "EMC Mortgage Corporation") and the people of the state of New York. Notwithstanding any other law to the contrary, the amounts appropriated herein may be suballocated to any state department or agency for the purposes stated herein, with the approval of the director of the budget, who shall file such approval with the department of audit and control and copies thereof with the chairman of the senate finance committee and the chairman of the assembly ways and means committee	By chapter 53, section 1, of the laws of 2014	81,500,234	81,500,234

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6403/A9003 (FY17); S2003/A3003 (FY16)	Aid to Localities	642; 683	Department of Mental Hygiene	Temporary President of the Senate; Director of the Budget	Adult Services Program; Special Revenue Funds - Other, Miscellaneous Special Revenue Fund, Mental Hygiene Program Fund Account - 21907	Resolution	For additional services and expenses of the Joseph P. Dwyer Veteran Peer to Peer Pilot Program. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the speaker of the assembly and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution shall be approved by a majority vote of all members elected to the assembly upon a roll call vote	Proposed 2015	1,022,000	1,022,000
S6403/A9003 (FY17); S2003/A3003 (FY16); S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	669; 725; 854; 852	Office of Parks, Recreation and Historic Preservation	Temporary President of the Senate; Director of the Budget	National Heritage Trust Program; General Fund Local Assistance Account - 10000	Consultation	For services and expenses, grants in aid or for contracts with municipalities and/or private not-for-profit agencies to be determined pursuant to a plan to be developed by the director of the budget in consultation with the temporary president of the senate for New York State Heritage Trail tourism projects	By chapter 55, section 1, of the laws of 2005	1,000,000	58,900
S6403/A9003 (FY17); S2003/A3003 (FY16)	Aid to Localities	715; 823	Urban Development Corporation	Temporary President of the Senate; Director of the Budget	Economic Development Program; General Fund, Local Assistance Account - 10000	Resolution	For services and expenses of military base retention and research efforts. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the speaker of the assembly and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution shall be approved by a majority vote of all members elected to the assembly upon a roll call vote	Proposed 2015	3,000,000	3,000,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6403/A9003 (FY17); S2003/A3003 (FY16); S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	733; 860; 990; 992	Miscellaneous	Director of the Budget; Chair of the Assembly Ways and Means Committee	Higher Education Opportunity Programs; General Fund Local Assistance Account - 10000	Consultation	For services and expenses of the following: search for education, elevation and knowledge (SEEK) programs (\$1,000,000); educational opportunity program (\$955,000); student financial assistance to expand opportunities at community colleges of the city university for the educationally and economically disadvantaged in accordance with section 6452 of the education law (\$55,000); liberty partnership program awards (\$1,700,000); higher education opportunity program awards (\$3,485,000); science and technology entry program (STEP) awards (\$1,027,000); and collegiate science and technology entry program (CSTEP) awards (\$778,000). This appropriation may be allocated to the city university of New York, the state university of New York, and the state education department pursuant to a plan developed and approved by the director of the budget following consultation with the chair of the assembly ways and means committee	By chapter 53, section 1, of the laws of 2011, as added by chapter 55, section 2, of the laws of 2011	9,000,000	1,121,000
S6403/A9003 (FY17); S2003/A3003 (FY16); S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	750; 881; 1011; 1013	Miscellaneous	Governor; Temporary President of the Senate; Speaker of the Assembly	Regional Economic Development Program; General Fund Local Assistance Account - 10000	MOU	For services and expenses of the regional economic development program pursuant to a memorandum of understanding to be executed by the governor, the temporary president of the senate, and the speaker of the assembly. All or a portion of the funds appropriated hereby may be suballocated to any department, agency, or public authority, provided, however, that the amount of this appropriation available for expenditure and disbursement on and after September 1, 2008 shall be reduced by six percent of the amount that was undisbursed as of August 15, 2008	By chapter 55, section 1, of the laws of 2005, as transferred by chapter 53, section 1, of the laws of 2012	10,000,000	5,159,000
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15)	Capital	17; 19; 12	CUNY	Temporary President of the Senate, Director of the Budget	General Maintenance and Improvements (CCP); Capital Projects Funds - Other, Capital Projects Fund, Administration Purpose	Resolution	Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of projects with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 54, section 1, of the laws of 2014	\$67,000,000	\$67,000,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	31; 35; 33; 28	CUNY	Majority Leader of the Senate, Director of the Budget	General Maintenance and Improvements (CCP); Capital Projects Funds - Other, Capital Projects Fund, Administration Purpose	Consultation	An additional advance for alterations and improvements to various facilities including services and expenses, service contracts, memorandum of understanding, capital design, construction, acquisition, reconstruction, rehabilitation and equipment; for health and safety, preservation of facilities, new facilities, program improvement or program change, technology, environmental protection, energy conservation, accreditation, facilities for the physically disabled and related projects including costs incurred prior to April 1, 2006 subject to an annual plan developed by the city university of New York which shall include projects in the following schedule... to be developed by the city university of New York in consultation with the senate majority leader and approved by the director of budget	By chapter 53, section 1, of the laws of 2006, as amended by chapter 53, section 1, of the laws of 2007	\$28,500,000	(unknown)
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	34; 38; 37; 32	CUNY	Majority Leader of the Senate, Director of the Budget	General Maintenance and Improvements (CCP); Capital Projects Funds - Other, Capital Projects Fund, Administration Purpose	Consultation	An additional advance for alterations and improvements to various facilities including services and expenses, service contracts, memorandum of understanding, capital design, construction, acquisition, reconstruction, rehabilitation and equipment; for health and safety, preservation of facilities, new facilities, program improvement or program change, technology, environmental protection, energy conservation, accreditation, facilities for the physically disabled and related projects including costs incurred prior to April 1, 2005 subject to an annual plan developed by the city university of New York which shall include projects in the following schedule... to be developed by the city university of New York in consultation with the senate majority leader and approved by the director of budget	By chapter 53, section 1, of the laws of 2005, as amended by chapter 53, section 1, of the laws of 2006	\$72,000,000	(unknown)

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	173; 195; 186; 173	Department of Environmental Conservation	Governor, Temporary President of the Senate, Speaker of the Assembly	Solid and Hazardous Waste Management (CCP); Capital Projects Funds - Other, Hazardous Waste Remedial Fund, Hazardous Waste Remediation Oversight and Assistance Account, Hazardous Waste Purpose	MOU	For the following purposes pursuant to a memorandum of understanding to be executed by the governor, the temporary president of the senate and the speaker of the assembly: non-bondable services and expenses associated with the brownfield cleanup and hazardous waste remediation projects; grants authorized pursuant to section 970-r of the general municipal law; technical assistance grants pursuant to titles 13 and 14 of article 27 of the environmental conservation law; services and expenses associated with negotiating and overseeing implementation of brownfield site cleanup agreements in accordance with title 14 of article 27 of the environmental conservation law; including personal services and fringe benefits of the department of environmental conservation including costs incidental and appurtenant thereto including suballocation to other state departments and agencies; and for other brownfield site cleanup hazardous waste purposes	By chapter 55, section 1, of the laws of 2006	\$15,000,000	\$15,000,000
