

**CITIZENS UNION OF THE CITY OF NEW YORK**

**SPENDING IN THE SHADOWS:  
DISCRETIONARY FUNDING IN THE NYS BUDGET  
*FY 2014-2016 UPDATE***

**MARCH 2015**

Research and Policy Analysis by Citizens Union Foundation

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### I. Executive Summary

The New York State budget is the definitive statement of the state’s fiscal priorities. Unfortunately, there are elements of the budget that are inscrutable, decided behind closed doors by a handful of political leaders, and beyond the reach of the public. In particular, large, unspecific pots of state funds are put into the budget legislation year after year in which decisions about spending purposes and recipients are deferred and go undisclosed. These ‘lump sum funds’ have grave consequences for transparency and present a risk for corruption.

For the purposes of this report, lump sums funds are defined as pots of funding that are authorized to be spent through the Capital Budget and Aid to Localities Budget which are not sufficiently itemized, lacking a detailed break-down of where the funds will be spent, and are spent at the discretion of one or more of the following state elected officials: the Governor, any or all Senators (typically the Temporary President or Majority Leader), any or all Assembly Members (typically the Speaker), and the Attorney General. For more information, see the Methodology described in [Section IV](#).

Citizens Union has long highlighted the shortcomings of the state’s budget process, and their implications for accountability to the public. Most recently in September 2013, we published a report, *Spending in the Shadows: Discretionary Funding in the NYS Budget*, which analyzed lump sum funds and for fiscal year (FY) 2014. This report extends previous analysis on lump sum funds to include a three-year period: FY 2014, FY 2015, and the current proposed FY 2016 budget.

This report's major findings include:

1. The proposed FY 2016 Executive Budget contains \$2.6 billion in lump sum funds, through 66 separate pots. The Assembly has proposed adding 12 pots totalling \$53 million more; the Senate proposes adding \$83 million more in 21 additional pots, of which \$10.6 million is for new pots.
2. The Governor, Assembly and Senate each have considerable amounts of lump sum funds available for their use in the FY 2016 Executive Budget: \$2.4 billion for the Governor; \$902 million for the Senate; and \$765 million for the Assembly.
3. While the Governor proposed reforms to increase transparency and accountability of some lump sum funds, these reforms do not go far enough. Only 12 of the 66 pots are covered, covering \$821 million, missing many legislative pots, and excluding *all* executive pots. Citizen Union proposes comprehensive transparency and accountability for *all* lump sum funds in the state budget.

### ***Risk of Corruption***

While the state budget provides important funding to ensure our state continues to provide needed services to the public, it has also provided an unfortunate opportunity for corruption. Since 2000, 28 New York state legislators have left office due to ethical or criminal issues, and 8 of these individuals were embroiled in scandals involving state funding (See Appendix A for the full listing). Statewide officials have also not been immune to corruption scandals.

- One case is currently pending: former Speaker Sheldon Silver has been charged with personally directing \$500,000 in grants from a lump sum fund to a prominent doctor, who began referring his patients to a law firm that, in turn, paid referral fees to Silver.
- Former Senate Majority Leader Malcolm Smith was convicted in February 2015 of conspiracy in a scheme to funnel \$500,000 from lump sum funds known as “multi-modal” transportation funds to a developer who, in turn, would provide funds to bribe Republican officials.

These incidents show that the risk of corruption continues as long as decision-making on lump sum pots of funding continues to take place in the shadows.

### ***Current Budget Proposals – FY 2016 and Reform***

As the budget process unfolds this year for the 2016 fiscal year, it is clear that lump sum funds will continue, providing the ability for elected officials to steer considerable sums of funding after the adoption of budget legislation. The major findings regarding the proposed budget are below.

- The Governor's Executive Budget for FY 2016 includes authorizations for as much as **\$2.6 billion to be spent this year through 66 lump sum pots of funds.**
  - Most funds are contained in the **Capital budget bill, with \$2.5 billion.** Most of this is due to the competitive NY-SUNY 2020 challenge grant, totalling \$1.3 billion. **\$95 million in expense funds in total are authorized through the Aid to Localities bill.**

- The following elected officials have at least partial discretion over the following amounts:
  - **Governor: \$2.4 billion**
  - **Senate: \$902 million**
  - **Assembly: \$765 million**
  - **Attorney General: \$81.5 million**
- While some funds are distributed through a partially competitive process (57.6% or \$1.5 billion, largely due to the size of SUNY grants), **a large portion are distributed via Memoranda of Understanding (MoUs) that are not public (29.5% or \$778 million), or other mechanisms (12.9% or \$340 million) that provide considerable discretion to elected officials.**
- **The Senate and Assembly have both proposed in their budget resolutions reappropriating previous lump sum pots in the FY16 Aid to Localities Budget:**
  - **\$72 million in the Senate’s proposal** (13 more pots beyond those proposed by the Governor); and
  - **\$53 million in the Assembly’s proposal** (12 more pots beyond those proposed by the Governor; all 12 have also been proposed by the Senate among their 13).
- **The Senate also proposes adding 8 new pots of funding, totalling \$10.6 million more; all the Senate’s additions would total \$83 million, including reappropriated and new pots.**

One promising spot in the budget is Governor Cuomo’s inclusion of reform language to provide some level of transparency to legislative lump sums. Specifically, he proposes that legislators must:

- Submit a declaration that the contract or grant will be used for lawful, public purposes and that he/she has no financial interest or other conflict of interest; and
- File a new, more detailed financial disclosure form with the Joint Commission on Public Ethics (JCOPE).

The Assembly or Senate must then post these documents online.

The Governor’s proposal does not cover any *executive* lump sum funds, nor does it apply to all legislative pots in the proposed budget tracked by Citizens Union; the reform language was only added to 12 of the 66 lump sums, all of which were exclusively legislative pots. The Assembly and Senate budget proposals did not include the Governor’s proposed reforms.

### ***Trends from FY 2014 – FY 2016***

Citizens Union’s analysis from the budgets covering FY 2014 – FY 2016 has shown that while some new lump sum pots have been added, funds are gradually declining, perhaps reflecting increased scrutiny. Specifically:

- **The total authorization has fallen from just under \$4 billion in FY14, to \$3.8 billion in FY15, and \$2.6 billion proposed in the FY16 Executive Budget.** This may increase as the final budget is being negotiated, however.

- The Capital authorization has fallen every year, while there was a spike in the Aid to Localities authorization in FY15 due to the addition several new pots, mainly mortgage settlement funds for the Attorney General and under the Division of Housing and Community Renewal totalling \$393 million.
- **While no new lump sums have been proposed for FY 2016 in the Executive Budget, new pots were ultimately created in both FY 2014 and FY 2015, and the Senate is proposing new funds for FY 2016.**
  - In FY 2014, new funding for lump sums totalled about \$202 million;
  - In FY 2015, new funding totalled \$668 million, largely due to new pots created from the windfall from mortgage settlements.
  - In FY 2016, the Senate proposes \$10.6 million in new funding.
- **The mortgage settlement funds also created a different balance of funds between elected officials in FY 2015, with the Attorney General newly having a single pot of funds (\$81.5 million) to distribute, in FY 2015 (which is proposed to continue in FY 2016).**
  - The Senate and Assembly also were given a new large pot of funds to distribute as a result of the mortgage settlement funds at \$312 million in FY 2015.

It should also be noted that though funds appear to be decreasing as old pots are being spent faster than new pots are created, Citizens Union’s analysis includes only certain lump sums that identify elected officials as responsible for their distribution. It is possible that new funds have been created that are not captured in our analysis due to vague language in the budget.

### ***Citizens Union Reform Recommendations***

Citizens Union recommends that lawmakers ensure full disclosure and accountability of all lump sum funds in the state budget this year to:

1. Eliminate Conflicts of Interest and Ensure Proper Public Use
2. Require Comprehensive, Online Disclosure of All Lump Sums Grants and Contracts
3. Apply Reforms to All Lump Sums in the Budget, Including the Governor’s

A detailed listing of our recommendations is available in [Section IX](#).

## II. Acknowledgements

This report was written by Rachael Fauss, Director of Public Policy and Ren Yan Yoong, Policy and Research Intern. Editing by Dick Dadey, Executive Director, and Peggy Farber, Legislative Counsel.

## III. Introduction

The New York State budget is the definitive statement of the state's fiscal priorities. Through a set of budget bills that are passed prior to the end of the fiscal year on March 31<sup>st</sup>, the Governor proposes and the legislature authorizes the revenue-generating and spending programs of the state. It is arguably the most important function of the state legislature, which devotes a significant portion of its session to budget deliberations. Given its political importance to communities across the state, the budget is no stranger to scrutiny and criticism. The executive and legislative branches continuously vie for influence on the budget and budget process. This much we would expect and welcome in a democratic society.

Unfortunately there are elements of the budget that are inscrutable, decided behind closed doors by a handful of political leaders, and beyond the reach of the public. In particular, large, unspecific pots of state funds are put into the budget legislation year after year, in which decisions about spending purposes and recipients are deferred and go undisclosed. These 'lump sum funds' have grave consequences for transparency and present a risk for corruption, as will be described in greater detail later in this report.

Citizens Union has long highlighted the shortcomings of the state's budget process, and their implications on accountability of the process to the public. We responded to reforms enacted in 2007 in a comprehensive *Issue Brief and Position Statement on Budget Reform* in 2008, and followed up with report cards in 2009 and 2012.<sup>2</sup> In these reports, we noted that despite reforms, 'lump sum funds' continued to exist in the Capital and Aid to Localities Budgets. More recently in September 2013, we published a report, *Spending in the Shadows: Discretionary Funding in the NYS Budget*<sup>3</sup>, which analyzed lump sum funds and member items in the Enacted Budget for fiscal year (FY) 2014<sup>4</sup>.

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<sup>1</sup> While the end of the fiscal year on March 31<sup>st</sup> creates a natural deadline, given that funding is no longer available beyond that date, the governor and legislature have not always agreed on a final budget by that date.

<sup>2</sup> The Issue Brief and Position Statement (2008) is available at:

[http://www.citizensunion.org/www/cu/site/hosting/issuebriefs/2008ib\\_statebudgetreform.pdf](http://www.citizensunion.org/www/cu/site/hosting/issuebriefs/2008ib_statebudgetreform.pdf)

The 2009 report card is available at:

<http://www.citizensunion.org/www/cu/site/hosting/IssueBriefs/CU%20Budget%20Reform%20Report%20Card%20November%202009.pdf>

The 2012 report card is available at:

[http://www.citizensunion.org/www/cu/site/hosting/Reports/CU\\_BudgetReformReportCard\\_April2012.pdf](http://www.citizensunion.org/www/cu/site/hosting/Reports/CU_BudgetReformReportCard_April2012.pdf)

<sup>3</sup> "Spending in the Shadows" (2013) is available at:

[http://www.citizensunion.org/www/cu/site/hosting/Reports/CU\\_SpendingintheShadows\\_DiscretionaryFundsInNY\\_S\\_September\\_2013.pdf](http://www.citizensunion.org/www/cu/site/hosting/Reports/CU_SpendingintheShadows_DiscretionaryFundsInNY_S_September_2013.pdf)

<sup>4</sup> FY 2014 ran from April 1<sup>st</sup>, 2013 through March 31<sup>st</sup>, 2014. The FY 2014 budget was enacted in late March 2013.

This report extends previous analysis on lump sum funds to include the FY 2015 Enacted Budget and the FY 2016 Executive Budget<sup>5</sup> proposed by the Governor on January 21, 2015, and as updated through the Governor's 30-Day amendments. We include an inventory of lump sum funds in this latest executive budget, and present trends over these three budgets. We also examined proposals put forward in mid-March by the State Senate and State Assembly in their budget resolutions, which reflect the priorities of the legislature as negotiations are currently underway. By tracking the continued presence and state of these funds, we show how spending that occurs outside the public eye presents not only a lack of transparency, but also a corruption risk that recent events have shown to be a reality rather than just a possibility.

#### IV. Methodology

In this report, 'lump sum funds' are defined as follows:

- Pots of funding that are *authorized* in the New York State budget, specifically in the legislation enacted for the Capital Budget and Aid to Localities Budget, which are:
  - Appropriations, which are new pots of funds introduced for the first time in a given year; or
  - Reappropriations, which are carry-over funds from past years that are being authorized to be spent again. These pots generally decrease slowly over time, as reappropriations can only total as much funding as is left in the pot, unless lawmakers amend the amounts to increase or decrease them.
- Pots that are insufficiently itemized: they lack a detailed break-down of where the funds will be spent such as by non-profit, locality, agency or specific project, often lacking a detailed explanation for the purpose of the funds; and
- The discretion of distributing the funds is subject at least in part subject to the following state elected officials: the Governor, any or all Senators (typically the Temporary President or Majority Leader), any or all Assembly Members (typically the Speaker), and the Attorney General. The State Comptroller has not historically distributed such funds.

A full list of the lump sum funds identified by Citizens Union for this report is available in Appendix B.

By leaving out critical spending details from the state budget legislation, decisions are deferred until after the state budget is passed and largely absent from public disclosure. This is significant, as there is considerably more scrutiny from the press, advocacy organizations, and other stakeholders as the budget is being debated.

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<sup>5</sup> The Executive Budget is the budget proposed by the Governor in January. It is subject to amendments by the legislature and Governor and may differ substantially from the Enacted Budget passed in March.

This definition captures budget pots that are insufficiently transparent and pose a corruption risk. However, as will be discussed below, spending procedures for some lump sums are more transparent than others. We also recognize that in some cases deferring a spending decision provides flexibility, which can give rise to good policy if coupled with better transparency.

To be clear, this definition may not comprehensively capture all funds that are potentially problematic and could be considered lump sums. It does not include unitemized funds that do not even specify how spending decisions are to be made. For instance, beginning in 2008, two sums of \$350 million were appropriated for the Urban Development Corporation's Capital Assistance and Economic Development Assistance Programs. The appropriations do not state how funds will be spent, and do not even state how such a decision will be arrived at.<sup>6</sup> These funds are arguably even less transparent than those included in the inventory; however, Citizens Union lacked the information to attribute discretion to a particular elected official, so did not include them in this analysis. Second, it does not include funds at the discretion of *appointees* of elected officials, e.g. the Director of the Division of the Budget. The focus of this report is on the discretion of *elected officials* over lump sum funds.

In some cases, a particular *sub*-listing qualifies as a lump sum fund, while other parts of that pot provide more detailed itemization and are not included. The initial appropriation for each sub-listing is reported, but subsequent reappropriations are only listed in aggregate. Therefore, in this report, authorized lump sums for a given fiscal year exclude sub-listings as we do not know the percentage of funds that are still able to be spent.

After reporting the aggregate amounts, Citizens Union breaks these down:

- By the state agencies for which funds are spent through (note that funds appear under individual agencies in the state budget's appropriations bills);
- By the elected officials who have approval authority over spending (Governor, Senators, Assembly Members, and Attorney General); and
- By spending procedure.

We categorize spending procedures as follows:

1. Competitive: some funds are allocated via a competitive process, with elected officials choosing between spending proposals based on stated criteria;
2. Resolution: the list of recipients must be passed by Senate or Assembly resolution, which triggers a vote of all members;
3. Memorandum of understanding (MoU): spending details are in a legal agreement typically involving one or more of the following parties: the Governor, the Temporary President or Majority Leader of the Senate, and the Speaker of the Assembly;

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<sup>6</sup> FY16 Executive Capital Budget, p. 705 line 30 and p. 706 line 12; available at: <http://open.nysenate.gov/legislation/bill/S2004-2015>



4. Consultation: a state agency or official develops a spending plan “in consultation” with an elected official;
5. Unilateral authority: a single elected official has discretion; and
6. Unclear: budget language does not clearly specify how funds are to be spent.

## V. Lump Sum Funds and the Risk of Corruption

Public corruption continues to plague Albany, and the budget process is, unfortunately, especially prone to corruption. As Citizens Union’s Corruption Tracker shows, since 2000, 28 New York state legislators have left office due to ethical or criminal issues. That number is set to rise to 32, as four additional legislators have been indicted and still hold their seats.<sup>7</sup> Statewide officials are also not immune to public corruption, as was seen in the pay-to-play scandal with former State Comptroller Alan Hevesi. With every new case, good government groups like Citizens Union, elected officials and others propose reforms that seek to prevent future corruption. One fruitful approach focuses on restrictions to or disclosure requirements for outside income. But as Citizens Union has noted in the past, we should also scrutinize some common avenues of corruption directly at the source, including lump sum funds.

The arrest of Sheldon Silver in January 2015 is only the most recent demonstration of the corruption risk posed by lump sum funding.<sup>8</sup> The federal complaint charges that Silver personally directed \$500,000 in grants from a lump sum fund to a prominent doctor, who began referring his patients to a law firm that, in turn, paid referral fees to Silver. The fund involved was established under the Health Care Reform Act, and until 2007 when it was removed from the budget, held millions of dollars be disbursed at the discretion of the Speaker of the Assembly, as well as the Temporary President of the Senate.<sup>9</sup>

The conviction of former Senate Majority Leader Malcolm Smith in February 2015 provides another example. Smith was convicted of conspiracy to bribe party officials to gain access to the Republican ballot line for Mayor of New York City. Among other things, Smith offered to funnel \$500,000 from lump sum funds known as “multi-modal” transportation funds to a developer who, in turn, would provide funds to bribe Republican officials. As captured on tape during the federal investigation, Smith explained to federal undercover operatives, “Multi-modal money is outside the budget and it’s always around.”<sup>10</sup>

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<sup>7</sup> The Citizens Union Corruption Tracker is available here:

[http://www.citizensunion.org/site\\_res\\_view\\_template.aspx?id=942b7779-7bb0-44f6-ab79-facb68f7b749](http://www.citizensunion.org/site_res_view_template.aspx?id=942b7779-7bb0-44f6-ab79-facb68f7b749)

<sup>8</sup> Rashbaum, William K. & Kaplan, Thomas. “Sheldon Silver, Assembly Speaker, Took Millions in Payoffs, U.S. Says.” New York Times. January 22, 2015. Available at: <http://www.nytimes.com/2015/01/23/nyregion/speaker-of-new-york-assembly-sheldon-silver-is-arrested-in-corruption-case.html>

<sup>9</sup> Criminal complaint available at:

[http://graphics8.nytimes.com/packages/pdf/nyregion/2015/20150123\\_silvercomplaint.pdf](http://graphics8.nytimes.com/packages/pdf/nyregion/2015/20150123_silvercomplaint.pdf)

<sup>10</sup> Dwyer, Jim. “Jumping from Party to Party to Bribery Charge.” New York Times. April 2, 2013. Available at: <http://www.nytimes.com/2013/04/03/nyregion/malcolm-smith-accused-of-bribery-for-spot-on-mayoral-ballot.html>

Multi-modal transportation funds are classic examples of lump sum funding, and they are still part of the New York State budget. Of the \$500 million total in multi-modal funds appropriated in 2000, 2005, and 2006, the FY16 Executive Budget reappropriates<sup>11</sup> \$250 million, implying that \$250 million has been spent in 15 years, with \$22 million spent in the two years since April 2013 alone. Reappropriations can only total as much funding as is left in the pot, unless lawmakers amend the amounts, so therefore these amounts generally reflect the total amount of funding that is left. Decisions about which projects will be funded, who will be recipients of grant or contract revenues, the timing of pay-outs, and other terms, are governed by memoranda of understanding (MoUs) or other types of agreements between elected officials, which typically involve the governor, the temporary president of the senate, and the speaker of the assembly. The public has no access to these documents, which are used for several other pots of funds in the budget, not only multi-modal transportation funds. Because the documents spelling out how these funds are to be spent are hidden from public view, there is almost no way to hold elected officials accountable, increasing both the corruption risk and the risk of waste. All that the public can readily determine with respect to the multi-modal funds is that it is likely that \$250 million has already been spent and \$250 million is still available.