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	173; 196; 186; 173	Department of Environmental Conservation	Governor, Temporary President of the Senate, Speaker of the Assembly	Solid and Hazardous Waste Management (CCP); Capital Projects Funds - Other, Hazardous Waste Remedial Fund, Hazardous Waste Remediation Oversight and Assistance Account, Hazardous Waste Purpose	MOU	For the following purposes pursuant to a memorandum of understanding to be executed by the governor, the temporary president of the senate and the speaker of the assembly: non-bondable services and expenses associated with the brownfield cleanup and hazardous waste remediation projects; grants authorized pursuant to section 970-r of the general municipal law; technical assistance grants pursuant to titles 13 and 14 of article 27 of the environmental conservation law; services and expenses associated with negotiating and overseeing implementation of brownfield site cleanup agreements in accordance with title 14 of article 27 of the environmental conservation law; including personal services and fringe benefits of the department of environmental conservation including costs incidental and appurtenant thereto including suballocation to other state departments and agencies; and for other brownfield site cleanup hazardous waste purposes	By chapter 55, section 1, of the laws of 2005	\$15,000,000	\$15,000,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	174; 196 187; 174	Department of Environmental Conservation	Governor, Temporary President of the Senate, Speaker of the Assembly	Solid and Hazardous Waste Management (CCP); Capital Projects Funds - Other, Hazardous Waste Remedial Fund, Hazardous Waste Remediation Oversight and Assistance Account, Hazardous Waste Purpose	MOU	For the following purposes pursuant to a memorandum of understanding to be executed by the governor, the temporary president of the senate and the speaker of the assembly: non-bondable services and expenses associated with the brownfield cleanup and hazardous waste remediation projects; grants authorized pursuant to section 970-r of the general municipal law; technical assistance grants pursuant to titles 13 and 14 of article 27 of the environmental conservation law; services and expenses associated with negotiating and overseeing implementation of brownfield site cleanup agreements in accordance with title 14 of article 27 of the environmental conservation law; including personal services and fringe benefits of the department of environmental conservation including costs incidental and appurtenant thereto including suballocation to other state departments and agencies; and for other brownfield site cleanup hazardous waste purposes	By chapter 55, section 1, of the laws of 2004, as amended by chapter 55, section 1, of the laws of 2009	\$15,000,000	\$7,047,000
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	174; 196; 187; 174	Department of Environmental Conservation	Governor, Temporary President of the Senate, Speaker of the Assembly	Solid and Hazardous Waste Management (CCP); Capital Projects Funds - Other, Hazardous Waste Remedial Fund, Hazardous Waste Remediation Oversight and Assistance Account, Hazardous Waste Purpose	MOU	For the following purposes pursuant to a memorandum of understanding to be executed by the governor, the temporary president of the senate and the speaker of the assembly: non-bondable services and expenses associated with the brownfield cleanup and hazardous waste remediation projects; grants authorized pursuant to section 970-r of the general municipal law; technical assistance grants pursuant to titles 13 and 14 of article 27 of the environmental conservation law; services and expenses associated with negotiating and overseeing implementation of brownfield site cleanup agreements in accordance with title 14 of article 27 of the environmental conservation law; including personal services and fringe benefits of the department of environmental conservation including costs incidental and appurtenant thereto including suballocation to other state departments and agencies; and for other brownfield site cleanup hazardous waste purposes	By chapter 55, section 1, of the laws of 2003, as amended by chapter 55, section 1, of the laws of 2009	\$15,000,000	\$8,575,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15)	Capital	392; 447; 430	SUNY	Temporary President of the Senate, Director of the Budget	General Maintenance and Improvements (CCP); Capital Projects Funds - Other, Capital Projects Fund, Preservation of Facilities Purpose	Resolution	Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of projects with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 54, section 1, of the laws of 2014	\$49,000,000	\$46,549,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	393; 449; 438; 403	SUNY	Governor, Chancellor of SUNY	General Maintenance and Improvements (CCP); Capital Projects Funds - Other, Capital Projects Fund, Preservation of Facilities Purpose	Competitive	Advances for alterations and improvements to facilities for capital critical maintenance, including but not limited to services and expenses, service agreements or service contracts and memoranda of understanding; for capital design including the cost of services provided by private firms, including preparation of designs, plans, specifications and estimates; for property acquisition, and facility reconstruction, rehabilitation, equipment; for health and safety improvements and upgrades to preserve or enhance facility functioning; for program improvements or program change; to support improvements in technology, research, environmental protection, energy and resource conservation, and accreditation; to finance costs attributable to executive order 111, ADA and code compliance needs, claims, emergencies and remediation of environmental hazards; to ensure the functionality of major building systems such as fire alarms and sprinklers, electrical, mechanical, plumbing, heating/cooling systems and supporting infrastructure, including underground utilities; and to provide for facilities for the disabled and related projects including costs incurred prior to April 1, 2012 subject to a plan developed by the state university and approved by the director of the budget. Notwithstanding any provision of law, rule or regulation to the contrary, amounts designated as university-wide, may be made available for projects identified and approved by the governor and the chancellor of the state university of New York pursuant to the NY-SUNY 2020 challenge grant program	By chapter 54, section 1, of the laws of 2012	\$550,000,000	\$191,903,000



Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	396; 451; 441; 405	SUNY	Governor, Chancellor of SUNY	General Maintenance and Improvements (CCP); Capital Projects Funds - Other, Capital Projects Fund, Preservation of Facilities Purpose	Competitive	Advances for alterations and improvements to facilities for capital critical maintenance, including but not limited to services and expenses, service agreements or service contracts and memoranda of understanding; for capital design including the cost of services provided by private firms, including preparation of designs, plans, specifications and estimates; for property acquisition, and facility reconstruction, rehabilitation, equipment; for health and safety improvements and upgrades to preserve or enhance facility functioning; for program improvements or program change; to support improvements in technology, research, environmental protection, energy and resource conservation, and accreditation; to finance costs attributable to executive order 111, ADA and code compliance needs, claims, emergencies and remediation of environmental hazards; to ensure the functionality of major building systems such as fire alarms and sprinklers, electrical, mechanical, plumbing, heating/cooling systems and supporting infrastructure, including underground utilities; and to provide for facilities for the disabled and related projects including costs incurred prior to April 1, 2012 subject to a plan developed by the state university and approved by the director of the budget. Notwithstanding any provision of law, rule or regulation to the contrary, amounts designated as university-wide, may be made available for projects identified and approved by the governor and the chancellor of the state university of New York pursuant to the NY-SUNY 2020 challenge grant program	By chapter 53, section 1, of the laws of 2011, as amended by chapter 54, section 1, of the laws of 2012	\$550,000,000	\$115,793,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	398; 454; 444; 408	SUNY	Governor, Chancellor of SUNY	General Maintenance and Improvements (CCP); Capital Projects Funds - Other, Capital Projects Fund, Preservation of Facilities Purpose	Competitive	Advances for alterations and improvements to facilities for capital critical maintenance, including but not limited to services and expenses, service agreements or service contracts and memoranda of understanding; for capital design including the cost of services provided by private firms, including preparation of designs, plans, specifications and estimates; for property acquisition, and facility reconstruction, rehabilitation, equipment; for health and safety improvements and upgrades to preserve or enhance facility functioning; for program improvements or program change; to support improvements in technology, research, environmental protection, energy and resource conservation, and accreditation; to finance costs attributable to executive order 111, ADA and code compliance needs, claims, emergencies and remediation of environmental hazards; to ensure the functionality of major building systems such as fire alarms and sprinklers, electrical, mechanical, plumbing, heating/cooling systems and supporting infrastructure, including underground utilities; and to provide for facilities for the disabled and related projects including costs incurred prior to April 1, 2012 subject to a plan developed by the state university and approved by the director of the budget. Notwithstanding any provision of law, rule or regulation to the contrary, amounts designated as university-wide, may be made available for projects identified and approved by the governor and the chancellor of the state university of New York pursuant to the NY-SUNY 2020 challenge grant program	By chapter 54, section 1, of the laws of 2010, as amended by chapter 54, section 1, of the laws of 2012	\$550,000,000	\$64,452,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	401; 457; 447; 411	SUNY	Governor, Chancellor of SUNY	General Maintenance and Improvements (CCP); Capital Projects Funds - Other, Capital Projects Fund, Preservation of Facilities Purpose	Competitive	Advances for alterations and improvements to facilities for capital critical maintenance, including but not limited to services and expenses, service agreements or service contracts and memoranda of understanding; for capital design including the cost of services provided by private firms, including preparation of designs, plans, specifications and estimates; for property acquisition, and facility reconstruction, rehabilitation, equipment; for health and safety improvements and upgrades to preserve or enhance facility functioning; for program improvements or program change; to support improvements in technology, research, environmental protection, energy and resource conservation, and accreditation; to finance costs attributable to executive order 111, ADA and code compliance needs, claims, emergencies and remediation of environmental hazards; to ensure the functionality of major building systems such as fire alarms and sprinklers, electrical, mechanical, plumbing, heating/cooling systems and supporting infrastructure, including underground utilities; and to provide for facilities for the disabled and related projects including costs incurred prior to April 1, 2012 subject to a plan developed by the state university and approved by the director of the budget. Notwithstanding any provision of law, rule or regulation to the contrary, amounts designated as university-wide, may be made available for projects identified and approved by the governor and the chancellor of the state university of New York pursuant to the NY-SUNY 2020 challenge grant program	By chapter 53, section 1, of the laws of 2009, as amended by chapter 54, section 1, of the laws of 2012	\$550,000,000	\$47,803,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	403; 460; 450; 414	SUNY	Governor, Chancellor of SUNY	General Maintenance and Improvements (CCP); Capital Projects Funds - Other, Capital Projects Fund, Preservation of Facilities Purpose	Competitive	Advances for alterations and improvements to facilities for capital critical maintenance, including but not limited to services and expenses, service agreements or service contracts and memoranda of understanding; for capital design including the cost of services provided by private firms, including preparation of designs, plans, specifications and estimates; for property acquisition, and facility reconstruction, rehabilitation, equipment; for health and safety improvements and upgrades to preserve or enhance facility functioning; for program improvements or program change; to support improvements in technology, research, environmental protection, energy and resource conservation, and accreditation; to finance costs attributable to executive order 111, ADA and code compliance needs, claims, emergencies and remediation of environmental hazards; to ensure the functionality of major building systems such as fire alarms and sprinklers, electrical, mechanical, plumbing, heating/cooling systems and supporting infrastructure, including underground utilities; and to provide for facilities for the disabled and related projects including costs incurred prior to April 1, 2012 subject to a plan developed by the state university and approved by the director of the budget. Notwithstanding any provision of law, rule or regulation to the contrary, amounts designated as university-wide, may be made available for projects identified and approved by the governor and the chancellor of the state university of New York pursuant to the NY-SUNY 2020 challenge grant program	By chapter 53, section 1, of the laws of 2008, as amended by chapter 54, section 1, of the laws of 2012	\$550,000,000	\$36,824,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	413; 472; 460; 425	SUNY	Governor, Chancellor of SUNY	NY-SUNY 2020 Challenge Grant Program	Competitive	<p>Advances for alterations and improvements to facilities for capital critical maintenance, including but not limited to services and expenses, service agreements or service contracts and memoranda of understanding; for capital design including the cost of services provided by private firms, including preparation of designs, plans, specifications and estimates; for property acquisition, and facility reconstruction, rehabilitation, equipment; for health and safety improvements and upgrades to preserve or enhance facility functioning; for program improvements or program change; to support improvements in technology, research, environmental protection, energy and resource conservation, and accreditation; to finance costs attributable to executive order 111, ADA and code compliance needs, claims, emergencies and remediation of environmental hazards; to ensure the functionality of major building systems such as fire alarms and sprinklers, electrical, mechanical, plumbing, heating/cooling systems and supporting infrastructure, including underground utilities; and to provide for facilities for the disabled and related projects including costs incurred prior to April 1, 2007 subject to a plan developed by the state university and approved by the director of the budget.</p> <p>Notwithstanding any provision of law, rule or regulation to the contrary, amounts designated as university-wide, may be made available for projects identified and approved by the governor and the chancellor of the state university of New York pursuant to the NY-SUNY 2020 challenge grant program</p>	By chapter 53, section 1, of the laws of 2007, as amended by chapter 54, section 1, of the laws of 2012:	\$379,700,000	\$66,075,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	412; 471; 454; 461	SUNY	Governor, Chancellor of SUNY	Capital Projects Funds - Other; Capital Projects Fund; Program Improvement or Program Change Purpose	Competitive	Albany Emerging Technology and Entrepreneurial Complex, or other project identified and approved by the governor and the chancellor of the state university of New York, pursuant to the NY-SUNY 2020 challenge grant program	By chapter 53, section 1, of the laws of 2008, as amended by chapter 54, section 1, of the laws of 2013:	\$42,000,000	(unknown)