A full list of legislators who have been involved in scandals related to misusing state funds is available in Appendix A, which details 7 other legislators who have been embroiled in scandals involving the misuse of state funding.

## VI. Proposed Reforms in FY 2016 Executive Budget

Following the arrest of Sheldon Silver, Governor Andrew Cuomo proposed a slew of ethics reforms in his FY16 Budget, including a requirement that for 12 legislative lump sum funds in the Aid to Localities and Capital Budgets that legislative sponsors, i.e. legislators who requesting pay-outs from the pots, must:

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- Submit a declaration that the contract or grant will be used for lawful, public purposes and that he/she has no financial interest or other conflict of interest related to the request to the Director of the Division of the Budget
- File a financial disclosure form with the Joint Commission on Public Ethics (JCOPE) specifying the amounts, clients, and services provided for outside income (note that the requirements for this form are newly expanded in other portions of the budget).

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<sup>11</sup> If funds appropriated in previous years are not fully spent, the remaining amount may (but need not) be reappropriated, i.e. reauthorized for this FY.

<sup>12</sup> Gonan, Yoav. "Cuomo attaches ethics reform laws to state budget voting." New York Post. February 20, 2015. Available at: <http://nypost.com/2015/02/20/cuomo-attaches-ethics-reform-laws-to-state-budget-voting/>

<sup>13</sup> The Governor's 30-day amendments to the FY16 Aid to Localities and Capital Budgets are available here: <https://www.budget.ny.gov/pubs/executive/eBudget1516/30day/ATLStrikeInsert.pdf>  
<https://www.budget.ny.gov/pubs/executive/eBudget1516/30day/CAPStrikeInsert.pdf>

The Assembly or Senate must then post the proposal, including amounts, recipients, sponsors, the administering agency or authority, and the above declarations, on its public-facing website for at least 30 days.

The Governor's proposals on lump sum funds match Citizen Union's 2013 recommendations in part, and represent a step in the right direction. However, their scope is incomplete in several ways. First, the Governor's proposals apply to only 12 of the 66 lump sum funds authorized in the FY16 Executive Budget, or \$821 million of the \$2.6 billion authorized. For completeness they should apply to all lump sum funds, as listed in Appendix B.

Second, the proposals are limited to only some of the *legislative* pots, even though in many cases the Governor has discretion over how lump sum funds are spent; none of the pots where the executive has discretion over funds were covered. This asymmetry has often been a feature of ethics reforms in Albany. For instance, the 2007 Budget Reform Act barred the *legislature* from proposing new lump sum funds unless they are itemized in a resolution. For instance, the 2007 Budget Reform Act barred the *legislature* from proposing new lump sum funds unless they are later itemized in a resolution.<sup>14</sup> The Governor, however, can still create lump sum funds, and some \$2.6 billion in existing lump sum funds are up for reappropriation in the FY16 Exec Budget.

Finally, the Governor's proposals do not fully enact Citizens Union's recommendations. While each spending proposal must be disclosed, MoUs and other documents detailing discretion and spending *in aggregate* remain secret. Moreover, there are no time limits on the reappropriation of lump sum funds.

Despite the Governor's proposals, lump sum funds continue to pose a threat to transparency and increase the risk of corruption, and further reforms are necessary. Citizens Union's recommendations to expand upon the Governor's proposals are therefore detailed at the end of this report.

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<sup>14</sup> State Finance Law, §24:

5. Any appropriation added pursuant to section four of article seven of the constitution without designating a grantee shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the chair of the senate finance committee, the chair of the assembly ways and means committee, and the director of the budget, and thereafter shall be included in a concurrent resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to each house upon a roll call vote.

## VII. Lump Sum Funds in the FY 2016 Executive and Proposed Legislative Budgets

Citizens Union examined lump sum funds in the FY16 Exec Budget<sup>15</sup>, finding over \$2.6 billion in proposed authorizations for lump sums, in 66 separate pots: 19 Aid to Localities pots, and 47 Capital pots. While not all these funds – which are all reappropriations – will actually be spent down in FY16, for scale, the Division of the Budget projects that total capital spending in FY16 will be \$10.9 billion.<sup>16</sup>

Table 1 below shows initial appropriations and proposed authorizations for FY16, in the Aid to Localities and Capital Budgets. The initial appropriation is the amount in the fund when first created, which can then be reappropriated over successive budgets. The oldest lump sum still proposed to be reappropriated for FY16 was initially appropriated in 1997, when \$423.5 million was first set aside for the community enhancement facilities assistance program. The FY16 Executive Capital Budget proposes reappropriating about \$51.5 million for this item. While initial appropriations for all pots totalled \$9.6 billion, the Governor proposes that \$2.6 billion of this be authorized for FY16.

Table 1: Lump sum funds in the FY16 Executive Budget			
	Initial Appropriation (carried over multiple budgets)	FY16 Exec Proposed Authorization (fiscal year beginning April 1, 2015)	Number of Pots
<b>Aid to Localities</b>	\$117,259,234	\$96,338,134	19
<b>Capital</b>	\$9,472,286,000	\$2,540,816,000	47
<b>TOTAL</b>	\$9,589,545,234	\$2,637,154,134	66

This total sum can be broken down by the state agencies for which funds were proposed to be authorized, by the elected officials who had approval authority over spending, and finally by spending procedure.

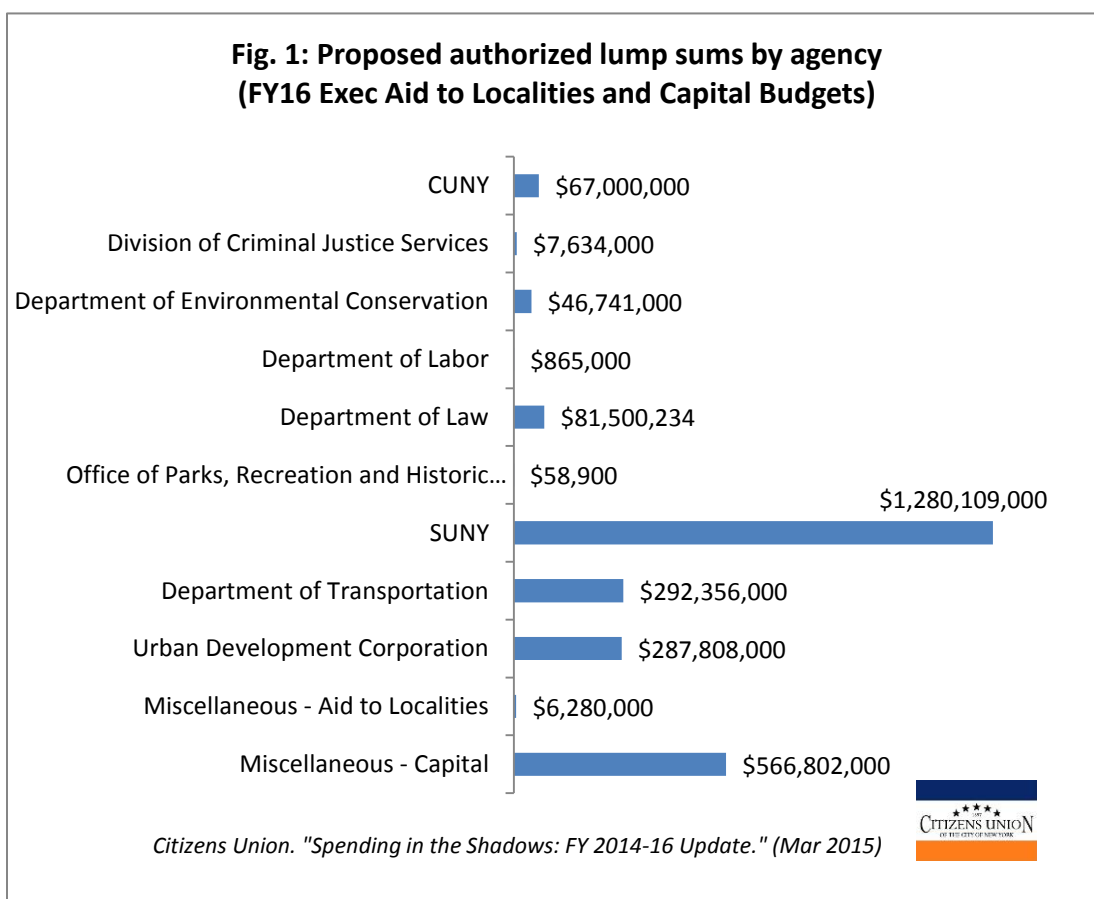
### *By Agency*

As noted previously, the state budget appropriations bills detail spending by agency, and lump sums will appear as a part of a particular agency’s budget.

<sup>15</sup> The FY16 Exec Aid to Localities Budget is available at: <http://open.nysenate.gov/legislation/bill/S2003-2015>  
 The FY16 Exec Capital Budget is available at: <http://open.nysenate.gov/legislation/bill/S2004-2015>

<sup>16</sup> The FY16 Exec Budget Capital Program and Financing Plan is available at:  
<http://publications.budget.ny.gov/eBudget1516/capitalPlan/CapPlan.pdf>

As Figure 1 below shows, the bulk of lump sum funds proposed to be authorized are in the Capital Budget (96.3%), and the bulk of this – 50.4% – goes to the State University of New York (SUNY). All of this \$1.28 billion in proposed spending is part of the NY-SUNY 2020 challenge grant program. The 2020 challenge grant program involves different campuses making detailed proposals competitively to the Governor and Chancellor of SUNY. They then decide which proposals gain funding based on fulfillment of criteria such as partnerships with local governments and businesses, funding mechanisms, and details for expansion.<sup>17</sup> It should be noted, however, that the construction work is done through private contractors, presenting a potential corruption risk.



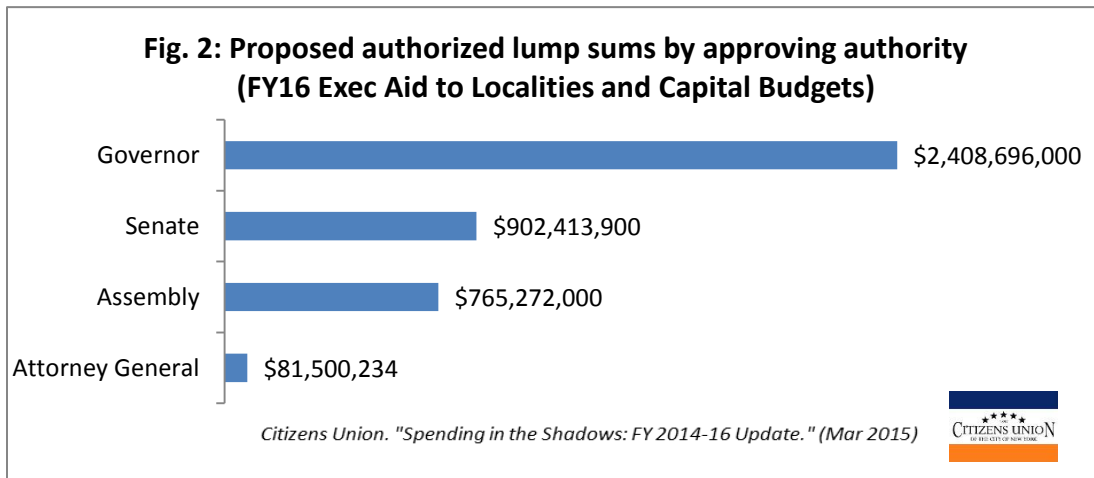
For the second biggest category, 21.7% of all proposed authorized lump sums are ‘Miscellaneous’, and not administered by a specific state agency or public authority. Without an administering agency, funds are even more difficult to track.

<sup>17</sup> For more information about the NY-SUNY 2020 challenge grant program, see: <http://www.suny.edu/impact/business/nysuny-2020/> and <http://www.suny.edu/impact/business/nysuny-2020/ny-suny2020-unveiling/>

### *By Approving Authority*

As [Figure 2](#) below shows, the Governor has at least partial authority over 91.3% of the lump sum funds proposed to be authorized, followed by 34.2% for Senators, 29.0% for Assembly Members, and 3.1% for the Attorney General. Even if we were to exclude the \$1.28 billion SUNY grant program, the Governor would still have overwhelming authority over lump sum funds. The disparity between the Governor and the State Legislature perhaps reflects the 2007 budget reforms, which as discussed earlier restricted the legislature, but not the Governor, from proposing new lump sums except if detailed via resolution.

The Attorney General’s \$81.5 million reflects a single item resulting from a settlement with J.P. Morgan over mortgage securities, and is to be spent on alleviating the impacts of foreclosures, pursuant to a plan developed by the Attorney General. Although none of this fund has yet been spent since created in FY15, the Attorney General is not legally obligated to disclose spending details under the budget authorization language.



### *By Spending Procedure*

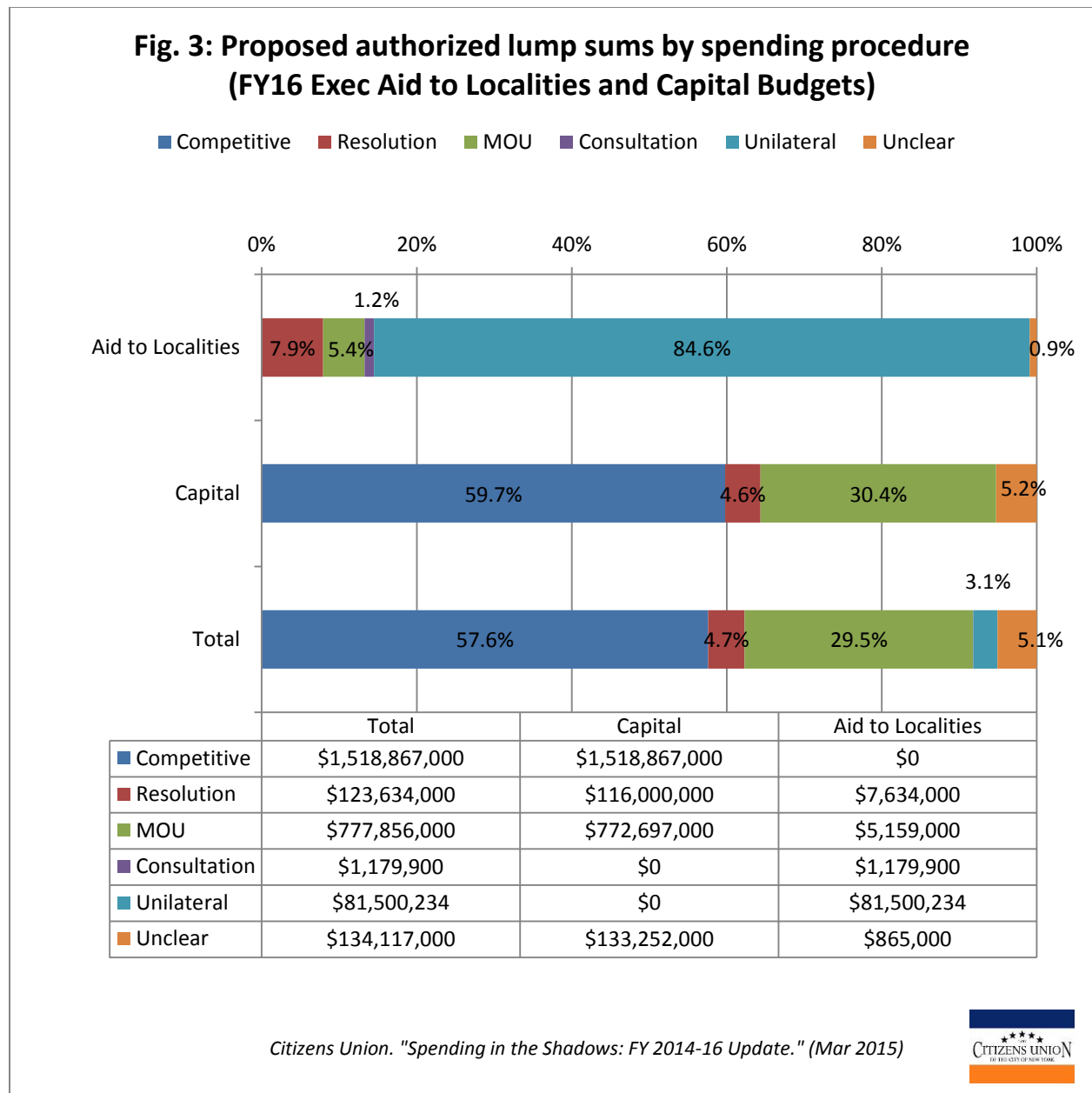
While lump sum funds in general raise issues of transparency and present a corruption risk, there are different procedures for distribution that provide various levels of transparency and accountability. For instance, the previously mentioned the NY-SUNY 2020 challenge grant program involves different campuses making detailed proposals competitively to the Governor and Chancellor of SUNY, as described earlier. In other cases, especially for funding to the Division of Criminal Justice Services and the Education Department, itemization is done by Senate or Assembly resolution after the budget cycle, typically prior to the end of the session in late June.<sup>18</sup> In these cases itemization is still decided outside the adoption of budget legislation by elected officials, reducing the scrutiny these expenditures might

<sup>18</sup> For an example of a Senate resolution disbursing funds, see: <http://open.nysenate.gov/legislation/bill/R6135-2013>

otherwise face. Recipients must be publicly disclosed, though the sponsoring legislator is unknown. At worst, MoUs need not be publicly accessible.

Accordingly, [Figure 3](#) on the following page classifies reappropriated lump sum funds by different spending procedures (for more information, see the Methodology in [Section IV](#)):

1. Competitive: 60% of proposed Capital lump sums, consisting of the 2020 challenge grants mentioned earlier, for SUNY and CUNY;
2. Resolution: 8% of proposed Aid to Localities lump sums and 5% of proposed Capital lump sums, especially prevalent in the Aid to Localities Budget under the Division of Criminal Justice Services;
3. MoU: 30% of proposed Capital lump sums, for projects ranging from economic development to transportation;
4. Consultation: 1% of proposed Aid to Localities lump sums;
5. Unilateral authority: 85% of proposed Aid to Localities lump sums – the only item is the Attorney General’s \$81.5 million settlement discussed earlier; and
6. Unclear: 1% of proposed Aid to Localities and 5% of proposed Capital lump sums.



*Updates from FY 2016 Legislative Proposals*

In response to the Governor’s Executive Budget and 30-Day Amendments, the Assembly and Senate proposed separate versions of budget bills, including the Aid to Localities and Capital Budgets on March 9 and 10, 2015.<sup>19</sup> No changes were made to the FY16 Executive Capital Budget.

<sup>19</sup> The FY16 Assembly Aid to Localities Budget is available at: <http://open.nysenate.gov/legislation/bill/A6003-2015>  
 The FY16 Senate Aid to Localities Budget is available at: <http://open.nysenate.gov/legislation/bill/S4203-2015>  
 The FY16 Assembly Capital Budget is available at: <http://open.nysenate.gov/legislation/bill/A6004-2015>  
 The FY16 Senate Capital Budget is available at: <http://open.nysenate.gov/legislation/bill/S4204-2015>



In the Aid to Localities proposals, many lump sum pots from past fiscal years that were absent from the FY16 Executive Budget were reflected. These additions increased the lump sums proposed to be authorized in the FY16 Aid to Localities Budget by \$72 million in the Senate’s proposal (13 additional pots), and \$53 million in the Assembly’s proposal (12 additional pots). All of the Assembly’s pots were also picked up by the Senate, meaning that there appears to be a legislative agreement to add back in at least \$53 million of previous years’ lump sums.

The Assembly did not propose any new lump sums; however the Senate’s Aid to Localities proposal also added 8 *new* lump sums, totalling \$10,620,000:

- 5 lump sums (totalling \$6,670,000) were added to the Division of Criminal Justice Services, all to be spent by Senate resolution. Most of these pots appear to be yearly additions to the Enacted Budget.<sup>20</sup>
- 3 lump sums were added to the Department of Health:
  - \$3 million for “a rape prevention and education program in underserved areas” to be spent by Senate resolution, and
  - Two pots totalling \$950,000 for “the recommendations of the Senate task force on Lyme and Tick Borne diseases” – with no spending procedure specified.

The Governor’s lump sum disclosure requirements, as referenced in [Section IV](#), added as part of his 30-Day Amendments, were absent from all legislative proposals. This reflects the legislature’s broader disagreements with the Governor about the details of ethics reform and possibly the inclusion of these reforms in the appropriations bills, and suggests the disclosure requirements will be a subject in budget negotiations.

The Senate’s Aid to Localities proposal also included authorizations from the Community Projects Fund, a pot historically used for member items and lump sums, housed under the Urban Development Corporation, totalling \$18 million in reappropriations that are still being spent down, with the oldest item dating back to 1999. Nearly \$16 million of this is unitemized, and no lump sum item specified any elected officials responsible for steering funds, why it is not included in the tally above. The Governor’s FY16 Financial Plan reports that the Community Projects Fund has a current balance of \$87 million, so it possible that more items from the Community Projects Fund could be added back to the budget for the final enacted version.<sup>21</sup>

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<sup>20</sup> An additional item appears in the Senate proposal that appears to be a duplicate totalling \$650,000, also under the Division of Criminal Justice Services. Citizens Union did not include this item in our tally. It should be noted that occasionally pots such as these are vetoed by the Governor, provided they are duplicates.

<sup>21</sup> The FY16 Financial Plan is available here:

<https://www.budget.ny.gov/pubs/executive/eBudget1516/financialPlan/FinPlanUpdated.pdf>

## VIII. Trends in Lump Sum Funds, FYs 2014-16

In its 2013 report *Spending in the Shadows*, Citizens Union examined lump sum funds in FY14. The following section extends that analysis to present trends over the three budgets to date. Comparisons between fiscal years<sup>22</sup> can show changes in the aggregate lump sums and their composition, and which individual funds have been spent down or created.

Authorizations have fallen from year to year – in other words, existing lump sums are being spent down faster than new funds are added. This is a double-edged sword: the relative lack of new funds should be welcomed given the lack of transparency of lump sum funds generally. In particular, the FY16 Exec Budget proposes no new lump sum funds. However, the continued spending of lump sum funds is still cause for concern, as elected leaders still retain substantial authority over state spending outside of the regular budget process, without significant public scrutiny.

While the budget process in New York State is largely executive-driven, legislators can authorize discretionary funding, and this may afford them a potentially important role in the budget process. Some might argue that legislators as local representatives are closer to the needs of their constituent than a statewide elected official, i.e. the Governor. However, given past abuses and the risk of corruption, the distribution process should be reformed to ensure that there is sufficient transparency and vetting.

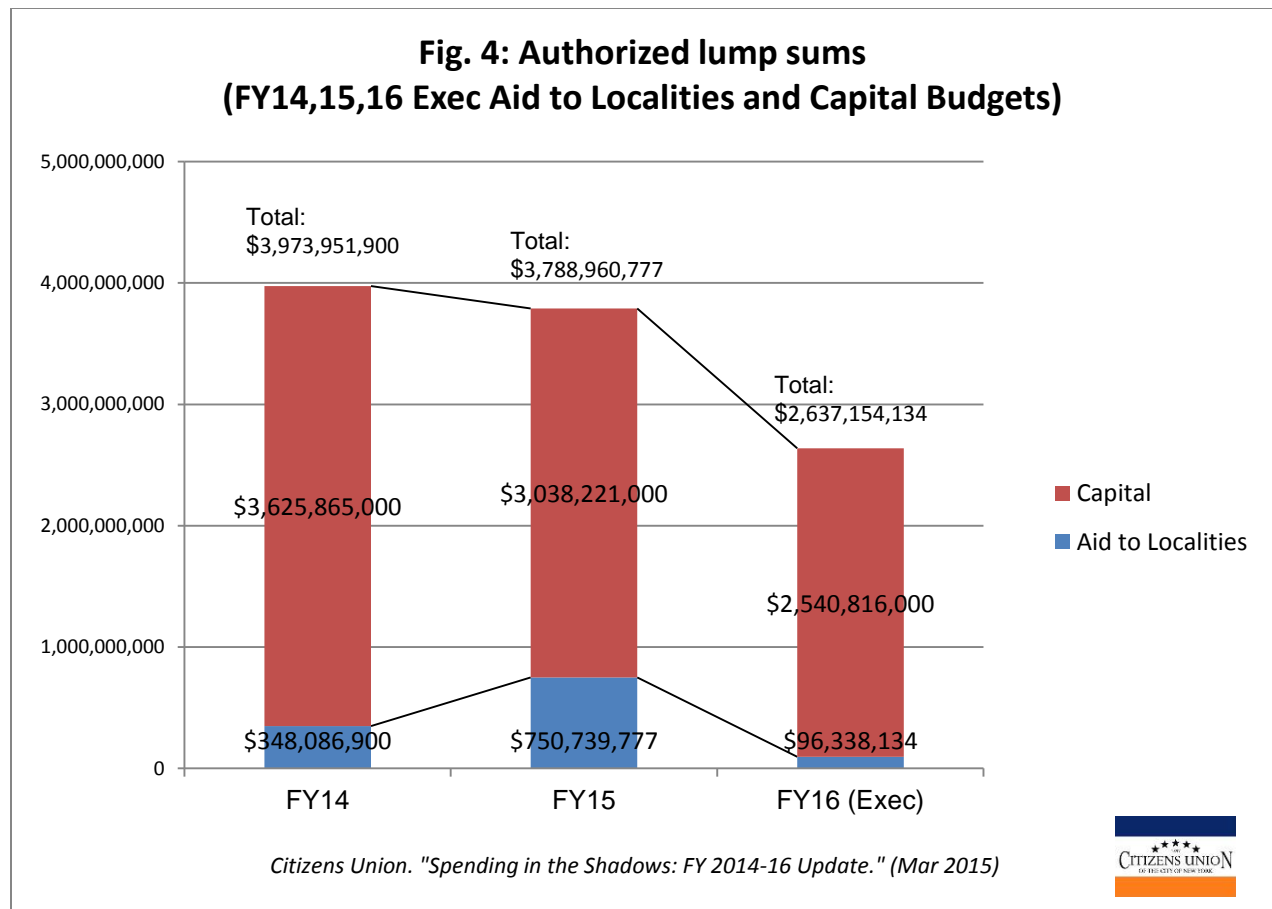
As [Figure 4](#) on the following page shows, the total authorization has fallen from just under \$4 billion in FY14<sup>23</sup>, to \$3.8 billion in FY15, and \$2.6 billion proposed in the FY16 Executive Budget. The Capital authorization has fallen every year, while there was a spike in the Aid to Localities authorization in FY15 due to the addition several new pots, mainly mortgage settlement funds for the Attorney General and under the Division of Housing and Community Renewal totalling \$393 million.

The sharp total decline to FY16 should be taken with the caveat that we examined the proposed Executive, not Enacted, Budget. Based on trends from previous fiscal years, some lump sum funds missing from the Executive Aid to Localities Budget then appear in the Enacted Budget. In particular reappropriations to the Community Projects Fund (\$254 million in FY15) are not entered in the FY16 Exec Budget.

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<sup>22</sup> The FY14 Aid to Localities Budget is available here: <http://open.nysenate.gov/legislation/bill/S2603E-2013>  
The FY14 Capital Budget is available here: <http://open.nysenate.gov/legislation/bill/S2604E-2013>  
The FY15 Aid to Localities Budget is available here: <http://open.nysenate.gov/legislation/bill/S6353E-2013>  
The FY15 Capital Budget is available here: <http://open.nysenate.gov/legislation/bill/S6354E-2013>

<sup>23</sup> These amounts for FY14 differ from our previous report, “Spending in the Shadows,” due to some inclusions and exclusions for consistency with our definition of lump sum spending across fiscal years.



**New Pots**

New lump sums in the FY14 and FY15 Budgets are listed in [Tables 2 and 3](#) on the following page. As mentioned previously there were no new pots matching Citizens Union’s criteria in the FY16 Executive Budget.

With the exception of the two mortgage settlement funds, all new pots in FY14 and FY15 have been either competitive grants or subject to Senate resolution. For FY14 new pots, only \$2 million from the Division of Criminal Justice Services funds have been spent down so far. For FY15 new pots, \$29,000 from the Division of Criminal Justice Services funds has been spent down, while \$42 million in school district and library grants and \$312 million in mortgage settlement funds were *not* authorized in FY16. This might indicate that they have been spent down, or otherwise amended in some way.

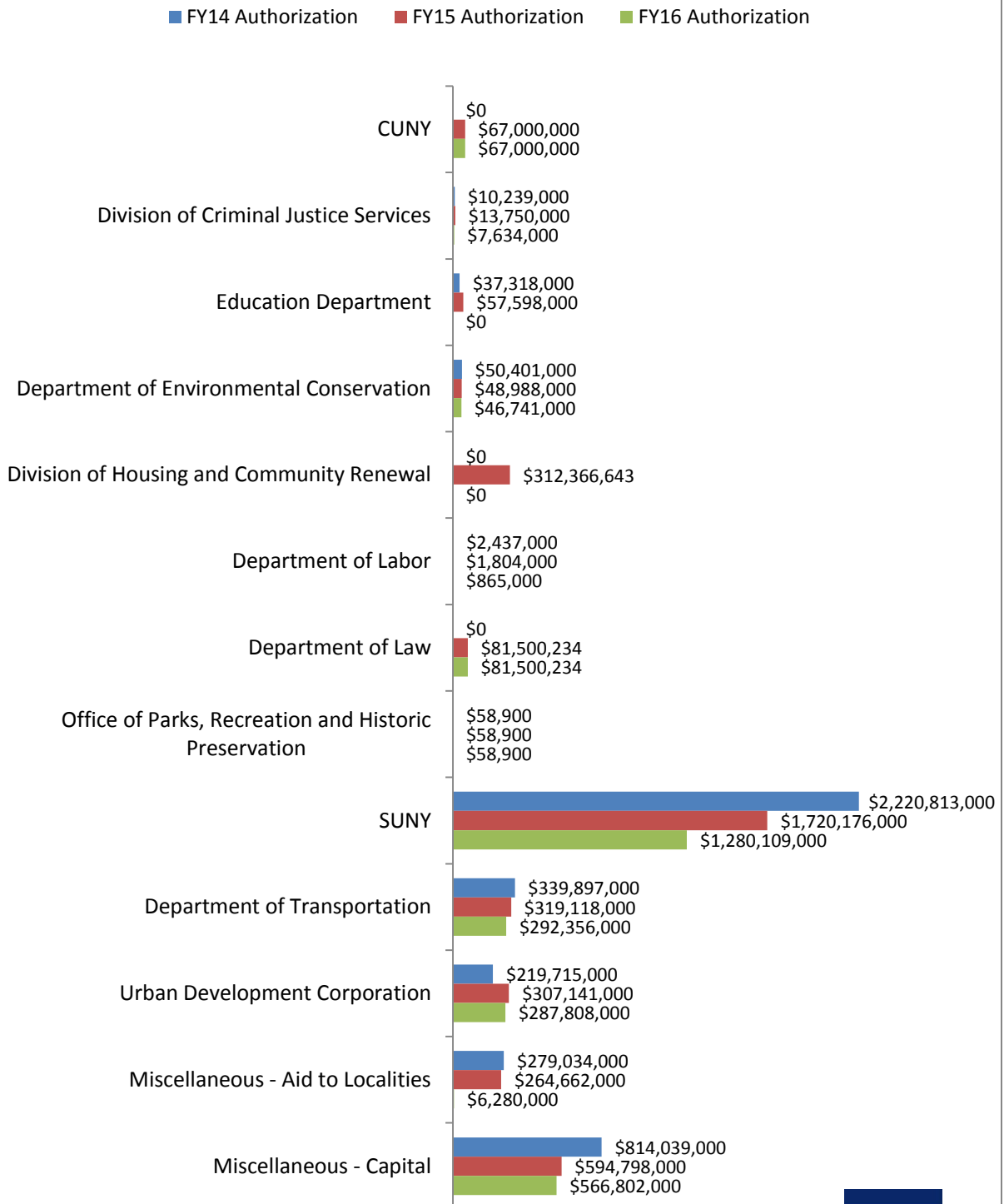
Table 2: New lump sums in FY14 Budget				
Budget	Agency	Description	Spending Procedure	Initial FY14 Appropriation
Aid to Localities	Div. Criminal Justice Services	4 funds for grants for anti-crime and domestic violence services	Senate resolution	\$3,650,000
Capital	SUNY	NY-SUNY 2020 challenge grant program	Competitive	\$88,000,000
Capital	Urban Development Corp.	2 funds for NY-SUNY and NY-CUNY challenge grant programs	Competitive	\$110,000,000
<b>Total</b>				<b>\$201,650,000</b>

Table 3: New lump sums in FY15 Budget				
Budget	Agency	Description	Spending Procedure	Initial FY15 Appropriation
Aid to Localities	Div. Criminal Justice Services	4 funds for grants for anti-crime and domestic violence services	Senate resolution	\$5,750,000
Aid to Localities	Education Department	2 funds for grants to school districts, public libraries, and non-profits	Senate resolution	\$42,470,000
Aid to Localities	Div. Housing & Community Renewal	JPMorgan mortgage settlement fund	MOU (Budget Dir., Temp. Pres. & Speaker)	\$312,366,643
Aid to Localities	Department of Law	JPMorgan mortgage settlement fund	Unilateral (Attorney General)	\$81,500,234
Capital	CUNY	CUNY capital projects	Senate resolution	\$67,000,000
Capital	SUNY	SUNY capital projects	Senate resolution	\$49,000,000
Capital	Urban Development Corp.	2 funds for NY-SUNY and NY-CUNY challenge grant programs	Competitive	\$110,000,000
<b>Total</b>				<b>\$668,086,877</b>

*By Agency*

Figure 5 on the following page shows changes in authorizations by state agency. The key changes are: the addition of mortgage settlements (under the Division of Housing and Department of Law) in FY15, the addition of CUNY capital funds in FY15, and the gradual drawing down of SUNY capital funds. Some pots may be added or modified for the FY16 Enacted Budget. In both the Aid to Localities and Capital Budgets, authorizations that were “miscellaneous” and not designated to a particular agency declined: by almost \$220 million during FY14 for Capital, driven by a \$175 million drop in a Regional Development fund, and by \$258 million during FY15 for Aid to Localities, as the Community Projects Fund (\$254 million in FY15) was dropped.

**Fig. 5: Authorized lump sums by agency  
 (FY14,15,16 Exec Aid to Localities and Capital Budgets)**



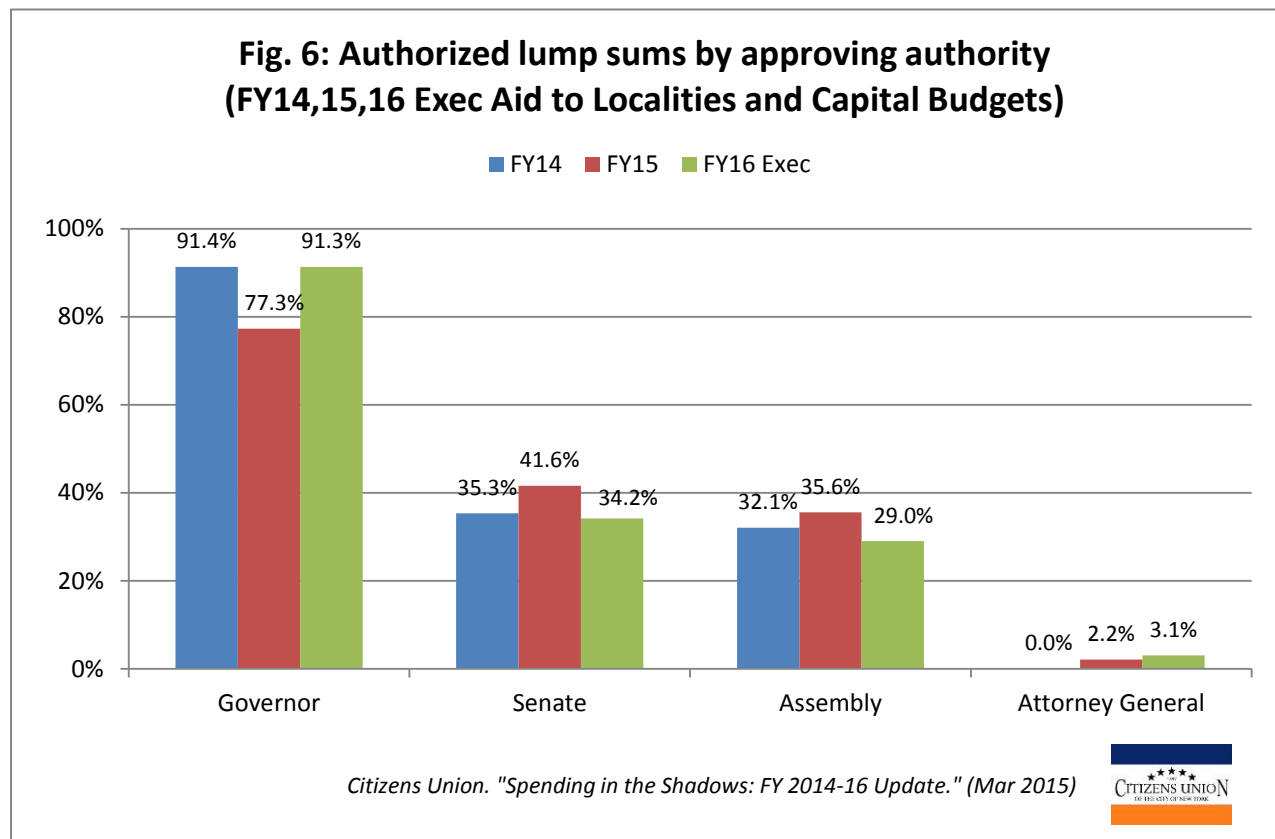
Citizens Union. "Spending in the Shadows: FY 2014-16 Update." (Mar 2015)



*By Approving Authority*

Authorizations by approving authority have fluctuated over time, as [Figure 6](#) below shows. The Governor’s portion of funds dropped substantially between FY14 and FY15, from 91.4% to 77.3%, though has increased again in the proposed Executive Budget for FY16, with corresponding rises for the legislature. It should be noted, however, that in some cases the governor shares approval authority with the legislature, so he does not have sole discretion over all the pots he has approval authority over. The same is true for the legislature.