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	406; 464; 453; 397	SUNY	Governor, Chancellor of SUNY	General Maintenance and Improvements (CCP); Capital Projects Funds - Other, Capital Projects Fund, Program Improvement or Program Change Purpose	Competitive	Advances for the design and construction of an emerging technology and entrepreneurial complex, as identified and approved by the governor and the chancellor of the state of New York pursuant to the NY-SUNY 2020 challenge grant program for the state university of New York at Albany, including but not limited to services and expenses, service agreements or service contracts and memoranda of understanding; for capital design including the cost of services provided by private firms, including preparation of designs, plans, specifications and estimates; for property acquisition, and facility construction or reconstruction and equipment; claims, emergencies and remediation of environmental hazards	By chapter 54, section 1, of the laws of 2013	\$88,000,000	\$88,000,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	406; 464; 453; 417	SUNY	Governor, Chancellor of SUNY	General Maintenance and Improvements (CCP); Capital Projects Funds - Other, Capital Projects Fund, Program Improvement or Program Change Purpose	Competitive	Advances for the relocation and construction of a medical school and biomedical sciences facility, as identified and approved by the governor and the chancellor of the state of New York pursuant to the NY-SUNY 2020 challenge grant program for the state university of New York at Buffalo, including but not limited to services and expenses, service agreements or service contracts and memoranda of understanding; for capital design including the cost of services provided by private firms, including preparation of designs, plans, specifications and estimates; for property acquisition, and facility construction or reconstruction and equipment; claims, emergencies and remediation of environmental hazards. Notwithstanding any inconsistent provision of law to the contrary, the state university construction fund is hereby authorized to enter into a service agreement to transfer \$25,000,000 of this appropriation to university at Buffalo-affiliated entities for design, construction, property acquisition and equipment costs related to the relocation and construction of the medical school and biomedical sciences facility and related facilities	By chapter 54, section 1, of the laws of 2012, as amended by chapter 54, section 1, of the laws of 2013	\$215,000,000	\$207,981,000
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	418; 478; 468; 432	SUNY	Governor, Chancellor of SUNY	General Maintenance and Improvements (CCP); Capital Projects Funds - Other, Capital Projects Fund, Program Improvement or Program Change Purpose	MOU	Advances for alterations and improvements to various facilities including services and expenses, service contracts, memorandum of understanding, capital design, construction, acquisition, reconstruction, rehabilitation and equipment; for health and safety, preservation of facilities, new facilities, program improvement or program change, technology, environmental, protection, energy conservation, accreditation, facilities for the physically disabled and related projects including costs incurred prior to April 1, 2005 subject to a plan developed by the state university and approved by the director of the budget. Notwithstanding any provision of law, rule or regulation to the contrary, amounts designated as university-wide, may be made available for projects identified and approved by the governor and the chancellor of the state university of New York pursuant to the NY-SUNY 2020 challenge grant program	By chapter 53, section 1, of the laws of 2005, as amended by chapter 54, section 1, of the laws of 2014	\$234,400,000	\$19,492,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	425; 486; 475; 439	SUNY	Governor, Chancellor of SUNY	General Maintenance and Improvements (CCP); Capital Projects Funds - Other, Capital Projects Fund, Program Improvement or Program Change Purpose	MOU	Advances for alterations and improvements to various facilities including services and expenses, service contracts, memorandum of understanding, capital design, construction, acquisition, reconstruction, rehabilitation and equipment; for health and safety, preservation of facilities, new facilities, program improvement or program change, technology, environmental, protection, energy conservation, accreditation, facilities for the physically disabled and related projects including costs incurred prior to April 1, 2004 subject to a plan developed by the state university and approved by the director of the budget. Notwithstanding any provision of law, rule or regulation to the contrary, amounts designated as university-wide, may be made available for projects identified and approved by the governor and the chancellor of the state university of New York pursuant to the NY-SUNY 2020 challenge grant program	By chapter 53, section 1, of the laws of 2004, as amended by chapter 54, section 1, of the laws of 2012	\$1,612,000,000	\$52,572,000
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	488; 551; 536; 496	Department of Transportation	Governor, Majority Leader of the Senate, Speaker of the Assembly	Airport or Aviation State Program (CCP); Capital Projects Funds - Other, Miscellaneous Capital Projects Fund, Aviation Purpose	MOU	For payment of the costs of capital projects as set forth in a memorandum of understanding among the governor, the majority leader of the senate, and the speaker of the assembly	By chapter 55, section 1, of the laws of 1999, as amended by chapter 108, section 5, of the laws of 2006	\$4,500,000	\$1,500,000
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	530; 596; 538	Department of Transportation	Commissioner of Transportation, Senate Task Force on High Speed Rail	Mass Transportation and Rail Freight (CCP); Capital Projects Funds - Other, Capital Projects Fund, High Speed Rail Purpose	MOU	For the construction and reconstruction of rail capital and highway railroad crossings, facilities and intercity rail passenger service improvements to the New York State Empire Rail Corridor between New York City and Niagara Falls. A corridor program of projects shall be advanced in accordance with a memorandum of understanding between the commissioner and the senate task force on high speed rail	By chapter 55, section 1, of the laws of 2006, as added by chapter 108, section 5, of the laws of 2006	\$22,000,000	\$11,074,000



Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	542; 609; 592; 550	Department of Transportation	Governor, Majority Leader of the Senate, Speaker of the Assembly	Multi-Modal (CCP); Capital Projects Fund - Other, Dedicated Highway and Bridge Trust Fund, Multi-Modal Purpose	MOU	For the cost of multi-modal projects designated as part of the multi-modal program established by section 14-k of the transportation law and in accordance with a memorandum of understanding among the governor, the majority leader of the senate, and the speaker of the assembly, or their designees	By chapter 55, section 1, of the laws of 2000	\$150,000,000	\$34,481,000
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	561; 627; 609; 565	Department of Transportation	Majority Leader of the Senate, Speaker of the Assembly	New York Works (CCP); Capital Projects Funds - Other, Dedicated Highway and Bridge Trust Fund, Industrial Access Purpose	MOU	For the construction or improvement of highway, bridge and rail freight projects related to industrial access, including the acquisition of property and the payment of liabilities incurred prior to April 1, 2002. For the payment of reimbursements to the engineering services fund for the cost of the contract services provided by private firms, including but not limited to the preparation of designs, plans, specifications and estimates; construction management and supervision; and appraisals, surveys, testing and environmental impact statements for transportation projects...For the payment of the costs of projects from this appropriation as set forth in a memorandum of understanding between the majority leader of the senate and the speaker of the assembly or their designee. Notwithstanding any inconsistent provision of law, the commissioner of transportation may waive the requirement to repay 40 percent of the cost of a project provided that private funds are dedicated to the cost of such industrial access project and related economic development for at least 40 percent of the total cost of the industrial access project and related economic development and the industrial access portion of such project's cost is greater than \$2,000,000	By chapter 55, section 1, of the laws of 2000	\$6,000,000	\$6,000,000