The decrease in the governor’s share of approval authority is largely driven by the \$312 million mortgage settlement fund, which is governed by an MoU between the Director of the Budget, the Speaker of the Assembly, and the Temporary President of the Senate. In our inventory, as mentioned earlier, we do not include this in the Governor’s category given that he is not directly responsible for the MoU, but rather an agency head. There is then a \$312 million boost to the influence of the legislature in FY15. The Attorney General’s mortgage settlement fund of \$81.5 million from FY15 onwards is also clearly visible.



These trend findings collectively show that a few new lump sum funds have been created since FY14. More must be done to increase the transparency of two mortgage settlement funds, one at the Division of Housing under the legislature’s influence (\$312 million), and another at the Department of Law under

the Attorney General (\$81.5 million). Despite the inclusion of these two new funds, authorizations overall have decreased because existing funds are being spent down. We should still exercise caution, however, especially where large amounts are spent from opaque funds, such as Economic Development funds during FY14. While the relative lack of new funds is promising, it is not enough to curb *new* lump sum funds while existing ones still have substantial amounts reappropriated that lack transparency. In this regard, the Governor's FY16 30-Day amendment proposals are still inadequate to address the corruption risk in lump sum funds as they do not apply to all approving authorities and all pots of funding (as listed in Appendix B). New York State needs comprehensive reform to lump sum funds.

## IX. Citizens Union Recommendations

In our September 2013 report *Spending in the Shadows*, Citizens Union recommended measures to improve the transparency of lump sum funds. Given the Governor's proposals, we reiterate and add to those recommendations below.

1. Support the governor's proposal to require an affirmation from legislators who seek to make awards from lump sum appropriations **that the contract or grant is for a lawful, public purpose, that the lawmaker has not and will not receive any financial benefit**, and that there are no conflicts of interest, and that the legislator is in compliance with all financial disclosure requirements in the public officers law.
2. **Expand the governor's proposal to apply to all state elected officials involved in determining grants, including the governor; and all lump sum funds authorized in the budget.** It appears that 15 lump sum pots in the proposed FY 2016 were covered by the new requirements. Citizens Union has identified at least 66 pots in the current budget, 12 of which were covered by the requirements (note: 3 additional pots were covered that were not initially found by Citizens Union, as they do not identify an elected official as responsible for their distribution). **This should be further codified in state finance law to ensure application to all future lump sum pots.**
3. **All grants and contracts expended under lump sum funds should receive comprehensive, online disclosure.** The disclosure should be in a user-friendly format permitting independent analysis and should include all MoUs, plans, resolutions and other agreements, funds distributed and the identity of recipients, and the amount of funds that remain.
4. **Lump-sum appropriations should disclose in the state budget the detailed purposes and criteria set forth for their distribution.**
5. For lump sum funds distributed via assembly or senate resolution, **resolutions should age for 3 days and identify the legislative sponsor.**
6. **There should be a time limit for the reappropriation of lump-sums** to decrease slush funds and the use of such funds as "one-shot" budget gap fillers.



**Citizens Union, “Spending in the Shadows: FY 2014-16 Update.” March 2015**  
**Appendix A**

**LEGISLATIVE TURNOVER DUE TO IMPROPER STEERING OF STATE FUNDS  
 BY DISTRICT: 2008-2015**

DISTRICT	YEAR LEFT OFFICE	HOUSE	LEGISLATOR	REASON LEAVING OFFICE (IMPROPER STEERING OF STATE FUNDS UNDERLINED)	SUCCEEDED BY (CURRENT INCUMBENTS IN BOLD)
65	<i>Pending</i>	<i>Assembly</i>	<i>Sheldon Silver (D)</i>	<b><i>Pending</i></b> – Indicted on five counts of theft of honest services, mail fraud, wire fraud and extortion related to receiving \$4 million in referral fees for improper use of his public position. <sup>i</sup> <u>Steered \$500,000 in state funds to medical research in return for legal referral fees.</u> Received further referral fees from real estate firms.	N/A
14	2014	Senate	Malcolm Smith (D)	<b>Convicted of bribery charges for trying to seek ballot line for NYC Mayor</b> after losing the 2014 Primary Election due to indictment. <sup>ii</sup> <u>Tried to steer multi-modal transportation funds in bribery scheme.</u>	<b>Leroy Comrie, Jr. (D)</b>
55	2014	Assembly	William Boyland Jr. (D)	<b>Felony conviction forced exit from office:</b> twenty-one counts of bribery, mail fraud and extortion. <sup>iii</sup> <u>Offered to steer state funds to renovate a hospital and then sell it to a non-profit he controlled, demanding \$250,000 in exchange. Steered \$200,000 in member item funds to a non-profit for his personal and political benefit.</u> Fraudulently claimed travel vouchers. Received bribes in exchange for locating carnivals in his district. <sup>iv</sup>	<b>Latrice Walker (D)</b>

**LEGISLATIVE TURNOVER DUE TO IMPROPER STEERING OF STATE FUNDS  
 BY DISTRICT: 2008-2015**

DISTRICT	YEAR LEFT OFFICE	HOUSE	LEGISLATOR	REASON LEAVING OFFICE (IMPROPER STEERING OF STATE FUNDS UNDERLINED)	SUCCEEDED BY (CURRENT INCUMBENTS IN BOLD)
53	2013	Assembly	Vito Lopez (D)	<b>Resigned due to ethical misconduct and sexual harassment scandal:</b> following the release of report by the Joint Commission on Public Ethics (JCOPE) which found a substantial basis for violations of the Public Officers Law, Lopez resigned amidst public pressure. <sup>v</sup> <u>Founded the Ridgewood Bushwick Senior Citizens Council, which has \$100 million in contracts before the city and state, and continues to be funded by member items after Lopez's resignation (\$440,000 in FY15 alone).</u> <sup>vi</sup> <u>Received campaign donations from parties with contracts before the RBSCC – no indictment.</u> <sup>vii</sup>	<b>Maritza Davila (D)</b> (special election)
10	2012	Senate	Shirley Huntley (D)	<b>Lost primary due to ethical issue:</b> <u>Indicted over conspiracy to funnel money intended for her non-profit to aides and tampering with an open investigation.</u> <sup>viii</sup>	<b>James Sanders, Jr. (D)</b>
17*	2012	Senate	Carl Kruger (D)	<b>Felony conviction forced exit from office:</b> Charged with bribery and pleaded guilty to conspiring to take at least \$1 million in bribes in exchange for various favors to sponsor legislation and <u>direct grants. Was Senate Finance Committee Chair for two years.</u> <sup>ix</sup>	David Storobin (R)
33	2010	Senate	Pedro Espada (D)	<b>Lost primary due to ethical issues:</b> Indicted on various corruption charges by the State Attorney General and F.B.I. <sup>x</sup> He later pleaded guilty to federal tax evasion in 2012. <sup>xi</sup> <u>Embezzled from Soundview Healthcare Center, which he founded and which received state funding. Was Senate Majority Leader for two years.</u> <sup>xii</sup>	<b>Gustavo Rivera (D)</b>

**LEGISLATIVE TURNOVER DUE TO IMPROPER STEERING OF STATE FUNDS  
 BY DISTRICT: 2008-2015**

DISTRICT	YEAR LEFT OFFICE	HOUSE	LEGISLATOR	REASON LEAVING OFFICE (IMPROPER STEERING OF STATE FUNDS UNDERLINED)	SUCCEEDED BY (CURRENT INCUMBENTS IN BOLD)
40	2010	Senate	Vincent Leibell (R)	<b>Resigned due to ethical misconduct:</b> before end of Senate term pleaded guilty to felony corruption charges stemming from federal investigation. He had also won the 2010 General Election for Putnam County Executive, and was forced to resign from that post. <sup>xiii</sup> <u>Controlled a non-profit group that built senior housing in his district with millions in member item grants, receiving kickbacks.</u> <sup>xiv</sup>	Gregory Ball (R)
33	2008	Senate	Efrain Gonzalez (D)	<b>Lost election due to ethical issues:</b> Was indicted on federal mail fraud and lost election as result of federal investigation; he later pled guilty to two charges of mail fraud and two charges of conspiracy to commit mail fraud. <sup>xv</sup> <u>Steered \$200,000 in member items to Pathways for Youth, which in turn directed \$400,000 to West Bronx Neighborhood Association, from which Gonzales embezzled \$500,000.</u> <sup>xvi</sup>	Pedro Espada, Jr. (D)

<sup>i</sup> Rashbaum, William; Kaplan, Tom and Craig, Susan. "Sheldon Silver, Speaker of New York Assembly, Is Accused of Taking Millions in Graft" The New York Times. January 22, 2015. Available at: <http://www.nytimes.com/2015/01/23/nyregion/speaker-of-new-york-assembly-sheldon-silver-is-arrested-in-corruption-case.html>

<sup>ii</sup> Calder, Rich. "Malcolm Smith guilty of trying to rig NYC mayor's race." New York Post. February 5, 2015. Available at: <http://nypost.com/2015/02/05/malcolm-smith-guilty-of-trying-to-rig-nyc-mayors-race/>; and

Gardner, Seth. "New Trial Set for Malcolm Smith in Bribery Case." Wall Street Journal. June 17, 2014. Available at: <http://online.wsj.com/articles/mistrial-in-new-york-state-senator-malcolm-smiths-federal-bribery-case-1403021831>

<sup>iii</sup> Marzulli, John. "Assemblyman William Boyland Jr. tossed in jail after conviction on bribe charges." NY Daily News. March 6, 2014. Available at: <http://www.nydailynews.com/new-york/brooklyn/brooklyn-assemblyman-william-boyland-jr-convicted-article-1.1713067#commentpostform>

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- <sup>iv</sup> U.S. Attorney's Office, Eastern District of New York. "New York State Assemblyman William J. Boyland, Jr. Convicted of Bribery, Fraud, Extortion, Conspiracy and Theft." March 6, 2014. Available at: <http://www.justice.gov/usao/nye/pr/March14/2014Mar6b.php>
- <sup>v</sup> Seiler, Casey. "Lopez Resigns, Effective 9 a.m. Monday." Times Union. May 18, 2013. Available at: <http://blog.timesunion.com/capitol/archives/187364/lopez-resigns-effective-9-a-m-monday/>
- <sup>vi</sup> Bredderman, Will. "Councilmen defend funding a Vito Lopez non-profit." Observer. June 26, 2014. Available at: <http://observer.com/2014/06/councilmen-defend-funding-a-vito-lopez-non-profit/>
- <sup>vii</sup> Gearty, Robert. "Developers, architects, accountants and security firms cash in after writing checks to Vito Lopez." NY Daily News. September 29, 2010. Available at: <http://www.nydailynews.com/new-york/developers-architects-accountants-security-firms-cash-writing-checks-vito-lopez-article-1.438153>
- <sup>viii</sup> Newman, Philip. "Huntley indicted on criminal cover-up involving her charity." Times Ledger. August 27, 2012. Available at: [http://timesledger.com/stories/2012/35/huntleyindict\\_web\\_08\\_27\\_q\\_2012\\_35.html](http://timesledger.com/stories/2012/35/huntleyindict_web_08_27_q_2012_35.html)
- <sup>ix</sup> New York Times. "Carl Kruger Topic Page." Available at: [http://topics.nytimes.com/topics/reference/timestopics/people/k/carl\\_kruger/index.html](http://topics.nytimes.com/topics/reference/timestopics/people/k/carl_kruger/index.html)
- <sup>x</sup> Confessore, Nicholas and Rashbaum, William K. "Pedro Espada Accused of Stealing from Healthcare Network" The New York Times, December 14, 2010. Available at: <http://www.nytimes.com/2010/12/15/nyregion/15espada.html?pagewanted=print>
- <sup>xi</sup> Secret, Mosi. "Espada Speaks Softly as he Pleads Guilty to a Single Tax Charge." New York Times. October 12, 2012. Available at: <http://www.nytimes.com/2012/10/13/nyregion/pedro-espada-jr-pleads-guilty-to-tax-evasion.html>
- <sup>xii</sup> U.S. Attorney's Office, Eastern District of New York. "Former State Senate Majority Leader Convicted of Stealing from Non-profit Medical Clinics." May 14, 2012. Available at: <http://www.justice.gov/usao/nye/pr/2012/2012may14b.html>
- <sup>xiii</sup> Matthews, Cara. "Ball: 'Deeply saddened' about Leibell" Politics on the Hudson, December 3, 2010. Available at: <http://polhudson.lohudblogs.com/2010/12/03/ball-deeply-saddened-about-leibell/>
- <sup>xiv</sup> Rashbaum, William K. & Schweber, Nate. "Sidewalk Meeting for State Senator and Lawyer Leads to Guilty Plea." New York Times. December 6, 2010. Available at: <http://www.nytimes.com/2010/12/07/nyregion/07leibell.html>
- <sup>xv</sup> Confessore, Nicholas. "Efrain Gonzalez Jr. Pleads Guilty to Fraud Charges." New York Times. May 8, 2009. Available at: <http://www.nytimes.com/2009/05/09/nyregion/09gonzalez.html>
- <sup>xvi</sup> Weiser, Benjamin. "A Former Bronx Senator Gets Seven Years for Corruption." New York Times. May 25, 2010. Available at: <http://www.nytimes.com/2010/05/26/nyregion/26gonzalez.html>

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Governor	Senate	Assembly	Attorney General	Fund/Program Name	Bill Text	Chapter/Section /Laws	Total Funding Amount (Lifetime)	FY14 Authorizations	FY15 Authorizations	FY16 (Exec) Authorizations	Notes	FY16 30-Day Amendment Disclosure
S2003/A3003 (FY16); S6353/A8553 (FY15)	Aid to localities	57; 65	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget		Y			Crime Prevention and Reduction Strategies Program; General Fund, Local Assistance Account - 10000	For services and expenses of programs that prevent domestic violence or aid the victims of domestic violence. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2014	\$1,609,000		\$1,609,000	\$1,590,000	<a href="#">R6135-2013 passed 6/20/14</a>	
S2003/A3003 (FY16); S6353/A8553 (FY15)	Aid to localities	57; 65	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget		Y			Crime Prevention and Reduction Strategies Program; General Fund, Local Assistance Account - 10000	For services and expenses of law enforcement, anti-drug, anti-violence, crime control and prevention programs. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2014	\$2,891,000		\$2,891,000	\$2,891,000	<a href="#">R6135-2013 passed 6/20/14</a>	
S2003/A3003 (FY16); S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to localities	60; 77; 63	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget		Y			Crime Prevention and Reduction Strategies Program; General Fund, Local Assistance Account - 10000	For services and expenses of programs that prevent domestic violence or aid the victims of domestic violence. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2013	\$609,000	\$609,000	\$609,000	\$210,000	<a href="#">R2681-2013 passed 6/21/13</a>	
S2003/A3003 (FY16); S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to localities	60; 77; 64	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget		Y			Crime Prevention and Reduction Strategies Program; General Fund, Local Assistance Account - 10000	For services and expenses of law enforcement, anti-drug, anti-violence, crime control and prevention programs. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2013	\$1,891,000	\$1,891,000	\$1,891,000	\$1,000,000	<a href="#">R2681-2013 passed 6/21/13 for \$1,590,000. R6135-2013 passed 6/20/14 for \$301,000</a>	
S2003/A3003 (FY16); S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to localities	61; 79; 74	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget		Y			Crime Prevention and Reduction Strategies Program; General Fund, Local Assistance Account - 10000	For services and expenses of family court domestic violence services. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2012	\$600,000	\$600,000	\$150,000	\$100,000	<a href="#">R5595-2011 passed 6/21/12</a>	
S2003/A3003 (FY16); S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to localities	62; 79; 74	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget		Y			Crime Prevention and Reduction Strategies Program; General Fund, Local Assistance Account - 10000	For services and expenses of local law enforcement and judges for domestic violence training. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2012	\$500,000	\$500,000	\$100,000	\$75,000	<a href="#">R5595-2011 passed 6/21/12</a>	
S2003/A3003 (FY16); S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to localities	62; 79; 74	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget		Y			Crime Prevention and Reduction Strategies Program; General Fund, Local Assistance Account - 10000	For services and expenses of law enforcement, anti-drug, anti-violence, crime control and prevention programs. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2012	\$450,000	\$450,000	\$50,000	\$50,000	<a href="#">R5595-2011 passed 6/21/12</a>	

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Governor	Senate	Assembly	Attorney General	Fund/Program Name	Bill Text	Chapter/Section /Laws	Total Funding Amount (Lifetime)	FY14 Authorizations	FY15 Authorizations	FY16 (Exec) Authorizations	Notes	FY16 30-Day Amendment Disclosure
S2003/A3003 (FY16); S6353/A8553 (FY15)	Aid to localities	64; 67	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget		Y			Crime Prevention and Reduction Strategies Program; Special Revenue Funds, Federal Miscellaneous Operating Grants Fund, Edward Byrne Memorial Grant Account	For services and expenses of drug, violence, and crime control and prevention programs. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2014	\$300,000		\$300,000	\$300,000	<a href="#">R6135-2013 passed 6/20/14</a>	
S2003/A3003 (FY16); S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to localities	65; 95; 65	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget		Y			Crime Prevention and Reduction Strategies Program; Special Revenue Funds, Federal Miscellaneous Operating Grants Fund, Edward Byrne Memorial Grant Account	For services and expenses of drug, violence, and crime control and prevention programs. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2013	\$500,000	\$500,000	\$500,000	\$275,000	<a href="#">R2681-2013 passed 6/21/13</a>	
S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	95; 92	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget		Y			Crime Prevention and Reduction Strategies Program; Special Revenue Funds, Federal Miscellaneous Operating Grants Fund, Edward Byrne Memorial Grant Account	For services and expenses of drug, violence, and crime control and prevention programs. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2012	\$780,000	\$780,000	\$400,000		<a href="#">R5595-2011 passed 6/21/12</a>	
S2003/A3003 (FY16); S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to localities	72; 107; 106	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget		Y			Crime Prevention and Reduction Strategies Program; Special Revenue Funds, Miscellaneous Special Revenue Fund, Criminal Justice	For services and expenses of programs that prevent domestic violence or aid the victims of domestic violence. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2012	\$609,000	\$609,000	\$300,000	\$3,000	<a href="#">R5595-2011 passed 6/21/12</a>	
S2003/A3003 (FY16); S6353/A8553 (FY15)	Aid to localities	74; 71	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget		Y			Crime Prevention and Reduction Strategies Program; Special Revenue Funds, Miscellaneous Special Revenue Fund, Legal Services	For services and expenses of civil or criminal domestic violence services. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2014	\$950,000		\$950,000	\$940,000	<a href="#">R6135-2013 passed 6/20/14</a>	
S2003/A3003 (FY16); S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to localities	75; 110; 69	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget		Y			Crime Prevention and Reduction Strategies Program; Special Revenue Funds, Miscellaneous Special Revenue Fund, Legal Services Assistance Account - 22096	For services and expenses of civil or criminal domestic violence services. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2013	\$650,000	\$650,000	\$650,000	\$160,000	<a href="#">R2681-2013 passed 6/21/13</a>	
S2003/A3003 (FY16); S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to localities	76; 111; 110	Division of Criminal Justice Services	Temporary President of the Senate; Director of the Budget		Y			Crime Prevention and Reduction Strategies Program; Special Revenue Funds, Miscellaneous Special Revenue Fund, Legal Services	For services and expenses of civil or criminal domestic violence services. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2012, as amended by chapter 53, section 1, of the laws of 2014	\$650,000	\$650,000	\$350,000	\$40,000	<a href="#">R5595-2011 passed 6/21/12</a>	

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Governor	Senate	Assembly	Attorney General	Fund/Program Name	Bill Text	Chapter/Section /Laws	Total Funding Amount (Lifetime)	FY14 Authorizations	FY15 Authorizations	FY16 (Exec) Authorizations	Notes	FY16 30-Day Amendment Disclosure
S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	113; 116	Division of Criminal Justice Services	Governor; Majority Leader of the Senate	Y	Y			Crime Prevention and Reduction Strategies Program; Special Revenue Funds, Miscellaneous Special Revenue Fund, Legal Services	For services, expenses or reimbursement of expenses incurred by local government agencies and/or not-for-profit providers or their employees providing civil or criminal legal services; provided, however, no funds shall be allocated from this amount until a memorandum of understanding is agreed to by the governor and the majority leader of the senate	By chapter 50, section 1, of the laws of 2006, as amended by chapter 50, section 1, of the laws of 2007	\$3,000,000	\$3,000,000	\$3,000,000		MOU	
S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	224; 202	Education Department	Temporary President of the Senate; Director of the Budget			Y		Office of Prekindergarten through Grade Twelve Education Program; General Fund, Local Assistance Account - 10000	For additional grants in aid to certain school districts, public libraries, and not-for-profit institutions. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 55, section 1, of the laws of 1998, as amended by chapter 55, section 1, of the laws of 2002	\$15,109,000	\$15,109,000	\$3,050,000		Senate resolution	
S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	228; 227	Education Department	Temporary President of the Senate; Director of the Budget			Y		Office of Prekindergarten through Grade Twelve Education Program; General Fund, Local Assistance Account - 10000	For additional grants in aid to certain school districts, public libraries, and not-for-profit institutions. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2012	\$20,605,000	\$10,000,000	\$2,250,000		<a href="#">R2680-2013 passed 6/21/13</a>	
S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	228; 227	Education Department	Speaker of the Assembly; Director of the Budget			Y		Office of Prekindergarten through Grade Twelve Education Program; General Fund, Local Assistance Account - 10000	For additional grants in aid to certain school districts, public libraries, and not-for-profit institutions. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the speaker of the assembly and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the assembly upon a roll call vote	By chapter 53, section 1, of the laws of 2012	\$9,121,000	\$9,121,000	\$9,121,000		<a href="#">R5594-2011 passed 6/21/12</a>	
S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	234; 233	Education Department	Temporary President of the Senate; Director of the Budget		Y			Office of Prekindergarten through Grade Twelve Education Program; General Fund, Local Assistance Account - 10000	For grants in aid to school districts, libraries, not for profits and educational institutions, notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2010, as transferred by chapter 53, section 1, of the laws of 2011	\$16,226,000	\$1,000,000	\$605,000		Senate resolution	
S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	247; 243	Education Department	Speaker of the Assembly; Director of the Budget			Y		Office of Prekindergarten through Grade Twelve Education Program; General Fund, Local Assistance Account - 10000	For additional grants in aid to certain school districts, public libraries and not-for-profit institutions. For grants in aid to school districts, libraries, not for profits and educational institutions, notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the speaker of the assembly and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the assembly upon a roll call vote	By chapter 53, section 1, of the laws of 2009, as amended by chapter 53, section 1, of the laws of 2012	\$1,900,000	\$1,900,000			Assembly resolution	
S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	253; 250	Education Department	Temporary President of the Senate; Director of the Budget		Y			Office of Prekindergarten through Grade Twelve Education Program; General Fund, Local Assistance Account - 10000	For grants in aid to school districts, libraries, not for profits and educational institutions, notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 53, section 1, of the laws of 2008, as amended by chapter 1, section 2, of the laws of 2009	\$250,000	\$188,000	\$102,000		Senate resolution	

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Governor	Senate	Assembly	Attorney General	Fund/Program Name	Bill Text	Chapter/Section /Laws	Total Funding Amount (Lifetime)	FY14 Authorizations	FY15 Authorizations	FY16 (Exec) Authorizations	Notes	FY16 30-Day Amendment Disclosure
S6353/A8553 (FY15)	Aid to Localities	200	Education Department	Speaker of Assembly; Director of the Budget			Y		Office of Prekindergarten through Grade Twelve Education Program; General Fund, Local Assistance Account - 10000	For additional grants in aid to certain school districts, public libraries and not-for-profit institutions. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the speaker of the assembly and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution shall be approved by a majority vote of all members elected to the assembly upon a roll call vote		\$23,420,000		\$23,420,000		<a href="#">E1441-2013, passed 6/19/14</a>	
S6353/A8553 (FY15)	Aid to Localities	200	Education Department	Temporary President of the Senate; Director of the Budget		Y			Office of Prekindergarten through Grade Twelve Education Program; General Fund, Local Assistance Account - 10000	For additional grants in aid to certain school districts, public libraries, and not-for-profit institutions. Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of grantees with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote		\$19,050,000		\$19,050,000		<a href="#">R6133-2013, passed 6/20/14</a>	
S6353/A8553 (FY15)	Aid to Localities	734	Division of Housing and Community Renewal	Director of the Budget; Speaker of the Assembly; Temporary President of the Senate		Y	Y		Office of Housing Preservation; Fiduciary Funds, Mortgage Settlement Proceeds Trust Fund	For allocation as follows: In accordance with a plan approved in a memorandum of understanding executed by the director of the budget, the speaker of the assembly, and the temporary president of the senate, or their designees, in consultation with the commissioner of the division of housing and community renewal, to provide compensation to the state of New York and its communities for harms purportedly caused by the allegedly unlawful conduct of J.P. Morgan Securities LLC (f/k/a "Bear, Stearns & Co. Inc."), JPMorgan Chase Bank, N.A., EMC Mortgage LLC (f/k/a "EMC Mortgage Corporation"), for purposes intended to avoid preventable foreclosures, to ameliorate the effects of the foreclosure crisis, to enhance law enforcement efforts to prevent and prosecute financial fraud or unfair or deceptive acts or practices, and to otherwise promote the interests of the investing public. Such permissible purposes for allocation of the funds include, but are not limited to, providing funding for housing counselors, state and local foreclosure assistance hotlines, state and local foreclosure mediation programs, legal assistance, housing remediation and anti-blight projects, and for the training and staffing of, and capital expenditures required by, financial fraud and consumer protection efforts, and for any other purpose consistent with the terms of the Settlement Agreement dated November 19, 2013 between J.P. Morgan Securities LLC (f/k/a "Bear, Stearns & Co. Inc."), JPMorgan Chase Bank, N.A., EMC Mortgage LLC (f/k/a "EMC Mortgage Corporation") and the people of the state of New York... not more than \$58,000,000 for the period April 1, 2014, through and past October 31, 2014, not more than an additional \$127,183,321 for the period November 1, 2014 through and past October 31, 2015; not more than an additional \$127,183,321 for the period November 1, 2015 through and past March 31, 2016.		\$312,366,643		\$312,366,643		MOU, see reference on page 56 of Public Protection and General Government Legislation	
S2003/A3003 (FY16); S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to localities	588; 767; 777	Department of Labor	Senate Majority		Y			Employment and Training Program; General Fund Local Assistance Account - 10000	For Senate Majority Labor Initiatives	By chapter 53, section 1, of the laws of 2006, as amended by chapter 53, section 1, of the laws of 2011	\$1,800,000	\$930,000	\$297,000	\$97,000		
S2003/A3003 (FY16); S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to localities	588; 768; 777	Department of Labor	Senate Majority		Y			Employment and Training Program; General Fund Local Assistance Account - 10000	For Senate Majority Labor Initiatives	By chapter 53, section 1, of the laws of 2005	\$1,750,000	\$835,000	\$835,000	\$768,000		
S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	767; 777	Department of Labor	Assembly			Y		Employment and Training Program; General Fund Local Assistance Account - 10000	For Various Assembly Labor Initiatives	By chapter 53, section 1, of the laws of 2006, as amended by chapter 53, section 1, of the laws of 2011	\$805,500	\$672,000	\$672,000			



Budget Bill	Budget Bill Page Type No.	Agency Name	Approving Authorities	Governor	Senate	Assembly	Attorney General	Fund/Program Name	Bill Text	Chapter/Section /Laws	Total Funding Amount (Lifetime)	FY14 Authorizations	FY15 Authorizations	FY16 (Exec) Authorizations	Notes	FY16 30-Day Amendment Disclosure
S2003/A3003 (FY16); S6353/A8553 (FY15)	Aid to localities	592; 774	Department of Law	Attorney General; Director of the Budget			Y	Foreclosure Avoidance and Amelioration; Fiduciary Funds Miscellaneous New York State Agency Fund Mortgage Settlement Proceeds Trust Fund Account - 60690	For allocation as follows: In accordance with a plan developed by the attorney general to provide compensation to the state of New York and its communities for harms purportedly caused by the allegedly unlawful conduct of J.P. Morgan Securities LLC (f/k/a "Bear, Stearns & Co. Inc."), JP Morgan Chase Bank, N.A., EMC Mortgage LLC (f/k/a "EMC Mortgage Corporation"), for purposes intended to avoid preventable foreclosures, to ameliorate the effects of the foreclosure crisis, to enhance law enforcement efforts to prevent and prosecute financial fraud or unfair or deceptive acts or practices, and to otherwise promote the interests of the investing public. Such permissible purposes for allocation of the funds include, but are not limited to, providing funding for housing counselors, state and local foreclosure assistance hotlines, state and local foreclosure mediation projects, legal assistance, housing remediation and anti-blight projects, and for the training and staffing of, and capital expenditures required by, financial fraud and consumer protection efforts, and for any other purpose consistent with the terms of the Settlement Agreement dated November 19, 2013 between J.P. Morgan Securities LLC (f/k/a "Bear, Stearns & Co. Inc."), JPMorgan Chase Bank, N.A., EMC Mortgage LLC (f/k/a "EMC Mortgage Corporation") and the people of the state of New York. Notwithstanding any other law to the contrary, the amounts appropriated herein may be suballocated to any state department or agency for the purposes stated herein, with the approval of the director of the budget, who shall file such approval with the department of audit and control and copies thereof with the chairman of the senate finance committee and the chairman of the assembly ways and means committee	By chapter 53, section 1, of the laws of 2014	\$81,500,234		\$81,500,234	\$81,500,234		
S2003/A3003 (FY16); S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to localities	652; 854; 852	Office of Parks, Recreation and Historic Preservation			Y		National Heritage Trust Program; General Fund Local Assistance Account - Economic Development Program; General Fund, Local Assistance Account -	For services and expenses, grants in aid or for contracts with municipalities and/or private not-for-profit agencies to be determined pursuant to a plan to be developed by the director of the budget in consultation with the temporary president of the senate for New York State Heritage Trail tourism projects	By chapter 55, section 1, of the laws of 2005	\$1,000,000	\$58,900	\$58,900	\$58,900		
S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	967; 969	Urban Development Corporation	Governor, Senate Majority Leader, Assembly Speaker	Y		Y		For services and expenses of economic development initiatives to be determined pursuant to a memorandum of understanding to be executed by the governor, the temporary president of the senate and the speaker of the assembly	By chapter 55, section 1, of the laws of 2000	\$19,000,000	\$19,000,000	\$19,000,000		MOU	
S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	989; 991	Miscellaneous	Governor, Temporary President of Senate, Assembly Speaker	Y		Y	Special Revenue Funds - Other; Miscellaneous Special Revenue Fund, Community Service Provider Assistance Program Account	For services and expenses of grants to certain not-for-profit organizations and/or municipalities to be determined pursuant to a memorandum of understanding to be executed by the governor, the temporary president of the senate and the speaker of the assembly. Eligible recipients and purposes may include and shall be limited to: (a) not-for-profit organizations in good standing for initiatives that provide critical direct human services or emergency relief services that are an extension of governmental programs or purposes; (b) municipalities for initiatives that provide critical direct human services or emergency relief services; or (c) not-for-profit organizations in good standing or municipalities for initiatives that were supported by state funding in state fiscal year 2000-2001, that, without the continuation of such state funding, would result in layoffs at that not-for-profit organization or municipality or the elimination or curtailment of services which are of interest to the state or of direct benefit to the local community. Funds appropriated hereby may be suballocated to any department, agency or public authority	By chapter 382, part B, section 1, of the laws of 2001, as amended by chapter 55, section 1, of the laws of 2002	\$188,379,736	\$3,500,000	\$3,000,000		MOU	
S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	987; 989	Miscellaneous	Secretary of the Senate Finance Committee; Director of the Budget			Y	General Fund, Community Projects Fund - 007, Account BB	Notwithstanding subdivision 5 of section 24 of the state finance law, the \$74,375,000 appropriation specified herein shall be available pursuant to one or several plans, which shall include but not be limited to an itemized list of grantees with the amount to be received by each, submitted by the secretary of the senate finance committee by January 15, 2010, and subject to the approval of the director of the budget	By chapter 50, section 1, of the laws of 2009, as amended by chapter 502, section 1, of the laws of 2009	\$74,375,000	\$74,375,000	\$74,375,000			
S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	987; 989	Miscellaneous	Secretary of the Senate Finance Committee; Secretary of the Assembly Ways and Means Committee; Director of the Budget		Y	Y	General Fund; Community Projects Fund - 007	For services and expenses, grants in aid, or for contracts with certain not-for-profit agencies, universities, colleges, school districts, corporations, and/or municipalities in a manner determined pursuant to section 99-d of the state finance law and subject to a memorandum of understanding to be executed by the director of the budget, the secretary of the senate finance committee and the secretary of the assembly ways and means committee. The funds appropriated hereby may be suballocated to any department, agency, or public authority	By chapter 55, section 1, of the laws of 2006	\$200,000,000	\$70,000,000	\$68,000,000		MOU	

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Governor	Senate	Assembly	Attorney General	Fund/Program Name	Bill Text	Chapter/Section /Laws	Total Funding Amount (Lifetime)	FY14 Authorizations	FY15 Authorizations	FY16 (Exec) Authorizations	Notes	FY16 30-Day Amendment Disclosure
S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	988; 990	Miscellaneous	Secretary of the Senate Finance Committee; Secretary of the Assembly Ways and Means Committee; Director of the Budget		Y	Y		General Fund; Community Projects Fund - 007	For services and expenses, grants in aid, or for contracts with certain not-for-profit agencies, universities, colleges, school districts, corporations, and/or municipalities in a manner determined pursuant to section 99-d of the state finance law and subject to a memorandum of understanding to be executed by the director of the budget, the secretary of the senate finance committee and the secretary of the assembly ways and means committee. The funds appropriated hereby may be suballocated to any department, agency, or public authority	By chapter 53, section 1, of the laws of 2005	\$200,000,000	\$54,000,000	\$52,000,000		MOU	
S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	989; 990	Miscellaneous	Secretary of the Senate Finance Committee; Secretary of the Assembly Ways and Means Committee; Director of the Budget		Y	Y		General Fund; Community Projects Fund - 007	For services and expenses, grants in aid, or for contracts with certain not-for-profit agencies, universities, colleges, school districts, corporations, and/or municipalities in a manner determined pursuant to section 99-d of the state finance law and subject to a memorandum of understanding to be executed by the director of the budget, the secretary of the senate finance committee and the secretary of the assembly ways and means committee. The funds appropriated hereby may be suballocated to any department, agency, or public authority	By chapter 55, section 1, of the laws of 2004, as amended by chapter 50, section 1, of the laws of 2005	\$200,000,000	\$30,000,000	\$30,000,000		MOU	
S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to Localities	989; 990	Miscellaneous	Secretary of the Senate Finance Committee; Secretary of the Assembly Ways and Means Committee; Director of the Budget		Y	Y		General Fund; Community Projects Fund - 007	For services and expenses, grants in aid, or for contracts with certain not-for-profit agencies, universities, colleges, school districts, corporations, and/or municipalities in a manner determined pursuant to section 99-d of the state finance law and subject to a memorandum of understanding to be executed by the secretary of the senate finance committee and the secretary of the assembly ways and means committee. The funds appropriated hereby may be suballocated to any department, agency or public authority	By chapter 54, section 1, of the laws of 2003	\$200,000,000	\$33,000,000	\$30,000,000		MOU	
S2003/A3003 (FY16); S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to localities	722; 990; 992	Miscellaneous	Director of the Budget; Chair of the Assembly Ways and Means Committee			Y		Higher Education Opportunity Programs; General Fund Local Assistance Account - 10000	For services and expenses of the following: search for education, elevation and knowledge (SEEK) programs (\$1,000,000); educational opportunity program (\$955,000); student financial assistance to expand opportunities at community colleges of the city university for the educationally and economically disadvantaged in accordance with section 6452 of the education law (\$55,000); liberty partnership program awards (\$1,700,000); higher education opportunity program awards (\$3,485,000); science and technology entry program (STEP) awards (\$1,027,000); and collegiate science and technology entry program (CSTEP) awards (\$778,000). This appropriation may be allocated to the city university of New York, the state university of New York, and the state education department pursuant to a plan developed and approved by the director of the budget following consultation with the chair of the assembly ways and means committee	By chapter 53, section 1, of the laws of 2011, as added by chapter 55, section 2, of the laws of 2011	\$9,000,000	\$9,000,000	\$2,128,000	\$1,121,000		
S2003/A3003 (FY16); S6353/A8553 (FY15); S2603/A3003 (FY14)	Aid to localities	741; 1011; 1013	Miscellaneous	Governor; Temporary President of the Senate; Speaker of the Assembly	Y	Y	Y		Regional Economic Development Program; General Fund Local Assistance Account - 10000	For services and expenses of the regional economic development program pursuant to a memorandum of understanding to be executed by the governor, the temporary president of the senate, and the speaker of the assembly. All or a portion of the funds appropriated hereby may be suballocated to any department, agency, or public authority, provided, however, that the amount of this appropriation available for expenditure and disbursement on and after September 1, 2008 shall be reduced by six percent of the amount that was undisbursed as of August 15, 2008	By chapter 55, section 1, of the laws of 2005, as transferred by chapter 53, section 1, of the laws of 2012	\$10,000,000	\$5,159,000	\$5,159,000	\$5,159,000	MOU	Y
<b>Totals</b>												\$1,621,647,113	\$348,086,900	\$750,739,777	\$96,338,134		\$5,159,000
Governor												\$220,379,736	\$30,659,000	\$30,159,000	\$5,159,000		
Senate												\$1,495,900,379	\$327,393,900	\$633,898,543	\$13,716,900		
Assembly												\$1,354,992,879	\$216,352,000	\$535,866,643	\$6,280,000		
Attorney												\$81,500,234	\$0	\$81,500,234	\$81,500,234		