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S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	564; 630; 611; 567	Department of Transportation	Majority Leader of the Senate, Speaker of the Assembly	New York Works (CCP); Capital Projects Funds - Other, Dedicated Highway and Bridge Trust Fund, Industrial Access Purpose	MOU	For the construction or improvement of highway, bridge and rail freight projects related to industrial access, including the acquisition of property and the payment of liabilities incurred prior to April 1, 1999. For the payment of reimbursements to the engineering services fund for the cost of the contract services provided by private firms, including but not limited to the preparation of designs, plans, specifications and estimates; construction management and supervision; and appraisals, surveys, testing and environmental impact statements for transportation projects...For the payment of the costs of projects from this appropriation as set forth in a memorandum of understanding between the majority leader of the senate and the speaker of the assembly or their designee. Notwithstanding any inconsistent provision of law, the commissioner of transportation may waive the requirement to repay 40 percent of the cost of a project provided that private funds are dedicated to the cost of such industrial access project and related economic development for at least 40 percent of the total cost of the industrial access project and related economic development and the industrial access portion of such project's cost is greater than \$2,000,000	By chapter 55, section 1, of the laws of 1999, as amended by chapter 55, section 1, of the laws of 2000	\$10,000,000	\$1,574,000
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	563; 631; 613; 569	Department of Transportation	Governor, Majority Leader of the Senate, Speaker of the Assembly	Non-Federally Aided Highway Capital Projects (CCP); Capital Projects Funds - Other, Dedicated Highway and Bridge Trust Fund, Multi-Modal Purpose	MOU	For the cost of multi-modal projects designated as part of the multi-modal program established by section 14-k of the transportation law and in accordance with a memorandum of understanding among the governor, the majority leader of the senate, and the speaker of the assembly, or their designees. Notwithstanding any other inconsistent provision of law, funds allocated and made available from this appropriation in state fiscal years 2006-07 through 2009-10 shall not exceed \$50,000,000 annually pursuant to section viii of the 2005 transportation memorandum of understanding	By chapter 55, section 1, of the laws of 2006, as added by chapter 108, section 5, of the laws of 2006	\$200,000,000	\$164,042,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	564; 631; 613; 569	Department of Transportation	Governor, Majority Leader of the Senate, Speaker of the Assembly	Non-Federally Aided Highway Capital Projects (CCP); Capital Projects Funds - Other, Dedicated Highway and Bridge Trust Fund, Multi-Modal Purpose	MOU	For the cost of multi-modal projects designated as part of the multi-modal program established by section 14-k of the transportation law and in accordance with a memorandum of understanding among the governor, the majority leader of the senate, and the speaker of the assembly, or their designees	By chapter 55, section 1, of the laws of 2005	\$150,000,000	\$24,772,000
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	574; 642; 622; 579	Department of Transportation	Governor, Majority Leader of the Senate, Speaker of the Assembly	Non-Federally Aided Highway Capital Projects (CCP); Capital Projects Funds - Other, Dedicated Highway and Bridge Trust Fund, Non-Federal Aided Highway Purpose	MOU	For the payment of the costs, including the payment of liabilities incurred prior to April 1, 2005, of state highways, parkways, bridges, the New York State Thruway, Indian reservation roads, and facilities for which the responsibility is vested with the state department of transportation including work appurtenant and ancillary thereto, the cost of administrative services of the department of transportation and the cost of services provided by private firms; including the costs of preventive maintenance on state roads and bridges as defined in paragraph (a) of subdivision 1 of section 10-d of the highway law for contractual preventive maintenance services provided by private firms; and including but not limited to the preparation of designs, plans, specifications and estimates; construction management and supervision, and appraisals, surveys, testing and environmental impact statements for transportation projects. Project costs funded from this appropriation may include but shall not be limited to construction, reconstruction, reconditioning and preservation, preventive maintenance, and the acquisition of property. The funds made available through this appropriation shall be utilized for the payment of the costs of eligible projects in accordance with a memorandum of understanding entered into between the governor, the majority leader of the senate and the speaker of the assembly, or their designees....	By chapter 55, section 1, of the laws of 2005, as amended by chapter 55, section 1, of the laws of 2007	\$604,125,000	\$3,717,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	620; 694; 670; 625	Department of Transportation	Governor, Majority Leader of the Senate, Speaker of the Assembly	Transportation Bondable (CCP); Capital Projects Funds - Other, Capital Projects Fund - Rebuild and Renew (Bondable), Aviation Purpose	MOU	For the costs, pursuant to the rebuild and renew New York transportation bond act of 2005 and article 22 of the transportation law, of capital projects to be reimbursed from bond fund proceeds for the planning and design, construction, reconstruction, replacement, improvement, reconditioning, rehabilitation and preservation, including the acquisition of real property and interests therein required or expected to be required in connection therewith, of airports and aviation facilities, equipment and related projects exclusive of those airports and facilities under the jurisdiction of the port authority of New York and New Jersey or operated by the state of New York. The funds made available through this appropriation shall be utilized for the payment of the costs of eligible projects in accordance with a memorandum of understanding entered into between the governor, the majority leader of the senate and the speaker of the assembly, or their designees...	By chapter 55, section 1, of the laws of 2005	\$15,000,000	\$1,685,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	623; 698; 673; 628	Department of Transportation	Governor, Majority Leader of the Senate, Speaker of the Assembly	Transportation Bondable (CCP); Capital Projects Funds - Other, Capital Projects Fund - Rebuild and Renew (Bondable), Rail and Port Purpose	MOU	For the costs, pursuant to the provisions of the rebuild and renew New York transportation bond act of 2005 and article 22 of the transportation law, of capital projects to be reimbursed from bond fund proceeds for the planning and design, construction, reconstruction, replacement, improvement, reconditioning, rehabilitation and preservation, including the acquisition of real property and interests therein required or expected to be required in connection therewith, of: the canal system and appurtenances thereto; moveable bridges that cross over the canal system; and pedestrian and/or bicycle trails, pathways and bridges serving transportation needs...The funds made available through this appropriation shall be utilized for the payment of the costs of eligible projects in accordance with a memorandum of understanding entered into between the governor, the majority leader of the senate and the speaker of the assembly, or their designees, pursuant to article 22 of the transportation law. No part of this appropriation shall be made available for the payment of liabilities incurred prior to the approval of rebuild and renew New York transportation bond act of 2005 by the voters at the general election to be held in November of 2005	By chapter 55, section 1, of the laws of 2005	\$10,000,000	\$2,927,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	630; 706; 682; 637	Department of Transportation	Governor, Majority Leader of the Senate, Speaker of the Assembly	Transportation Bondable (CCP); Capital Projects Funds - Other, Capital Projects Fund - Rebuild and Renew (Bondable), Canals and Waterways Purpose	MOU	For the costs, pursuant to the provisions of the rebuild and renew New York transportation bond act of 2005 