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Governor	Senate	Assembly	Attorney General	Fund/Program Name	Bill Text	Chapter/Section/Laws	Total Funding Amount (Lifetime)	FY14 Authorizations	FY15 Authorizations	FY16 (Exec) Authorizations	Ref	Notes	FY16 30-Day Amendment Disclosure	
S2004/A3004 (FY16); S6354/A8554 (FY15)	Capital	17; 12	CUNY	Temporary President of the Senate, Director of the Budget		Y			General Maintenance and Improvements (CCP); Capital Projects Funds - Other, Capital Projects Fund, Administration Purpose	Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of projects with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 54, section 1, of the laws of 2014	\$67,000,000		\$67,000,000	\$67,000,000	\$30,051,450		<a href="#">R6134-2013 passed 6/20/14 for \$62,000,000</a>	
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	32; 33; 28	CUNY	Majority Leader of the Senate, Director of the Budget		Y			General Maintenance and Improvements (CCP); Capital Projects Funds - Other, Capital Projects Fund, Administration Purpose	An additional advance for alterations and improvements to various facilities including services and expenses, service contracts, memorandum of understanding, capital design, construction, acquisition, reconstruction, rehabilitation and equipment; for health and safety, preservation of facilities, new facilities, program improvement or program change, technology, environmental protection, energy conservation, accreditation, facilities for the physically disabled and related projects including costs incurred prior to April 1, 2006 subject to an annual plan developed by the city university of New York which shall include projects in the following schedule... to be developed by the city university of New York in consultation with the senate majority leader and approved by the director of budget	By chapter 53, section 1, of the laws of 2006, as amended by chapter 53, section 1, of the laws of 2007	\$28,500,000	(unknown)	(unknown)	(unknown)	\$30,670,650	MOU		
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	36; 37; 32	CUNY	Majority Leader of the Senate, Director of the Budget		Y			General Maintenance and Improvements (CCP); Capital Projects Funds - Other, Capital Projects Fund, Administration Purpose	An additional advance for alterations and improvements to various facilities including services and expenses, service contracts, memorandum of understanding, capital design, construction, acquisition, reconstruction, rehabilitation and equipment; for health and safety, preservation of facilities, new facilities, program improvement or program change, technology, environmental protection, energy conservation, accreditation, facilities for the physically disabled and related projects including costs incurred prior to April 1, 2005 subject to an annual plan developed by the city university of New York in consultation with the senate majority leader and approved by the director of budget	By chapter 53, section 1, of the laws of 2005, as amended by chapter 53, section 1, of the laws of 2006	\$72,000,000	(unknown)	(unknown)	(unknown)	\$30,580,550	MOU		
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	189; 186; 173	Department of Environmental Conservation	Governor, Temporary President of the Senate, Speaker of the Assembly	Y	Y	Y		Solid and Hazardous Waste Management (CCP); Capital Projects Funds - Other, Hazardous Waste Remedial Fund, Hazardous Waste Remediation Oversight and Assistance Account, Hazardous Waste Purpose	For the following purposes pursuant to a memorandum of understanding to be executed by the governor, the temporary president of the senate and the speaker of the assembly: non-bondable services and expenses associated with the brownfield cleanup and hazardous waste remediation projects; grants authorized pursuant to section 970-r of the general municipal law; technical assistance grants pursuant to titles 13 and 14 of article 27 of the environmental conservation law; services and expenses associated with negotiating and overseeing implementation of brownfield site cleanup agreements in accordance with title 14 of article 27 of the environmental conservation law; including personal services and fringe benefits of the department of environmental conservation including costs incidental and appurtenant thereto including suballocation to other state departments and agencies; and for other brownfield site cleanup hazardous waste purposes	By chapter 55, section 1, of the laws of 2006	\$15,000,000	\$15,000,000	\$15,000,000	\$15,000,000	09HT06F7	MOU		
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	189; 186; 173	Department of Environmental Conservation	Governor, Temporary President of the Senate, Speaker of the Assembly	Y	Y	Y		Solid and Hazardous Waste Management (CCP); Capital Projects Funds - Other, Hazardous Waste Remedial Fund, Hazardous Waste Remediation Oversight and Assistance Account, Hazardous Waste Purpose	For the following purposes pursuant to a memorandum of understanding to be executed by the governor, the temporary president of the senate and the speaker of the assembly: non-bondable services and expenses associated with the brownfield cleanup and hazardous waste remediation projects; grants authorized pursuant to section 970-r of the general municipal law; technical assistance grants pursuant to titles 13 and 14 of article 27 of the environmental conservation law; services and expenses associated with negotiating and overseeing implementation of brownfield site cleanup agreements in accordance with title 14 of article 27 of the environmental conservation law; including personal services and fringe benefits of the department of environmental conservation including costs incidental and appurtenant thereto including suballocation to other state departments and agencies; and for other brownfield site cleanup hazardous waste purposes	By chapter 55, section 1, of the laws of 2005	\$15,000,000	\$15,000,000	\$15,000,000	\$15,000,000	09HT05F7	MOU		
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	190; 187; 174	Department of Environmental Conservation	Governor, Temporary President of the Senate, Speaker of the Assembly	Y	Y	Y		Solid and Hazardous Waste Management (CCP); Capital Projects Funds - Other, Hazardous Waste Remedial Fund, Hazardous Waste Remediation Oversight and Assistance Account, Hazardous Waste Purpose	For the following purposes pursuant to a memorandum of understanding to be executed by the governor, the temporary president of the senate and the speaker of the assembly: non-bondable services and expenses associated with the brownfield cleanup and hazardous waste remediation projects; grants authorized pursuant to section 970-r of the general municipal law; technical assistance grants pursuant to titles 13 and 14 of article 27 of the environmental conservation law; services and expenses associated with negotiating and overseeing implementation of brownfield site cleanup agreements in accordance with title 14 of article 27 of the environmental conservation law; including personal services and fringe benefits of the department of environmental conservation including costs incidental and appurtenant thereto including suballocation to other state departments and agencies; and for other brownfield site cleanup hazardous waste purposes	By chapter 55, section 1, of the laws of 2004, as amended by chapter 55, section 1, of the laws of 2009	\$15,000,000	\$10,685,000	\$9,805,000	\$7,962,000	09HT04F7	MOU		

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Governor	Senate	Assembly	Attorney General	Fund/Program Name	Bill Text	Chapter/Section/Laws	Total Funding Amount (Lifetime)	FY14 Authorizations	FY15 Authorizations	FY16 (Exec) Authorizations	Ref	Notes	FY16 30-Day Amendment Disclosure
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	190; 187; 174	Department of Environmental Conservation	Governor, Temporary President of the Senate, Speaker of the Assembly	Y	Y	Y		Solid and Hazardous Waste Management (CCP); Capital Projects Funds - Other, Hazardous Waste Remedial Fund, Hazardous Waste Remediation Oversight and Assistance Account, Hazardous Waste Purpose	For the following purposes pursuant to a memorandum of understanding to be executed by the governor, the temporary president of the senate and the speaker of the assembly: non-bondable services and expenses associated with the brownfield cleanup and hazardous waste remediation projects; grants authorized pursuant to section 1, of the to section 970-r of the general municipal law; technical assistance grants pursuant to titles 13 and 14 of article 27 of the environmental conservation law; services and expenses associated with negotiating and overseeing implementation of brownfield site cleanup agreements in accordance with title 14 of article 27 of the environmental conservation law; including personal services and fringe benefits of the department of environmental conservation including costs incidental and appurtenant thereto including suballocation to other state departments and agencies; and for other brownfield site cleanup hazardous waste purposes	By chapter 55, section 1, of the laws of 2003, as amended by chapter 55, section 1, of the laws of 2009	\$15,000,000	\$9,716,000	\$9,183,000	\$8,779,000	09HT03F7	MOU	
S2004/A3004 (FY16); S6354/A8554 (FY15)	Capital	435; 430	SUNY	Temporary President of the Senate, Director of the Budget		Y			General Maintenance and Improvements (CCP); Capital Projects Funds - Other, Capital Projects Fund, Preservation of Facilities Purpose	Notwithstanding any provision of law this appropriation shall be allocated only pursuant to a plan setting forth an itemized list of projects with the amount to be received by each, or the methodology for allocating such appropriation. Such plan shall be subject to the approval of the temporary president of the senate and the director of the budget and thereafter shall be included in a resolution calling for the expenditure of such monies, which resolution must be approved by a majority vote of all members elected to the senate upon a roll call vote	By chapter 54, section 1, of the laws of 2014	\$49,000,000		\$49,000,000	\$49,000,000	28F41403	<a href="#">R6134-2013 passed 6/20/14</a>	
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	436; 438; 403	SUNY	Governor, Chancellor of SUNY	Y				General Maintenance and Improvements (CCP); Capital Projects Funds - Other, Capital Projects Fund, Preservation of Facilities Purpose	Advances for alterations and improvements to facilities for capital critical maintenance, including but not limited to services and expenses, service agreements or service contracts and memoranda of understanding; for capital design including the cost of services provided by private firms, including preparation of designs, plans, specifications and estimates; for property acquisition, and facility reconstruction, rehabilitation, equipment; for health and safety improvements and upgrades to preserve or enhance facility functioning; for program improvements or program change; to support improvements in technology, research, environmental protection, energy and resource conservation, and accreditation; to finance costs attributable to executive order 111, ADA and code compliance needs, claims, emergencies and remediation of environmental hazards; to ensure the functionality of major building systems such as fire alarms and sprinklers, electrical, mechanical, plumbing, heating/cooling systems and supporting infrastructure, including underground utilities; and to provide for facilities for the disabled and related projects including costs incurred prior to April 1, 2012 subject to a plan developed by the state university and approved by the director of the budget. Notwithstanding any provision of law, rule or regulation to the contrary, amounts designated as university-wide, may be made available for projects identified and approved by the governor and the chancellor of the state university of New York pursuant to the NY-SUNY 2020 challenge grant program	By chapter 54, section 1, of the laws of 2012	\$550,000,000	\$487,320,000	\$416,356,000	\$299,601,000	28F11203	NB governor's portion \$16,500,000	
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	439; 441; 405	SUNY	Governor, Chancellor of SUNY	Y				General Maintenance and Improvements (CCP); Capital Projects Funds - Other, Capital Projects Fund, Preservation of Facilities Purpose	Advances for alterations and improvements to facilities for capital critical maintenance, including but not limited to services and expenses, service agreements or service contracts and memoranda of understanding; for capital design including the cost of services provided by private firms, including preparation of designs, plans, specifications and estimates; for property acquisition, and facility reconstruction, rehabilitation, equipment; for health and safety improvements and upgrades to preserve or enhance facility functioning; for program improvements or program change; to support improvements in technology, research, environmental protection, energy and resource conservation, and accreditation; to finance costs attributable to executive order 111, ADA and code compliance needs, claims, emergencies and remediation of environmental hazards; to ensure the functionality of major building systems such as fire alarms and sprinklers, electrical, mechanical, plumbing, heating/cooling systems and supporting infrastructure, including underground utilities; and to provide for facilities for the disabled and related projects including costs incurred prior to April 1, 2012 subject to a plan developed by the state university and approved by the director of the budget. Notwithstanding any provision of law, rule or regulation to the contrary, amounts designated as university-wide, may be made available for projects identified and approved by the governor and the chancellor of the state university of New York pursuant to the NY-SUNY 2020 challenge grant program	By chapter 53, section 1, of the laws of 2011, as amended by chapter 54, section 1, of the laws of 2012	\$550,000,000	\$428,774,000	\$315,320,000	\$216,925,000	28F11103	NB governor's portion \$16,500,000	
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	442; 444; 408	SUNY	Governor, Chancellor of SUNY	Y				General Maintenance and Improvements (CCP); Capital Projects Funds - Other, Capital Projects Fund, Preservation of Facilities Purpose	Advances for alterations and improvements to facilities for capital critical maintenance, including but not limited to services and expenses, service agreements or service contracts and memoranda of understanding; for capital design including the cost of services provided by private firms, including preparation of designs, plans, specifications and estimates; for property acquisition, and facility reconstruction, rehabilitation, equipment; for health and safety improvements and upgrades to preserve or enhance facility functioning; for program improvements or program change; to support improvements in technology, research, environmental protection, energy and resource conservation, and accreditation; to finance costs attributable to executive order 111, ADA and code compliance needs, claims, emergencies and remediation of environmental hazards; to ensure the functionality of major building systems such as fire alarms and sprinklers, electrical, mechanical,	By chapter 54, section 1, of the laws of 2010, as amended by chapter 54, section 1, of the laws of 2012	\$550,000,000	\$277,409,000	\$169,799,000	\$98,529,000	28F11003	NB governor's portion \$16,500,000	

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S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	445; 447; 411	SUNY	Governor, Chancellor of SUNY	Y				General Maintenance and Improvements (CCP); Capital Projects Funds - Other, Capital Projects Fund, Preservation of Facilities Purpose	Advances for alterations and improvements to facilities for capital critical maintenance, including but not limited to services and expenses, service agreements or service contracts and memoranda of understanding; for capital design including the cost of services provided by private firms, including preparation of designs, plans, specifications and estimates; for property acquisition, and facility reconstruction, rehabilitation, equipment; for health and safety improvements and upgrades to preserve or enhance facility functioning; for program improvements or program change; to support improvements in technology, research, environmental protection, energy and resource conservation, and accreditation; to finance costs attributable to executive order 111, ADA and code compliance needs, claims, emergencies and remediation of environmental hazards; to ensure the functionality of major building systems such as fire alarms and sprinklers, electrical, mechanical, plumbing, heating/cooling systems and supporting infrastructure, including underground utilities; and to provide for facilities for the disabled and related projects including costs incurred prior to April 1, 2012 subject to a plan developed by the state university and approved by the director of the budget. Notwithstanding any provision of law, rule or regulation to the contrary, amounts designated as university-wide, may be made available for projects identified and approved by the governor and the chancellor of the state university of New York pursuant to the NY-SUNY 2020 challenge grant program	By chapter 53, section 1, of the laws of 2009, as amended by chapter 54, section 1, of the laws of 2012	\$550,000,000	\$209,908,000	\$116,794,000	\$78,918,000	28F10903		NB governor's portion \$16,500,000	
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	448; 450; 414	SUNY	Governor, Chancellor of SUNY	Y				General Maintenance and Improvements (CCP); Capital Projects Funds - Other, Capital Projects Fund, Preservation of Facilities Purpose	Advances for alterations and improvements to facilities for capital critical maintenance, including but not limited to services and expenses, service agreements or service contracts and memoranda of understanding; for capital design including the cost of services provided by private firms, including preparation of designs, plans, specifications and estimates; for property acquisition, and facility reconstruction, rehabilitation, equipment; for health and safety improvements and upgrades to preserve or enhance facility functioning; for program improvements or program change; to support improvements in technology, research, environmental protection, energy and resource conservation, and accreditation; to finance costs attributable to executive order 111, ADA and code compliance needs, claims, emergencies and remediation of environmental hazards; to ensure the functionality of major building systems such as fire alarms and sprinklers, electrical, mechanical, plumbing, heating/cooling systems and supporting infrastructure, including underground utilities; and to provide for facilities for the disabled and related projects including costs incurred prior to April 1, 2012 subject to a plan developed by the state university and approved by the director of the budget. Notwithstanding any provision of law, rule or regulation to the contrary, amounts designated as university-wide, may be made available for projects identified and approved by the governor and the chancellor of the state university of New York pursuant to the NY-SUNY 2020 challenge grant program	By chapter 53, section 1, of the laws of 2008, as amended by chapter 54, section 1, of the laws of 2012	\$550,000,000	\$127,445,000	\$83,990,000	\$54,145,000	28F10803		NB governor's portion \$16,500,000	
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	459; 460; 425	SUNY	Governor, Chancellor of SUNY	Y				NY-SUNY 2020 Challenge Grant Program	Advances for alterations and improvements to facilities for capital critical maintenance, including but not limited to services and expenses, service agreements or service contracts and memoranda of understanding; for capital design including the cost of services provided by private firms, including preparation of designs, plans, specifications and estimates; for property acquisition, and facility reconstruction, rehabilitation, equipment; for health and safety improvements and upgrades to preserve or enhance facility functioning; for program improvements or program change; to support improvements in technology, research, environmental protection, energy and resource conservation, and accreditation; to finance costs attributable to executive order 111, ADA and code compliance needs, claims, emergencies and remediation of environmental hazards; to ensure the functionality of major building systems such as fire alarms and sprinklers, electrical, mechanical, plumbing, heating/cooling systems and supporting infrastructure, including underground utilities; and to provide for facilities for the disabled and related projects including costs incurred prior to April 1, 2007 subject to a plan developed by the state university and approved by the director of the budget. Notwithstanding any provision of law, rule or regulation to the contrary, amounts designated as university-wide, may be made available for projects identified and approved by the governor and the chancellor of the state university of New York pursuant to the NY-SUNY 2020 challenge grant program	By chapter 53, section 1, of the laws of 2007, as amended by chapter 54, section 1, of the laws of 2012:	\$379,700,000	\$110,378,000	\$89,651,000	\$78,654,000	28F10708			
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	453; 454; 461	SUNY	Governor, Chancellor of SUNY	Y				Capital Projects Funds - Other; Capital Projects Fund; Program Improvement or Program Change Purpose	Albany Emerging Technology and Entrepreneurial Complex, or other project identified and approved by the governor and the chancellor of the state university of New York, pursuant to the NY-SUNY 2020 challenge grant program	By chapter 53, section 1, of the laws of 2008, as amended by chapter 54, section 1, of the laws of 2013:	\$42,000,000	(unknown)	unknown	(unknown)	28F20808		Among other funds in schedule - of \$1.675 billion, \$900 million reappropriated	
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	451; 453; 397	SUNY	Governor, Chancellor of SUNY	Y				General Maintenance and Improvements (CCP); Capital Projects Funds - Other, Capital Projects Fund, Program Improvement or Program Change Purpose	Advances for the design and construction of an emerging technology and entrepreneurial complex, as identified and approved by the governor and the chancellor of the state of New York pursuant to the NY-SUNY 2020 challenge grant program for the state university of New York at Albany, including but not limited to services and expenses, service agreements or service contracts and memoranda of understanding; for capital design including the cost of services provided by private firms, including preparation of designs, plans, specifications and estimates; for property acquisition, and facility construction or reconstruction and equipment; claims, emergencies and remediation of environmental hazards	By chapter 54, section 1, of the laws of 2013	\$88,000,000	\$88,000,000	\$88,000,000	\$88,000,000	\$28,201,308			