and article 22 of the transportation law, of capital projects to be reimbursed from bond fund proceeds for the planning and design, construction, reconstruction, replacement, improvement, reconditioning, rehabilitation and preservation, including the acquisition of real property and interests therein required or expected to be required in connection therewith, of: intercity passenger rail and freight rail facilities and equipment; ports, marine terminals and marine transportation facilities exclusive of those under the jurisdiction of the port authority of New York and New Jersey or the canal corporation; and intermodal passenger and freight facilities and equipment...The funds made available through this appropriation shall be utilized for the payment of the costs of eligible projects in accordance with a memorandum of understanding entered into between the governor, the majority leader of the senate and the speaker of the assembly, or their designees, pursuant to article 22 of the transportation law. No part of this appropriation shall be made available for the payment of liabilities incurred prior to the approval of the rebuild and renew New York transportation bond act of 2005 by the voters at the general election to be held in November of 2005	By chapter 55, section 1, of the laws of 2005	\$27,000,000	\$8,360,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17);	Capital	633	New York State Urban Development Corporation	Governor, Chancellor of SUNY	NY 2020 Challenge Grant Program	Competitive	The sum of \$55,000,000 is hereby appropriated for the NY SUNY 2020 challenge grant program, notwithstanding any inconsistent provision of law, shall be available to all colleges, universities and community colleges as defined by section 350 of the education law. NY SUNY 2020 plans shall be developed in consultation with the regional economic development councils and funding will be subject to the approval of a NY SUNY 2020 plan or plans by the governor and the chancellor of the state university of New York. The determination of grant awards shall take into consideration the extent to which plans: (1) use technology, including but not limited to the expansion of on-line learning, to improve academic success and job opportunities for students; (2) leverage economic and academic opportunities through the START-UP NY program; and (3) provide experiential learning opportunities that connect students to the workforce	Proposed 2016	\$55,000,000	\$55,000,000
S6404/A9004 (FY17);	Capital	633	New York State Urban Development Corporation	Governor, Chancellor of CUNY	NY 2020 Challenge Grant Program	Competitive	The sum of \$55,000,000 is hereby appropriated for the NY CUNY 2020 challenge grant program, notwithstanding any inconsistent provision of law, shall be available to all colleges, universities and community colleges as defined by section 6202 of the education law. NY CUNY 2020 plans shall be developed in consultation with the regional economic development councils and funding will be subject to the approval of a NY CUNY 2020 plan or plans by the governor and the chancellor of the city university of New York. The determination of grant awards shall take into consideration the extent to which plans: (1) use technology, including but not limited to the expansion of on-line learning, to improve academic success and job opportunities for students; (2) leverage economic and academic opportunities through the STARTUP NY program; and (3) provide experiential learning opportunities that connect students to the workforce	Proposed 2016	\$55,000,000	\$55,000,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17); S2004/A3004 (FY16)	Capital	637; 711	New York State Urban Development Corporation	Governor, Chancellor of SUNY	NY 2020 Challenge Grant Program	Competitive	The sum of \$55,000,000 is hereby appropriated for the NY SUNY 2020 challenge grant program, notwithstanding any inconsistent provision of law, shall be available to all colleges, universities and community colleges as defined by section 350 of the education law. NY SUNY 2020 plans shall be developed in consultation with the regional economic development councils and funding will be subject to the approval of a NY SUNY 2020 plan or plans by the governor and the chancellor of the state university of New York. The determination of grant awards shall take into consideration the extent to which plans: (1) use technology, including but not limited to the expansion of on-line learning, to improve academic success and job opportunities for students; (2) leverage economic and academic opportunities through the START-UP NY program; and (3) provide experiential learning opportunities that connect students to the workforce. All or a portion of the funds appropriated hereby may be suballocated or transferred to any department, agency, or public authority	By chapter 54, section 1, of the laws of 2015	\$55,000,000	\$55,000,000
S6404/A9004 (FY17); S2004/A3004 (FY16)	Capital	638; 711	New York State Urban Development Corporation	Governor, Chancellor of CUNY	NY 2020 Challenge Grant Program	Competitive	The sum of \$55,000,000 is hereby appropriated for the NY CUNY 2020 challenge grant program, notwithstanding any inconsistent provision of law, shall be available to all colleges, universities and community colleges as defined by section 6202 of the education law. NY CUNY 2020 plans shall be developed in consultation with the regional economic development councils and funding will be subject to the approval of a NY CUNY 2020 plan or plans by the governor and the chancellor of the city university of New York. The determination of grant awards shall take into consideration the extent to which plans: (1) use technology, including but not limited to the expansion of on-line learning, to improve academic success and job opportunities for students; (2) leverage economic and academic opportunities through the STARTUP NY program; and (3) provide experiential learning opportunities that connect students to the workforce	By chapter 54, section 1, of the laws of 2015	\$55,000,000	\$55,000,000



Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15)	Capital	638; 718; 687	New York State Urban Development Corporation	Governor, Chancellor of SUNY	NY 2020 Challenge Grant Program	Competitive	The sum of \$55,000,000 is hereby appropriated for the NY SUNY 2020 challenge grant program, notwithstanding any inconsistent provision of law, shall be available to all colleges, universities and community colleges as defined by section 350 of the education law. NY SUNY 2020 plans shall be developed in consultation with the regional economic development councils and funding will be subject to the approval of a NY SUNY 2020 plan or plans by the governor and the chancellor of the state university of New York. The determination of grant awards shall take into consideration the extent to which plans: (1) use technology, including but not limited to the expansion of on-line learning, to improve academic success and job opportunities for students; (2) leverage economic and academic opportunities through the START-UP NY program; and (3) provide experiential learning opportunities that connect students to the workforce. All or a portion of the funds appropriated hereby may be suballocated or transferred to any department, agency, or public authority	By chapter 54, section 1, of the laws of 2014	\$55,000,000	\$55,000,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15)	Capital	638; 718; 687	New York State Urban Development Corporation	Governor, Chancellor of CUNY	NY 2020 Challenge Grant Program	Competitive	The sum of \$55,000,000 is hereby appropriated for the NY CUNY 2020 challenge grant program, notwithstanding any inconsistent provision of law, shall be available to all colleges, universities and community colleges as defined by section 6202 of the education law. NY CUNY 2020 plans shall be developed in consultation with the regional economic development councils and funding will be subject to the approval of a NY CUNY 2020 plan or plans by the governor and the chancellor of the state university of New York. The determination of grant awards shall take into consideration the extent to which plans: (1) use technology, including but not limited to the expansion of on-line learning, to improve academic success and job opportunities for students; (2) leverage economic and academic opportunities through the START-UP NY program; and (3) provide experiential learning opportunities that connect students to the workforce. All or a portion of the funds appropriated hereby may be suballocated or transferred to any department, agency, or public authority	By chapter 54, section 1, of the laws of 2014	\$55,000,000	\$55,000,000
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	638; 719; 692; 641	New York State Urban Development Corporation	Governor, Chancellor of SUNY	NY-SUNY 2020 Challenge Grant Program	Competitive	The sum of \$55,000,000 is hereby appropriated for the NY SUNY 2020 challenge grant program, notwithstanding any inconsistent provision of law, shall be available to all colleges, universities and community colleges as defined by section 350 of the Education Law, except that no funds shall be made available from this appropriation for university centers as defined by subdivision 7 of section 350 of the education law. NY SUNY 2020 plans shall be developed in consultation with the regional economic development councils and funding will be subject to the approval of a NY SUNY 2020 plan or plans by the governor and the chancellor of the state university of New York. All or a portion of the funds appropriated hereby may be suballocated or transferred to any department, agency, or public authority	By chapter 54, section 1, of the laws of 2013	\$55,000,000	\$55,000,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	639; 719; 692; 641	New York State Urban Development Corporation	Governor, Chancellor of CUNY	NY-SUNY 2020 Challenge Grant Program	Competitive	The sum of \$55,000,000 is hereby appropriated for the NY CUNY 2020 challenge grant program, notwithstanding any inconsistent provision of law, shall be available to all colleges, universities and community colleges as defined by section 6202 of the Education Law. NY CUNY 2020 plans shall be developed in consultation with the regional economic development councils and funding will be subject to the approval of a NY CUNY 2020 plan or plans by the governor and the chancellor of the city university of New York. All or a portion of the funds appropriated hereby may be suballocated or transferred to any department, agency, or public authority	By chapter 54, section 1, of the laws of 2013	\$55,000,000	\$55,000,000
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	639; 719; 693; 646	New York State Urban Development Corporation	Governor, Chancellor of SUNY	NY-SUNY 2020 Challenge Grant Program	Competitive	The sum of \$80,000,000 is hereby appropriated for the NY-SUNY 2020 challenge grant program, as authorized pursuant to chapter 260 of the laws of 2011. Funding will be subject to the approval of a NY-SUNY 2020 plan or plans by the governor and the chancellor of the state university of New York. All or a portion of the funds appropriated hereby may be suballocated or transferred to any department, agency, or public authority	By chapter 54, section 1, of the laws of 2012	\$80,000,000	\$67,604,000
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	643; 723; 696; 649	New York State Urban Development Corporation	Governor, Temporary President of the Senate, Speaker of the Assembly	New York State Capital Assistance Program (CCP); Capital Projects Funds - Other, Capital Projects Fund, Regional Development Purpose	MOU	For economic development projects which facilitate the creation and retention of jobs or increase business activity within downtown Buffalo, the Buffalo inner harbor area, or surrounding environs. Notwithstanding any other provision of law to the contrary, such projects shall be determined pursuant to a memorandum of understanding to be executed by the governor, the temporary president of the senate and the speaker of the assembly. The funds appropriated hereby may be suballocated to any department, agency or public authority. Eligible project(s) shall include, but not be limited to Hauptman-Woodward Medical Research Institute; Buffalo Medical Campus; University of Buffalo - Center of Excellence in Bioinformatics; Roswell Park Cancer Institute Corporation; and other projects relating to historic preservation, cultural facilities and transportation projects	By chapter 55, section 1, of the laws of 2000, as amended by chapter 684, section 2, of the laws of 2003	\$50,000,000	\$50,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	649; 730; 699; 653	New York State Urban Development Corporation	Speaker of the Assembly, Director of the Budget	Regional Development (CCP); Capital Projects Funds - Other, Capital Projects Fund, Regional Development Purpose	Consultation	The sum of \$603,050,000 is hereby appropriated for economic development projects, environmental projects, public recreation projects and arts and cultural facility improvement projects, including but not limited to those listed in the schedule below. All or a portion of the funds appropriated herein may be suballocated or transferred to any department, agency or public authority -- Other projects to be determined pursuant to a plan to be developed by the director of the budget in consultation with the speaker of the assembly	By chapter 55, section 1, of the laws of 2006, as amended by chapter 54, section 1, of the laws of 2013	\$20,736,000	\$12,736,000
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	650; 730; 699; 653	New York State Urban Development Corporation	Temporary President of the Senate, Director of the Budget	Regional Development (CCP); Capital Projects Funds - Other, Capital Projects Fund, Regional Development Purpose	Consultation	The sum of \$603,050,000 is hereby appropriated for economic development projects, environmental projects, public recreation projects and arts and cultural facility improvement projects, including but not limited to those listed in the schedule below. All or a portion of the funds appropriated herein may be suballocated or transferred to any department, agency or public authority -- Other projects to be determined pursuant to a plan to be developed by the director of the budget in consultation with the temporary president of the senate	By chapter 55, section 1, of the laws of 2006, as amended by chapter 54, section 1, of the laws of 2013	\$73,650,000	(unknown)

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	733; 705; 658	Miscellaneous	Governor	Community Enhancement Facilities Assistance (CCP); Capital Projects Funds - Other, Capital Projects Fund, Community Enhancement Purpose	MOU	The sum of \$423,500,000 is hereby appropriated, in accordance with chapter 432 of the laws of 1997 establishing the community enhancement facilities assistance program, for community enhancement facilities assistance projects. Eligible community enhancement facilities assistance project(s) shall include, but not be limited to economic development projects to be located within the county of Nassau (\$15,000,000), provided that the allocation made available to the Governor, as authorized by paragraph one of the memorandum of understanding governing administration of the community enhancement facilities assistance program, shall be reduced by \$1,500,000 to \$140,166,666. No moneys of the state in the state treasury or any of funds shall be available for payments pursuant to this appropriation. Funding for community enhancement facilities assistance projects shall be provided from the proceeds of bonds or notes issued in accordance with chapter 432 of the laws of 1997 authorizing the issuance of bonds and notes for community enhancement facilities projects	By chapter 55, section 2, of the laws of 1997, as amended by chapter 54, section 1, of the laws of 2011	\$423,500,000	\$46,084,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	654; 734; 706; 659	Miscellaneous	Governor, Temporary President of the Senate, Speaker of the Assembly	Regional Development (CCP); Capital Projects Funds - Other, Capital Projects Fund, Regional Development Purpose	MOU	For services and expenses of the following purposes, pursuant to a memorandum of understanding to be executed by the governor, the temporary president of the senate and the speaker of the assembly: the Empire Opportunity Fund, as established pursuant to Part T of chapter 84 of the laws of 2002; Rebuilding the Empire State Through Opportunities in Regional Economies (RESTORE) New York, as established pursuant to Part T of chapter 84 of the laws of 2002; and the Community Capital Assistance Program, as established pursuant to Part T of chapter 84 of the laws of 2002, provided that the allocation made available to the governor, as authorized by paragraph one of the memorandum of understanding governing administration of the above named programs, shall be reduced by \$6,675,000 to \$118,325,000. Notwithstanding any other inconsistent provision of law, none of the funds appropriated hereto, as delineated in the below project schedule, may be interchanged among project purposes. All or a portion of the funds appropriated hereby may be suballocated or transferred to any