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Governor	Senate	Assembly	Attorney General	Fund/Program Name	Bill Text	Chapter/Section/Laws	Total Funding Amount (Lifetime)	FY14 Authorizations	FY15 Authorizations	FY16 (Exec) Authorizations	Ref	Notes	FY16 30-Day Amendment Disclosure	
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	452; 453; 417	SUNY	Governor, Chancellor of SUNY	Y				General Maintenance and Improvements (CCP); Capital Projects Funds - Other, Capital Projects Fund, Program Improvement or Program Change Purpose	Advances for the relocation and construction of a medical school and biomedical sciences facility, as identified and approved by the governor and the chancellor of the state of New York pursuant to the NY-SUNY 2020 challenge grant program for the state university of New York at Buffalo, including but not limited to services and expenses, service agreements or service contracts and memoranda of understanding; for capital design including the cost of services provided by private firms, including preparation of designs, plans, specifications and estimates; for property acquisition, and facility construction or reconstruction and equipment; claims, emergencies and remediation of environmental hazards. Notwithstanding any inconsistent provision of law to the contrary, the state university construction fund is hereby authorized to enter into a service agreement to transfer \$25,000,000 of this appropriation to university at Buffalo-affiliated entities for design, construction, property acquisition and equipment costs related to the relocation and construction of the medical school and biomedical sciences facility and related facilities	By chapter 54, section 1, of the laws of 2012, as amended by chapter 54, section 1, of the laws of 2013	\$215,000,000	\$215,000,000	\$215,000,000	\$215,000,000	\$28,201,208			
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	466; 468; 432	SUNY	Governor, Chancellor of SUNY	Y				General Maintenance and Improvements (CCP); Capital Projects Funds - Other, Capital Projects Fund, Program Improvement or Program Change Purpose	Advances for alterations and improvements to various facilities including services and expenses, service contracts, memorandum of understanding, capital design, construction, acquisition, reconstruction, rehabilitation and equipment; for health and safety, preservation of facilities, new facilities, program improvement or program change, technology, environmental, protection, energy conservation, accreditation, facilities for the physically disabled and related projects including costs incurred prior to April 1, 2005 subject to a plan developed by the state university and approved by the director of the budget. Notwithstanding any provision of law, rule or regulation to the contrary, amounts designated as university-wide, may be made available for projects identified and approved by the governor and the chancellor of the state university of New York pursuant to the NY-SUNY 2020 challenge grant program	By chapter 53, section 1, of the laws of 2005, as amended by chapter 54, section 1, of the laws of 2014	\$234,400,000	\$40,981,000	\$31,161,000	\$31,161,000	28F10508	NB	\$50,000,000 lifetime	
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	473; 475; 439	SUNY	Governor, Chancellor of SUNY	Y				General Maintenance and Improvements (CCP); Capital Projects Funds - Other, Capital Projects Fund, Program Improvement or Program Change Purpose	Advances for alterations and improvements to various facilities including services and expenses, service contracts, memorandum of understanding, capital design, construction, acquisition, reconstruction, improvement or program change, technology, environmental, protection, energy conservation, accreditation, facilities for the physically disabled and related projects including costs incurred prior to April 1, 2004 subject to a plan developed by the state university and approved by the director of the budget. Notwithstanding any provision of law, rule or regulation to the contrary, amounts designated as university-wide, may be made available for projects identified and approved by the governor and the chancellor of the state university of New York pursuant to the NY-SUNY 2020 challenge grant program	By chapter 53, section 1, of the laws of 2004, as amended by chapter 54, section 1, of the laws of 2012	\$1,612,000,000	\$205,598,000	\$145,105,000	\$70,176,000	28F80408	NB		
S2604/A3004 (FY14)	Capital	646	SUNY	Governor, Chancellor of SUNY	Y				NY-SUNY 2020 Challenge Grant Program	The sum of \$30,000,000 is hereby appropriated for the NY-SUNY 2020 challenge grant program and shall be available to all colleges, universities and community colleges as defined by section 350 of the Education Law, except that no funds shall be made available from this appropriation for university centers as defined by subdivision 7 of section 350 of the education law. Funding will be subject to the approval of a NY-SUNY 2020 plan or plans by the governor and the chancellor of the state university of New York. All or a portion of the funds appropriated hereby may be suballocated or transferred to any department, agency, or public authority	By chapter 54, section 1, of the laws of 2012	\$30,000,000	\$30,000,000			\$91,201,209			
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	535; 536; 496	Department of Transportation	Governor, Majority Leader of the Senate, Speaker of the Assembly	Y	Y	Y		Airport or Aviation State Program (CCP); Capital Projects Funds - Other, Miscellaneous Capital Projects Fund, Aviation Purpose	For payment of the costs of capital projects as set forth in a memorandum of understanding among the governor, the majority leader of the senate, and the speaker of the assembly	By chapter 55, section 1, of the laws of 1999, as amended by chapter 108, section 5, of the laws of 2006	\$4,500,000	\$1,500,000	\$1,500,000	\$1,500,000	17R09914	MOU		
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	579; 579; 538	Department of Transportation	Commissioner of Transportation Senate Task Force on High Speed Rail		Y			Mass Transportation and Rail Freight (CCP); Capital Projects Funds - Other, Capital Projects Fund, High Speed Rail Purpose	For the construction and reconstruction of rail capital and highway railroad crossings, facilities and intercity rail passenger service improvements to the New York State Empire Rail Corridor between New York City and Niagara Falls. A corridor program of projects shall be advanced in accordance with a memorandum of understanding between the commissioner and the senate task force on high speed rail	By chapter 55, section 1, of the laws of 2006, as added by chapter 108, section 5, of the laws of 2006	\$22,000,000	\$18,461,000	\$15,457,000	\$13,705,000	\$17,270,641	MOU		
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	592; 592; 550	Department of Transportation	Governor, Majority Leader of the Senate, Speaker of the Assembly	Y	Y	Y		Multi-Modal (CCP); Capital Projects Fund - Other, Dedicated Highway and Bridge Trust Fund, Multi-Modal Purpose	For the cost of multi-modal projects designated as part of the multi-modal program established by section 14-k of the transportation law and in accordance with a memorandum of understanding among the governor, the majority leader of the senate, and the speaker of the assembly, or their designees	By chapter 55, section 1, of the laws of 2000	\$150,000,000	\$40,729,000	\$38,171,000	\$34,910,000	17M100MR	MOU	Y	

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Governor	Senate	Assembly	Attorney General	Fund/Program Name	Bill Text	Chapter/Section/Laws	Total Funding Amount (Lifetime)	FY14 Authorizations	FY15 Authorizations	FY16 (Exec) Authorizations	Ref	Notes	FY16 30-Day Amendment Disclosure
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	610; 609; 565	Department of Transportation	Majority Leader of the Senate, Speaker of the Assembly		Y	Y		New York Works (CCP); Capital Projects Funds - Other, Dedicated Highway and Bridge Trust Fund, Industrial Access Purpose	For the construction or improvement of highway, bridge and rail freight projects related to industrial access, including the acquisition of property and the payment of liabilities incurred prior to April 1, 2002. For the payment of reimbursements to the engineering services fund for the cost of the contract services provided by private firms, including but not limited to the preparation of designs, plans, specifications and estimates; construction management and supervision; and appraisals, surveys, testing and environmental impact statements for transportation projects. No funds shall be allocated for such purposes until the commissioner of transportation enters into an agreement subject to the approval of the director of the budget with any public or private entity for the repayment of 40 percent of each project's costs disbursed from such funds. Such agreement shall require repayment within 5 years of the date of acceptance of the project by the department of transportation except that the repayment may occur over a period of up to 10 years when total project costs exceed \$1,000,000. All projects must be approved by the director of the budget prior to the obligation of the moneys so appropriated. The moneys so appropriated shall be made available pursuant to rules and regulations promulgated by the commissioner of transportation establishing the maximum amount of assistance to be provided for each project and the information that must be provided by the entity requesting assistance, establishing criteria for providing assistance from the moneys so appropriated and including standards for receiving of assistance including but not limited to the number of jobs created or maintained by the transportation improvement. For the payment of the costs of projects from this appropriation as set forth in a memorandum of understanding between the majority leader of the senate and the speaker of the assembly or their designee. Notwithstanding any inconsistent provision of law, the commissioner of transportation may waive the requirement to repay 40 percent of the cost of a project provided that private funds are dedicated to the cost of such industrial access project and related economic development for at least 40 percent of the total cost of the industrial access project and related economic development and the industrial access portion of such project's cost is greater than \$2,000,000	By chapter 55, section 1, of the laws of 2000	\$6,000,000	\$6,000,000	\$6,000,000	\$6,000,000	\$17,070,279	MOU	
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	613; 611; 567	Department of Transportation	Majority Leader of the Senate, Speaker of the Assembly		Y	Y		New York Works (CCP); Capital Projects Funds - Other, Dedicated Highway and Bridge Trust Fund, Industrial Access Purpose	For the construction or improvement of highway, bridge and rail freight projects related to industrial access, including the acquisition of property and the payment of liabilities incurred prior to April 1, 1999. For the payment of reimbursements to the engineering services fund for the cost of the contract services provided by private firms, including but not limited to the preparation of designs, plans, specifications and estimates; construction management and supervision; and appraisals, surveys, testing and environmental impact statements for transportation projects. No funds shall be allocated for such purposes until the commissioner of transportation enters into an agreement subject to the approval of the director of the budget with any public or private entity for the repayment of 40 percent of each project's costs disbursed from such funds. Such agreement shall require repayment within 5 years of the date of acceptance of the project by the department of transportation except that the repayment may occur over a period of up to 10 years when total project costs exceed \$1,000,000. All projects must be approved by the director of the budget prior to the obligation of the moneys so appropriated. The moneys so appropriated shall be made available pursuant to rules and regulations promulgated by the commissioner of transportation establishing the maximum amount of assistance to be provided for each project and the information that must be provided by the entity requesting assistance, establishing criteria for providing assistance from the moneys so appropriated and including standards for receiving of assistance including but not limited to the number of jobs created or maintained by the transportation improvement. For the payment of the costs of projects from this appropriation as set forth in a memorandum of understanding between the majority leader of the senate and the speaker of the assembly or their designee. Notwithstanding any inconsistent provision of law, the commissioner of transportation may waive the requirement to repay 40 percent of the cost of a project provided that private funds are dedicated to the cost of such industrial access project and related economic development for at least 40 percent of the total cost of the industrial access project and related economic development and the industrial access portion of such project's cost is greater than \$2,000,000	By chapter 55, section 1, of the laws of 1999, as amended by chapter 55, section 1, of the laws of 2000	\$10,000,000	\$1,585,000	\$1,585,000	\$1,574,000	\$17,079,979	MOU	
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	614; 613; 569	Department of Transportation	Governor, Majority Leader of the Senate, Speaker of the Assembly	Y	Y	Y		Non-Federally Aided Highway Capital Projects (CCP); Capital Projects Funds - Other, Dedicated Highway and Bridge Trust Fund, Multi-Modal Purpose	For the cost of multi-modal projects designated as part of the multi-modal program established by section 14-k of the transportation law and in accordance with a memorandum of understanding among the governor, the majority leader of the senate, and the speaker of the assembly, or their designees. Notwithstanding any other inconsistent provision of law, funds allocated and made available from this appropriation in state fiscal years 2006-07 through 2009-10 shall not exceed \$50,000,000 annually pursuant to section viii of the 2005 transportation memorandum of understanding	By chapter 55, section 1, of the laws of 2006, as added by chapter 108, section 5, of the laws of 2006	\$200,000,000	\$200,000,000	\$196,970,000	\$186,292,000	17MM06MR	MOU	Y
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	615; 613; 569	Department of Transportation	Governor, Majority Leader of the Senate, Speaker of the Assembly	Y	Y	Y		Non-Federally Aided Highway Capital Projects (CCP); Capital Projects Funds - Other, Dedicated Highway and Bridge Trust Fund, Multi-Modal Purpose	For the cost of multi-modal projects designated as part of the multi-modal program established by section 14-k of the transportation law and in accordance with a memorandum of understanding among the governor, the majority leader of the senate, and the speaker of the assembly, or their designees	By chapter 55, section 1, of the laws of 2005	\$150,000,000	\$41,688,000	\$33,605,000	\$28,212,000	17MM05MR	MOU	Y

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Governor	Senate	Assembly	Attorney General	Fund/Program Name	Bill Text	Chapter/Section/Laws	Total Funding Amount (Lifetime)	FY14 Authorizations	FY15 Authorizations	FY16 (Exec) Authorizations	Ref	Notes	FY16 30-Day Amendment Disclosure
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	625; 622; 579	Department of Transportation	Governor, Majority Leader of the Senate, Speaker of the Assembly	Y	Y	Y		Non-Federally Aided Highway Capital Projects (CCP); Capital Projects Funds - Other, Dedicated Highway and Bridge Trust Fund, Non-Federal Aided Highway Purpose	For the payment of the costs, including the payment of liabilities incurred prior to April 1, 2005, of state high ways, parkways, bridges, the New York State Thruway, Indian reservation roads, and facilities for which the responsibility is vested with the state department of transportation including work appurtenant and ancillary thereto, the cost of administrative services of the department of transportation and the cost of services provided by private firms; including the costs of preventive maintenance on state roads and bridges as defined in paragraph (a) of subdivision 1 of section 10-d of the highway law for contractual preventive maintenance services provided by private firms; and including but not limited to the preparation of designs, plans, specifications and estimates; construction management and supervision, and appraisals, surveys, testing and environmental impact statements for transportation projects. Project costs funded from this appropriation may include but shall not be limited to construction, reconstruction, reconditioning and preservation, preventive maintenance, and the acquisition of property. The funds made available through this appropriation shall be utilized for the payment of the costs of eligible projects in accordance with a memorandum of understanding entered into between the governor, the majority leader of the senate and the speaker of the assembly, or their designees. With the approval of the director of the budget, the commissioner of transportation is authorized to enter into agreements with any municipality to finance local bridge projects through state non-federally aided highway funds appropriated herein when the use of federal aid funds for such local bridge projects would not be cost effective and the federal aid and state matching funds saved as a result of the use of nonfederal aid funds for local bridge projects are made available for bridge projects on the state highway system. The total amount of non-federally aided highway funds made available for local bridge projects from this appropriation shall not exceed \$2,500,000 in state fiscal year 2005-2006. The items shown in the schedule below shall be for projects with a common purpose and may be interchanged without limitation subject to the approval of the director of the budget	By chapter 55, section 1, of the laws of 2005, as amended by chapter 55, section 1, of the laws of 2007	\$604,125,000	\$8,440,000	\$6,510,000	\$4,596,000	\$17,020,522	MOU	
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	677; 670; 625	Department of Transportation	Governor, Majority Leader of the Senate, Speaker of the Assembly	Y	Y	Y		Transportation Bondable (CCP); Capital Projects Funds - Other, Capital Projects Fund - Rebuild and Renew (Bondable), Aviation Purpose	For the costs, pursuant to the rebuild and renew New York transportation bond act of 2005 and article 22 of the transportation law, of capital projects to be reimbursed from bond fund proceeds for the planning and design, construction, reconstruction, replacement, improvement, reconditioning, rehabilitation and preservation, including the acquisition of real property and interests therein required or expected to be required in connection therewith, of airports and aviation facilities, equipment and related projects exclusive of those airports and facilities under the jurisdiction of the port authority of New York and New Jersey or operated by the state of New York. The funds made available through this appropriation shall be utilized for the payment of the costs of eligible projects in accordance with a memorandum of understanding entered into between the governor, the majority leader of the senate and the speaker of the assembly, or their designees, pursuant to article 22 of the transportation law. Costs may include highways and bridges either on or off the state highway system necessary or reasonably expected to be necessary as a project component or incidental to projects otherwise authorized herein and by the rebuild and renew New York transportation bond act of 2005. For engineering services of the department of transportation, including personal services, nonpersonal services, fringe benefits and the contract services provided by private firms, including, but not limited to, the preparation of designs, plans, specifications and estimates; construction management and supervision; and appraisals, surveys, testing and environmental impact statements for transportation. No part of this appropriation shall be made available for the payment of liabilities incurred prior to the approval of the rebuild and renew New York transportation bond act of 2005 by the voters at the general election to be held in November of 2005	By chapter 55, section 1, of the laws of 2005	\$15,000,000	\$2,124,000	\$2,124,000	\$1,808,000	\$17,030,514	MOU	
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	680; 673; 628	Department of Transportation	Governor, Majority Leader of the Senate, Speaker of the Assembly	Y	Y	Y		Transportation Bondable (CCP); Capital Projects Funds - Other, Capital Projects Fund - Rebuild and Renew (Bondable), Rail and Port Purpose	For the costs, pursuant to the provisions of the rebuild and renew New York transportation bond act of 2005 and article 22 of the transportation law, of capital projects to be reimbursed from bond fund proceeds for the planning and design, construction, reconstruction, replacement, improvement, reconditioning, rehabilitation and preservation, including the acquisition of real property and interests therein required or expected to be required in connection therewith, of: the canal system and appurtenances thereto; moveable bridges that cross over the canal system; and pedestrian and/or bicycle trails, pathways and bridges serving transportation needs. Costs may include highways and bridges either on or off the state highway system necessary or reasonably expected to be necessary as a project component or incidental to projects otherwise authorized herein and by the rebuild and renew New York transportation bond act of 2005. For engineering services of the department of transportation, including personal services, nonpersonal services, fringe benefits and the contract services provided by private firms, including, but not limited to, the preparation of designs, plans, specifications and estimates; construction management and supervision; and appraisals, surveys, testing and environmental impact statements for transportation projects, and to the canal corporation for the cost of the engineering services of the corporation or the thruway authority, including fringe benefits, and the contract services provided by private firms, for activities including but not limited to the preparation of designs, plans, specifications and estimates; construction management and supervision; and appraisals, surveys, testing and environmental impact statements for transportation projects. The funds made available through this appropriation shall be utilized for the payment of the costs of eligible projects in accordance with a memorandum of understanding entered into between the governor, the majority leader of the senate and the speaker of the assembly, or their designees, pursuant to article 22 of the transportation law. No part of this appropriation shall be made available for the payment of liabilities incurred prior to the approval of rebuild and renew New York transportation bond act of 2005 by the voters at the general election to be held in November of 2005	By chapter 55, section 1, of the laws of 2005	\$10,000,000	\$8,478,000	\$7,845,000	\$5,203,000	\$17,020,516	MOU	



Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Governor	Senate	Assembly	Attorney General	Fund/Program Name	Bill Text	Chapter/Section/Laws	Total Funding Amount (Lifetime)	FY14 Authorizations	FY15 Authorizations	FY16 (Exec) Authorizations	Ref	Notes	FY16 30-Day Amendment Disclosure
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	689; 682; 637	Department of Transportation	Governor, Majority Leader of the Senate, Speaker of the Assembly	Y	Y	Y		Transportation Bondable (CCP); Capital Projects Funds - Other, Capital Projects Fund - Rebuild and Renew (Bondable), Canals and Waterways Purpose	For the costs, pursuant to the provisions of the rebuild and renew New York transportation bond act of 2005 and article 22 of the transportation law, of capital projects to be reimbursed from bond fund proceeds for the planning and design, construction, reconstruction, replacement, improvement, reconditioning, rehabilitation and preservation, including the acquisition of real property and interests therein required or expected to be required in connection therewith, of: intercity passenger rail and freight rail facilities and equipment; ports, marine terminals and marine transportation facilities exclusive of those under the jurisdiction of the port authority of New York and New Jersey or the canal corporation; and intermodal passenger and freight facilities and equipment. Costs may include highways and bridges either on or off the state highway system necessary or reasonably expected to be necessary as a project component or incidental to projects otherwise authorized herein and by the rebuild and renew New York transportation bond act of 2005. For engineering services of the department of transportation, including personal services, nonpersonal services, fringe benefits and the contract services provided by private firms, including, but not limited to, the preparation of designs, plans, specifications and estimates; construction management and supervision; and appraisals, surveys, testing and environmental impact statements for transportation. The funds made available through this appropriation shall be utilized for the payment of the costs of eligible projects in accordance with a memorandum of understanding entered into between the governor, the majority leader of the senate and the speaker of the assembly, or their designees, pursuant to article 22 of the transportation law. No part of this appropriation shall be made available for the payment of liabilities incurred prior to the approval of the rebuild and renew New York transportation bond act of 2005 by the voters at the general election to be held in November of 2005	By chapter 55, section 1, of the laws of 2005	\$27,000,000	\$10,892,000	\$9,351,000	\$7,297,000	\$17,040,515	MOU	
S2004/A3004 (FY16); S6354/A8554 (FY15)	Capital	700; 687	New York State Urban Development Corporation	Governor, Chancellor of SUNY	Y				NY 2020 Challenge Grant Program	The sum of \$55,000,000 is hereby appropriated for the NY SUNY 2020 challenge grant program, notwithstanding any inconsistent provision of law, shall be available to all colleges, universities and community colleges as defined by section 350 of the education law. NY SUNY 2020 plans shall be developed in consultation with the regional economic development councils and funding will be subject to the approval of a NY SUNY 2020 plan or plans by the governor and the chancellor of the state university of New York. The determination of grant awards shall take into consideration the extent to which plans: (1) use technology, including but not limited to the expansion of on-line learning, to improve academic success and job opportunities for students; (2) leverage economic and academic opportunities through the START-UP NY program; and (3) provide experiential learning opportunities that connect students to the workforce. All or a portion of the funds appropriated hereby may be suballocated or transferred to any department, agency, or public authority	By chapter 54, section 1, of the laws of 2014	\$55,000,000		\$55,000,000	\$55,000,000	\$91,111,409		
S2004/A3004 (FY16); S6354/A8554 (FY15)	Capital	701; 687	New York State Urban Development Corporation	Governor, Chancellor of CUNY	Y				NY 2020 Challenge Grant Program	The sum of \$55,000,000 is hereby appropriated for the NY CUNY 2020 challenge grant program, notwithstanding any inconsistent provision of law, shall be available to all colleges, universities and community colleges as defined by section 6202 of the education law. NY CUNY 2020 plans shall be developed in consultation with the regional economic development councils and funding will be subject to the approval of a NY CUNY 2020 plan or plans by the governor and the chancellor of the state university of New York. The determination of grant awards shall take into consideration the extent to which plans: (1) use technology, including but not limited to the expansion of on-line learning, to improve academic success and job opportunities for students; (2) leverage economic and academic opportunities through the START-UP NY program; and (3) provide experiential learning opportunities that connect students to the workforce. All or a portion of the funds appropriated hereby may be suballocated or transferred to any department, agency, or public authority	By chapter 54, section 1, of the laws of 2014	\$55,000,000		\$55,000,000	\$55,000,000	\$91,121,409		
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	701; 692; 641	New York State Urban Development Corporation	Governor, Chancellor of SUNY	Y				NY-SUNY 2020 Challenge Grant Program	The sum of \$55,000,000 is hereby appropriated for the NY SUNY 2020 challenge grant program, notwithstanding any inconsistent provision of law, shall be available to all colleges, universities and community colleges as defined by section 350 of the Education Law, except that no funds shall be made available from this appropriation for university centers as defined by subdivision 7 of section 350 of the education law. NY SUNY 2020 plans shall be developed in consultation with the regional economic development councils and funding will be subject to the approval of a NY SUNY 2020 plan or plans by the governor and the chancellor of the state university of New York. All or a portion of the funds appropriated hereby may be suballocated or transferred to any department, agency, or public authority	By chapter 54, section 1, of the laws of 2013	\$55,000,000	\$55,000,000	\$55,000,000	\$55,000,000	\$91,121,309		
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	701; 692; 641	New York State Urban Development Corporation	Governor, Chancellor of CUNY	Y				NY-SUNY 2020 Challenge Grant Program	The sum of \$55,000,000 is hereby appropriated for the NY CUNY 2020 challenge grant program, notwithstanding any inconsistent provision of law, shall be available to all colleges, universities and community colleges as defined by section 6202 of the Education Law. NY CUNY 2020 plans shall be developed in consultation with the regional economic development councils and funding will be subject to the approval of a NY CUNY 2020 plan or plans by the governor and the chancellor of the city university of New York. All or a portion of the funds appropriated hereby may be suballocated or transferred to any department, agency, or public authority	By chapter 54, section 1, of the laws of 2013	\$55,000,000	\$55,000,000	\$55,000,000	\$55,000,000	\$91,131,309		
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	701; 693; 646	New York State Urban Development Corporation	Governor, Chancellor of SUNY	Y				NY-SUNY 2020 Challenge Grant Program	The sum of \$80,000,000 is hereby appropriated for the NY-SUNY 2020 challenge grant program, as authorized pursuant to chapter 260 of the laws of 2011. Funding will be subject to the approval of a NY-SUNY 2020 plan or plans by the governor and the chancellor of the state university of New York. All or a portion of the funds appropriated hereby may be suballocated or transferred to any department, agency, or public authority	By chapter 54, section 1, of the laws of 2012	\$80,000,000	\$80,000,000	\$67,758,000	\$67,758,000	\$91,121,209		

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S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	705; 696; 649	New York State Urban Development Corporation	Governor, Temporary President of the Senate, Speaker of the Assembly	Y	Y	Y		New York State Capital Assistance Program (CCP); Capital Projects Funds - Other, Capital Projects Fund, Regional Development Purpose	For economic development projects which facilitate the creation and retention of jobs or increase business activity within downtown Buffalo, the Buffalo inner harbor area, or surrounding environs. Notwithstanding any other provision of law to the contrary, such projects shall be determined pursuant to a memorandum of understanding to be executed by the governor, the temporary president of the senate and the speaker of the assembly. The funds appropriated hereby may be suballocated to any department, agency or public authority. Eligible project(s) shall include, but not be limited to Hauptman-Woodward Medical Research Institute; Buffalo Medical Campus; University of Buffalo - Center of Excellence in Bioinformatics; Roswell Park Cancer Institute Corporation; and other projects relating to historic preservation, cultural facilities and transportation projects	By chapter 55, section 1, of the laws of 2000, as amended by chapter 684, section 2, of the laws of 2003	\$50,000,000	\$10,715,000	\$383,000	\$50,000	91AD00A3	MOU	
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	709; 699; 653	New York State Urban Development Corporation	Speaker of the Assembly, Director of the Budget			Y		Regional Development (CCP); Capital Projects Funds - Other, Capital Projects Fund, Regional Development Purpose	The sum of \$603,050,000 is hereby appropriated for economic development projects, environmental projects, public recreation projects and arts and cultural facility improvement projects, including but not limited to those listed in the schedule below. All or a portion of the funds appropriated herein may be suballocated or transferred to any department, agency or public authority -- Other projects to be determined pursuant to a plan to be developed by the director of the budget in consultation with the speaker of the assembly	By chapter 55, section 1, of the laws of 2006, as amended by chapter 54, section 1, of the laws of 2013	\$20,736,000	(unknown)	(unknown)	(unknown)	910606A3		
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	709; 699; 653	New York State Urban Development Corporation	Temporary President of the Senate, Director of the Budget		Y			Regional Development (CCP); Capital Projects Funds - Other, Capital Projects Fund, Regional Development Purpose	The sum of \$603,050,000 is hereby appropriated for economic development projects, environmental projects, public recreation projects and arts and cultural facility improvement projects, including but not limited to those listed in the schedule below. All or a portion of the funds appropriated herein may be suballocated or transferred to any department, agency or public authority -- Other projects to be determined pursuant to a plan to be developed by the director of the budget in consultation with the temporary president of the senate	By chapter 55, section 1, of the laws of 2006, as amended by chapter 54, section 1, of the laws of 2013	\$73,650,000	(unknown)	(unknown)	(unknown)	910606A3		
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	714; 705; 658	Miscellaneous	Governor	Y				Community Enhancement Facilities Assistance (CCP); Capital Projects Funds - Other, Capital Projects Fund, Community Enhancement Purpose	The sum of \$423,500,000 is hereby appropriated, in accordance with chapter 432 of the laws of 1997 establishing the community enhancement facilities assistance program, for community enhancement facilities assistance projects. Eligible community enhancement facilities assistance project(s) shall include, but not be limited to economic development projects to be located within the county of Nassau (\$15,000,000), provided that the allocation made available to the Governor, as authorized by paragraph one of the memorandum of understanding governing administration of the community enhancement facilities assistance program, shall be reduced by \$1,500,000 to \$140,166,666. No moneys of the state in the state treasury or any of funds shall be available for payments pursuant to this appropriation. Funding for community enhancement facilities assistance projects shall be provided from the proceeds of bonds or notes issued in accordance with chapter 432 of the laws of 1997 authorizing the issuance of bonds and notes for community enhancement facilities projects	By chapter 55, section 2, of the laws of 1997, as amended by chapter 54, section 1, of the laws of 2011	\$423,500,000	\$52,000,000	\$52,000,000	\$51,465,000	91CF97A3	NB a portion is under the governors' sole control	Y
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	715; 706; 659	Miscellaneous	Governor, Temporary President of the Senate, Speaker of the Assembly	Y	Y	Y		Regional Development (CCP); Capital Projects Funds - Other, Capital Projects Fund, Regional Development Purpose	For services and expenses of the following purposes, pursuant to a memorandum of understanding to be executed by the governor, the temporary president of the senate and the speaker of the assembly: the Empire Opportunity Fund, as established pursuant to Part T of chapter 84 of the laws of 2002; Rebuilding the Empire State Through Opportunities in Regional Economies (RESTORE) New York, as established pursuant to Part T of chapter 84 of the laws of 2002; and the Community Capital Assistance Program, as established pursuant to Part T of chapter 84 of the laws of 2002, provided that the allocation made available to the governor, as authorized by paragraph one of the memorandum of understanding governing administration of the above named programs, shall be reduced by \$6,675,000 to \$118,325,000. Notwithstanding any other inconsistent provision of law, none of the funds appropriated hereto, as delineated in the below project schedule, may be interchanged among project purposes. All or a portion of the funds appropriated hereby may be suballocated or transferred to any department, agency, or public authority. Notwithstanding any other inconsistent provision of law, this appropriation may not be disbursed prior to the enactment of an appropriation for the expenditure from the community projects fund and the execution of a memorandum of understanding for the allocation and transfer of funds into various accounts pursuant to section 99-d of the state finance law	By chapter 55, section 1, of the laws of 2004, as transferred by chapter 54, section 1, of the laws of 2012	\$243,325,000	\$107,895,000	\$98,208,000	\$86,632,000	71E404A3	NB a portion is under the governors' sole control	Y
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	716; 707; 660	Miscellaneous	Governor, Temporary President of the Senate, Speaker of the Assembly	Y	Y	Y		Regional Development (CCP); Capital Projects Funds - Other, Capital Projects Fund, Regional Development Purpose	For services and expenses of the following purposes, pursuant to a memorandum of understanding to be executed by the governor, the temporary president of the senate and the speaker of the assembly: Centers of Excellence, as established pursuant to chapter 84 of the laws of 2002; the Empire Opportunity Fund, as established pursuant to chapter 84 of the laws of 2002; Gen*NY*sis, as established pursuant to chapter 84 of the laws of 2002; Rebuilding the Empire State Through Opportunities in Regional Economies (RESTORE) New York, as established pursuant to chapter 84 of the laws of 2002; for transportation capital projects in accordance with the provisions of subdivisions 3 and 4 of section 14-k of the transportation law, and sections 89-b and 89-c of the state finance law; and the Community Capital Assistance Program, as established pursuant to chapter 84 of the laws of 2002, provided that the allocation made available to the governor, as authorized by paragraph seven of the memorandum of understanding governing administration of the above named programs, shall be reduced by \$10,300,000 to \$289,700,000. No moneys of the state in the state treasury or any of its funds shall be available for payments pursuant to this appropriation. Funding for the purposes delineated in this appropriation shall be provided from the proceeds of bonds or notes issued pursuant to chapter 84 of the laws of 2002. Notwithstanding any other inconsistent provision of law, none of the funds appropriated hereto, as delineated in the below project schedule, may be inter changed among project purposes. All or a portion of the funds appropriated hereby may be suballocated or transferred to any department, agency, or public authority	By chapter 55, section 1, of the laws of 2002, as transferred by chapter 54, section 1, of the laws of 2014	\$589,700,000	\$311,759,000	\$136,583,000	\$133,198,000	71E102A3	NB a portion is under the governors' sole control	Y

Budget Bill	Budget Bill Type	Page No.	Agency Name	Approving Authorities	Governor	Senate	Assembly	Attorney General	Fund/Program Name	Bill Text	Chapter/Section/Laws	Total Funding Amount (Lifetime)	FY14 Authorizations	FY15 Authorizations	FY16 (Exec) Authorizations	Ref	Notes	FY16 30-Day Amendment Disclosure
S2604/A3004 (FY14)	Capital	661	Miscellaneous	Governor, Temporary President of the Senate, Speaker of the Assembly	Y	Y	Y		Regional Development (CCP): Capital Projects Funds - Other, Capital Projects Fund, Regional Development Purpose	For services and expenses of the following purposes, pursuant to a memorandum of understanding to be executed by the governor, the temporary president of the senate and the speaker of the assembly: Centers of Excellence, as established pursuant to chapter 84 of the laws of 2002; the Empire Opportunity Fund, as established pursuant to chapter 84 of the laws of 2002; GenNY'sis, as established pursuant to chapter 84 of the laws of 2002; Rebuilding the Empire State Through Opportunities in Regional Economies (RESTORE) New York, as established pursuant to chapter 84 of the laws of 2002; for transportation capital projects in accordance with the provisions of subdivisions 3 and 4 of section 14-k of the transportation law, and sections 89-b and 89-c of the state finance law; and the Community Capital Assistance Program, as established pursuant to chapter 84 of the laws of 2002. No moneys of the state in the state treasury or any of its funds shall be available for payments pursuant to this appropriation. Funding for the purposes delineated in this appropriation shall be provided from the proceeds of bonds or notes issued pursuant to chapter 84 of the laws of 2002. Notwithstanding any other inconsistent provision of law, this appropriation may not be disbursed prior to the commencement of Class III gaming at one Indian gaming facility pursuant to a tribal-state gaming compact authorized by section 12 of the executive law, as added by chapter 383 of the laws of 2001, following the final approval of such compact by the United States Secretary of the Interior and publication of such approval in the Federal Register. Notwithstanding any other inconsistent provision of law, none of the funds appropriated hereto, as delineated in the below project schedule, may be interchanged among project purposes. All or a portion of the funds appropriated hereby may be suballocated or transferred to any department, agency, or public authority	By chapter 55, section 2, of the laws of 2002, as transferred by chapter 54, section 1, of the laws of 2012	\$300,000,000	\$21,059,000			71E202A3	MOU	
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	717; 709; 664	Miscellaneous	Governor, Temporary President of the Senate, Speaker of the Assembly	Y	Y	Y		Economic Development Program (CCP): Capital Projects Funds - Other, Capital Projects Fund, Economic Development Purpose	The sum of \$75,000,000 is hereby appropriated to the New York State economic development program (CCP) out of any moneys in the state treasury in the general fund to the credit of the capital projects fund, no otherwise appropriated, and made immediately available, for the purpose of economic development projects outside cities with a population of one million or more pursuant to a memorandum of understanding to be executed by the governor, the temporary president of the senate and the speaker of the assembly. All or portions of the funds appropriated hereby may be suballocated or transferred to any department, agency, or public authority	By chapter 55, section 1, of the laws of 2005, as added by chapter 162, section 4, of the laws of 2005	\$75,000,000	\$64,614,000	\$64,110,000	\$64,110,000	DP000509	MOU	Y
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	717; 709; 664	Miscellaneous	Governor, Temporary President of the Senate, Speaker of the Assembly	Y	Y	Y		Economic Development Program (CCP): Capital Projects Funds - Other, Capital Projects Fund, Economic Development Purpose	The sum of three hundred forty-five million seven hundred fifty thousand dollars (\$345,750,000), or so much thereof as may be necessary, is hereby appropriated to the New York state economic development program (CCP) out of any moneys in the state treasury in the general fund to the credit of the capital projects fund, no otherwise appropriated, and made immediately available, for the purpose of economic development projects outside cities with a population of one million or more pursuant to a memorandum of understanding to be executed by the governor, the temporary president of the senate and the speaker of the assembly, provided that the allocation made available to the governor, as authorized by paragraph one of the memorandum of understanding governing administration of the New York state economic development program, shall be reduced by \$4,250,000 to \$162,416,000. All or a portion of the funds appropriated hereby may be suballocated or transferred to any department, agency, or public authority	By chapter 3, section 29, of the laws of 2004, as amended by chapter 54, section 1, of the laws of 2011	\$345,750,000	\$65,264,000	\$35,734,000	\$26,535,000	DP010409	MOU	Y
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	718; 710; 665	Miscellaneous	Governor, Temporary President of the Senate, Speaker of the Assembly	Y	Y	Y		High Technology and Development (CCP): Capital Projects Funds - Other, Capital Projects Fund, Regional Development Purpose	For services and expenses of the New York state technology and development program pursuant to a memorandum of understanding to be executed by the governor, the temporary president of the senate, and the speaker of the assembly, provided that the allocation made available to the governor, as authorized by paragraphs one and three of the memorandum of understanding governing administration of the New York state technology and development program, shall be reduced by \$1,000,000 to \$93,054,000. All or a portion of the funds appropriated hereby may be suballocated to any department, agency, or public authority	By chapter 55, section 1, of the laws of 2005, as transferred by chapter 54, section 1, of the laws of 2012	\$249,000,000	\$77,781,000	\$99,114,000	\$98,645,000	TD0005RD	MOU	Y
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	724; 714; 668	Miscellaneous	Governor, Temporary President of the Senate, Speaker of the Assembly	Y	Y	Y		Regional Development (CCP): Capital Projects Funds - Other, Capital Projects Fund, Regional Development Purpose	For services and expenses of the regional economic development program pursuant to a memorandum of understanding to be executed by the governor, the temporary president of the senate, and the speaker of the assembly, provided that the allocation made available to the governor, as authorized by paragraph one of the memorandum of understanding governing administration of the regional economic development program, shall be reduced by \$250,000 to \$42,610,000. All or a portion of the funds appropriated hereby may be suballocated to any department, agency, or public authority	By chapter 55, section 1, of the laws of 2005, as amended by chapter 54, section 1, of the laws of 2011	\$89,750,000	\$26,631,000	\$25,105,000	\$24,430,000	ED0005RE	MOU	Y
S2004/A3004 (FY16); S6354/A8554 (FY15); S2604/A3004 (FY14)	Capital	732; 720; 671	Miscellaneous	Governor	Y				Strategic Investment Program (CCP); Capital Projects Funds - Other, Capital Projects Fund, Strategic Investment Program Purpose	The sum of \$215,650,000 is hereby appropriated for environmental projects, including the preservation of historically significant places in New York state, and projects to conserve, acquire, develop or improve parklands, parks or public recreation areas; economic development projects which will facilitate the creation or retention of jobs or increase business activity within a municipality or region of the state; higher education projects projects to establish new or rehabilitate existing business incubator facilities to accommodate emerging or small high technology companies; arts or cultural projects, provided that the allocation made available to the Governor as authorized by paragraph one of the memorandum of understanding governing administration of the strategic investment program, shall be reduced by \$9,350,000 to \$65,650,000. Individual projects funded from this appropriation shall be for \$250,000 or more and funds appropriated hereby may be suballocated to any department, agency, or public authority	By chapter 55, section 1, of the laws of 2000, as amended by chapter 54, section 1, of the laws of 2011	\$215,650,000	\$87,036,000	\$83,944,000	\$81,787,000	71SI00SI		Y
<b>Totals</b>												\$9,802,286,000	\$3,625,865,000	\$3,038,221,000	\$2,539,557,000			\$816,216,000
Governor												\$9,453,400,000	\$3,599,819,000	\$2,899,179,000	\$2,402,278,000			
Senate												\$3,491,300,000	\$1,076,016,000	\$943,343,000	\$887,438,000			
Assembly												\$3,199,886,000	\$1,057,555,000	\$811,886,000	\$757,733,000			
Attorney General												\$0	\$0	\$0	\$0			