department, agency, or public authority. Notwithstanding any other inconsistent provision of law, this appropriation may not be disbursed prior to the enactment of an appropriation for the expenditure from the community projects fund and the execution of a memorandum of understanding for the allocation and transfer of funds into various accounts pursuant to section 99-d of the state finance law	By chapter 55, section 1, of the laws of 2004, as transferred by chapter 54, section 1, of the laws of 2012	\$243,325,000	\$77,465,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	655; 735; 707, 660	Miscellaneous	Governor, Temporary President of the Senate, Speaker of the Assembly	Regional Development (CCP); Capital Projects Funds - Other, Capital Projects Fund, Regional Development Purpose	MOU	For services and expenses of the following purposes, pursuant to a memorandum of understanding to be executed by the governor, the temporary president of the senate and the speaker of the assembly: Centers of Excellence, as established pursuant to chapter 84 of the laws of 2002; the Empire Opportunity Fund, as established pursuant to chapter 84 of the laws of 2002; Gen*NY*sis, as established pursuant to chapter 84 of the laws of 2002; Rebuilding the Empire State Through Opportunities in Regional Economies (RESTORE) New York, as established pursuant to chapter 84 of the laws of 2002; for transportation capital projects in accordance with the provisions of subdivisions 3 and 4 of section 14-k of the transportation law, and sections 89-b and 89-c of the state finance law; and the Community Capital Assistance Program, as established pursuant to chapter 84 of the laws of 2002, provided that the allocation made available to the governor, as authorized by paragraph seven of the memorandum of understanding governing administration of the above named programs, shall be reduced by \$10,300,000 to \$289,700,000. No moneys of the state in the state treasury or any of its funds shall be available for payments pursuant to this appropriation. Funding for the purposes delineated in this appropriation shall be provided from the proceeds of bonds or notes issued pursuant to chapter 84 of the laws of 2002. Notwithstanding any other inconsistent provision of law, none of the funds appropriated hereto, as delineated in the below project schedule, may be inter changed among project purposes. All or a portion of the funds appropriated hereby may be suballocated or transferred to any department, agency, or public authority	By chapter 55, section 1, of the laws of 2002, as transferred by chapter 54, section 1, of the laws of 2014	\$589,700,000	\$109,294,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	656; 737; 709; 664	Miscellaneous	Governor, Temporary President of the Senate, Speaker of the Assembly	Economic Development Program (CCP); Capital Projects Funds - Other, Capital Projects Fund, Economic Development Purpose	MOU	The sum of \$75,000,000 is hereby appropriated to the New York State economic development program (CCP) out of any moneys in the state treasury in the general fund to the credit of the capital projects fund, not otherwise appropriated, and made immediately available, for the purpose of economic development projects outside cities with a population of one million or more pursuant to a memorandum of understanding to be executed by the governor, the temporary president of the senate and the speaker of the assembly. All or portions of the funds appropriated hereby may be suballocated or transferred to any department, agency, or public authority	By chapter 55, section 1, of the laws of 2005, as added by chapter 162, section 4, of the laws of 2005	\$75,000,000	\$64,110,000
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	656; 737; 709; 664	Miscellaneous	Governor, Temporary President of the Senate, Speaker of the Assembly	Economic Development Program (CCP); Capital Projects Funds - Other, Capital Projects Fund, Economic Development Purpose	MOU	The sum of three hundred forty-five million seven hundred fifty thousand dollars (\$345,750,000), or so much thereof as may be necessary, is hereby appropriated to the New York state economic development program (CCP) out of any moneys in the state treasury in the general fund to the credit of the capital projects fund, not otherwise appropriated, and made immediately available, for the purpose of economic development projects outside cities with a population of one million or more pursuant to a memorandum of understanding to be executed by the governor, the temporary president of the senate and the speaker of the assembly, provided that the allocation made available to the governor, as authorized by paragraph one of the memorandum of understanding governing administration of the New York state economic development program, shall be reduced by \$4,250,000 to \$162,416,000. All or a portion of the funds appropriated hereby may be suballocated or transferred to any department, agency, or public authority	By chapter 3, section 29, of the laws of 2004, as amended by chapter 54, section 1, of the laws of 2011	\$345,750,000	\$22,569,000



Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	657; 738; 710; 665	Miscellaneous	Governor, Temporary President of the Senate, Speaker of the Assembly	High Technology and Development (CCP); Capital Projects Funds - Other, Capital Projects Fund, Regional Development Purpose	MOU	For services and expenses of the New York state technology and development program pursuant to a memorandum of understanding to be executed by the governor, the temporary president of the senate, and the speaker of the assembly, provided that the allocation made available to the governor, as authorized by paragraphs one and three of the memorandum of understanding governing administration of the New York state technology and development program, shall be reduced by \$1,000,000 to \$93,054,000. All or a portion of the funds appropriated hereby may be suballocated to any department, agency, or public authority	By chapter 55, section 1, of the laws of 2005, as transferred by chapter 54, section 1, of the laws of 2012	\$249,000,000	\$79,116,000
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	662; 744; 714; 668	Miscellaneous	Governor, Temporary President of the Senate, Speaker of the Assembly	Regional Development (CCP); Capital Projects Funds - Other, Capital Projects Fund, Regional Development Purpose	MOU	For services and expenses of the regional economic development program pursuant to a memorandum of understanding to be executed by the governor, the temporary president of the senate, and the speaker of the assembly, provided that the allocation made available to the governor, as authorized by paragraph one of the memorandum of understanding governing administration of the regional economic development program, shall be reduced by \$250,000 to \$42,610,000. All or a portion of the funds appropriated hereby may be suballocated to any department, agency, or public authority	By chapter 55, section 1, of the laws of 2005, as amended by chapter 54, section 1, of the laws of 2011	\$89,750,000	\$20,159,000

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Fund/ Program Name	Procedure	Bill Text	Chapter/ Section/ Laws	Total Funding Amount (Lifetime)	FY17 Executive
S6404/A9004 (FY17); S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	674; 756; 720; 671	Miscellaneous	Governor	Strategic Investment Program (CCP); Capital Projects Funds - Other, Capital Projects Fund, Strategic Investment Program Purpose	Unclear	The sum of \$215,650,000 is hereby appropriated for environmental projects, including the preservation of historically significant places in New York state, and projects to conserve, acquire, develop or improve parklands, parks or public recreation areas; economic development projects which will facilitate the creation or retention of jobs or increase business activity within a municipality or region of the state; higher education projects to establish new or rehabilitate existing business incubator facilities to accommodate emerging or small high technology companies; arts or cultural projects, provided that the allocation made available to the Governor as authorized by paragraph one of the memorandum of understanding governing administration of the strategic investment program, shall be reduced by \$9,350,000 to \$65,650,000. Individual projects funded from this appropriation shall be for \$250,000 or more and funds appropriated hereby may be suballocated to any department, agency, or public authority	By chapter 55, section 1, of the laws of 2000, as amended by chapter 54, section 1, of the laws of 2011	\$215,650,000	\$80,780,000